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Faculty of Engineering

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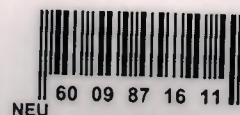
BASE STATION & FREQUENCY INTERFACES

**Graduation Project
EE – 400**

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ABSTRACT

In this telecommunications environment of incompatible interfaces, the most important technical goal of GSM was full roaming in all countries. Another goal was to accommodate diverse service plans to conform to the separate needs and policies of participating countries. GSM is a comprehensive standard, like the other standards covered in this project, it specifies the air interface that links terminals and base stations. However, it is unique in also prescribing open interfaces between infrastructure network elements, most notably between base stations and switches.

The traffic channels are both two-way channels with identical transmission formats in the two directions. In this respect, GSM differs from NA-TDMA and CDMA).

Base stations use the broadcast control channel to transmit the information that terminals need to set up a call, including the control channel BCCH transmits one message segment, of length 184 bits, in every control multi frame, a base station subsystem (BSS) providing the interface between a mobile switching center (MSC) and a mobile. A BSS consists of several base transceiver subsystems (BTS) and a base station controller (BSC).

The primary aim of this study was to determine the RF EME level resulting from all signal frequencies produced by the particular GSM base stations under survey. Mobile telephone communication signals are both transient and partly random in their occurrence and distribution. In this context, we were interested in determining the RF EME levels at many locations and more particularly.

INTRODUCTION

Mobile telephone base stations are low power radio transmitters with antennas mounted on either freestanding towers or on buildings. Radio signals are fed through cables to the antennas and then launched as radio waves into the area, or cell, around the base station. Two types of antennas are used for the transmissions; pole-shaped antennas are used to communicate with mobile telephones and dish antennas communicate to other base stations and link the network together. The transmissions from any particular base station are variable and dependent upon the number of calls and the number of transmitters in operation.

The antennas are the sources of the radiated signals and operate at power levels consistent with their aim of communicating over short distances. Typical power levels are not more than a few tens of watts.

The mobile phone system has limitations, similar to the radio and television systems, in that the number of frequencies available restricts the number of handsets or users within each cell. To enable a large number of users, regions are divided up into cells each with its own set of frequencies (GSM system). Adjacent cells have different frequencies to prevent interference and power levels are kept to a minimum to ensure no interference with non-adjacent cells, which use the same frequency. The size of the cell varies depending on the number of users. In rural areas, which typically cover large regions due to the sparse population, more power has to be generated to cover the larger area. This can lead to higher radiation exposure.

Both sector antennas and radio link antennas bundle the waves they transmit, sending them in just one specific direction or at one specific angle. This means the waves can be sent only where they are actually required.

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CHAPTER 1

DIGITAL CELLULAR SYSTEM

1.1 Background and Goals

The Pan-European digital cellular system traces its origins to 1982, when analog cellular services were in their earliest stages of commercial deployment. At that early date, European authorities anticipated the long-term potential of mobile communications and stimulated CEPT, the Conference of European Postal and Telecommunications administrations, to study the creation of a mobile telephone standard to be adopted throughout Western Europe. CEPT responded by forming the Group Special Mobile (Special Mobile Group). Group members used the initials GSM to refer to their project. Eventually, GSM produced a compatibility specification that was adopted as a European standard. The system embodied in the standard acquired the name *Global System for Mobile Communications*. During the GSM development period in the 1980s, European economic integration proceeded rapidly; the idea of a telecommunications system that could be used conveniently by travelers throughout Europe was an exciting symbol of this integration. A continental standard for mobile telephones stands in strong contrast to fixed telephone networks in Europe, which have different dialing procedures and charging systems in each country. Similar disparities exist in European analog cellular systems, which have five incompatible analog air interfaces scattered around the continent [Mouly and Pautet, 1992].

In this telecommunications environment of incompatible interfaces, the most important technical goal of GSM was full roaming in all countries. Another goal was to accommodate diverse service plans to conform to the separate needs and policies of participating countries. These characteristics of GSM make it possible for a subscriber to carry one telephone throughout Europe, initiating and receiving phone calls in all locations, without the burden of learning new dialing codes every time he crosses a national boundary. Calling features and charges reflect the service plan of the subscriber's home service provider. As an incentive for the diverse participants in GSM to reach an agreement,

authorities made new frequency bands available for Pan-European operation with the requirement that all transmissions in these bands conform to a single standard. GSM reached a major milestone in 1987 when all participants agreed on the framework of a compatibility specification. Two principal technologies in the specification are an air interface based on hybrid frequency division/Time-division multiple access and infrastructure communication based on Signaling System Number. Over the subsequent three years, GSM produced a complete standard that became the responsibility of ETSI, the European Telecommunications Standards Institute. In addition, network operators signed a memorandum of understanding that specifies how GSM systems are brought into commercial service. The memorandum of understanding also governs the business arrangements between different operators of GSM networks.

GSM is a comprehensive standard, like the other standards covered in this project, it specifies the air interface that links terminals and base stations. However, it is unique in also prescribing open interfaces between infrastructure network elements, most notably between base stations and switches. The development of GSM reflects a remarkable cooperative effort undertaken over many years by dozens of people from fifteen countries. Many GSM innovations were later embodied in other systems. Two examples of these innovations are location-based mobility management and mobile assisted handover.

GSM stands apart in two respects. It is a purely digital system. There is no provision for dual mode operation with an analog cellular system. This difference reflects the contrast between the diversity of analog cellular systems in Europe and the ubiquitous deployment of AMPS systems in North America. Another difference is the large number of network interfaces specified by GSM, in contrast with the CDMA standard and the NA-TDMA standard, which specify only the air interface. The GSM open interfaces reflect the major influence of network operators in the development of GSM. Open interfaces favor network operators by giving them flexibility in procurement. By contrast, equipment vendors take the lead in the creation of North American standards. Compared with service providers, equipment vendors have a stronger tendency to favor proprietary interfaces.

Although the principal goal of GSM is international roaming, the project formally adopted a broad set of aims, which included :

- full international roaming.
- provision for national variations in charging and rates,
- efficient interoperation with ISDN systems,
- signal quality better than or equal to that of existing mobile systems,
- traffic capacity higher than or equal to that of present systems,
- subscriber costs lower than or equal to those of existing systems,
- accommodation of non—voice services, and
- accommodation of portable terminals.

GSM adopted this ambitious list of objectives in 1985. As the standardization proceeded, it became clear that achieving them fully would not be consistent with the service introduction (late of 1990) approved by the initial GSM network operators. To reconcile the performance and cost objectives with early deployment, GSM decided that the standard would evolve through a set of “phases.” This decision implicitly added the goals of early deployment and adaptability to the list. The Phase 1 GSM specifications were divided into more than 100 sections, 4 with a total length of 5,320 pages. Phase 2 specifications have been developed section by section in the mid-1990s. The main goal of Phase 2 is to enrich the set of information services available to GSM subscribers [Mouly and Pautet, 1995].

The remainder of this chapter is a description of the principal properties of GSM, as defined in Phase I of the specifications. The services specified in Phase 1 include

- telephony with some special features,
- emergency calls,
- data transmission at rates up to 9,600 b/s, and
- a short message service for transmitting up to 160 alphanumeric characters between terminals and a network.

Phase 2 adds additional lion-voice services and enriched telephony features.

To present tile salient features of GSM. The focus is on Communications across the air interface. For more details, readers can refer to tile excellent book by Mouly and Pautet, The GSM System far Mobile Communications, which is a 700-page tutorial on GSM [1992] Of course, tile ultimate authority is the GSM standard, but that consists of more than one hundred documents published by ETSI, with a total length of more than 5,000 pages.

1.2 Architecture

The GSM network architecture reflects a strong influence of ISDN . Figure 1.1 displays a large set of standard network elements with interfaces between the elements designated by letters. The nomenclature U for the air interface is taken from tile ISDN U interface within the subscript “in” appended to denote “mobile.”

The GSM terminology for the essential network elements is mobile station (terminals), base station, and mobile switching center (switches). In addition, CSM specifies three databases.

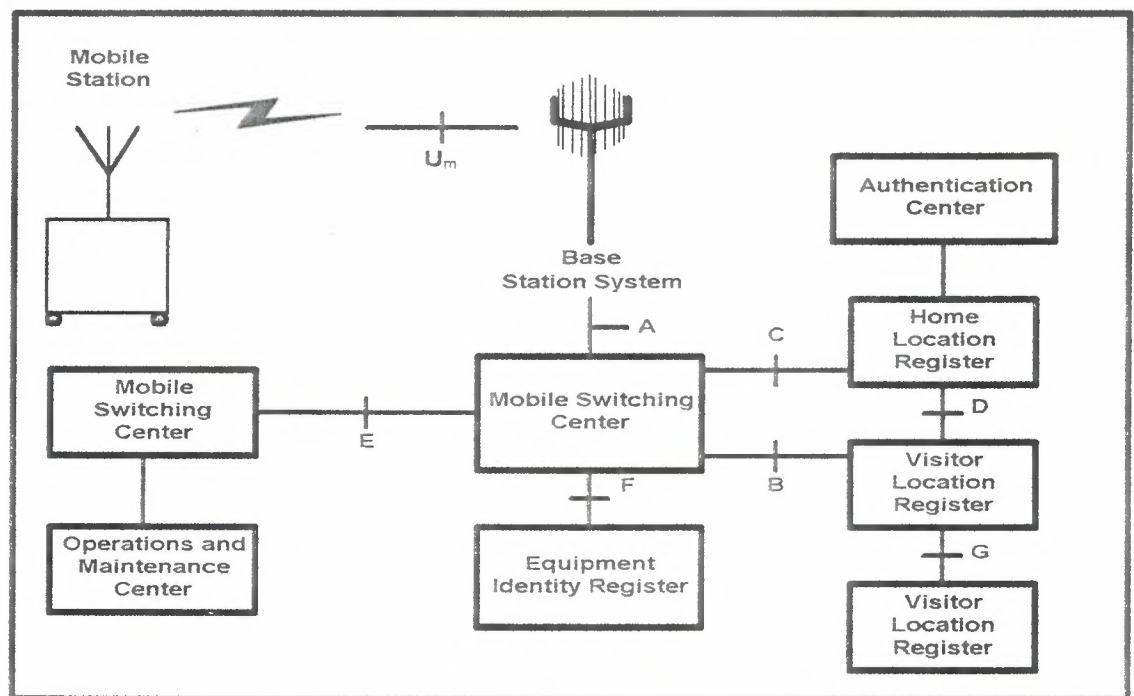


Figure 1.1: GSM network architecture

Home location registers (HLR), visitor location registers (VLR), and equipment identity registers (EIR). The HLR and VLR are innovations essential to fulfilling the principal aim of GSM: full roaming in all service areas, it originated with GSM. In keeping with the preference of the GSM service industry for a large number of open interfaces, GSM specifies cellular base stations in greater detail than any other system.

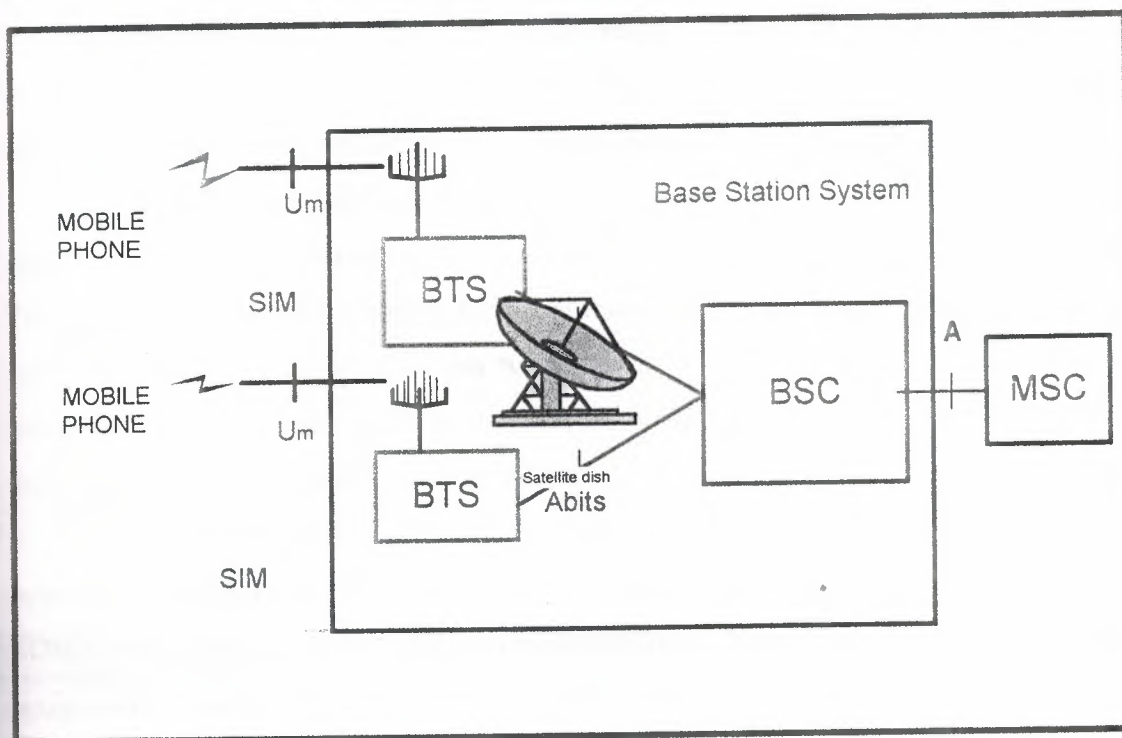


Figure 1.2 Base station system and subscriber Identity module installed in mobile station.

Thus, Figure 1.1 indicates a base station system, which is shown in Figure 1.2 contains two elements: a base transceiver station (BTS) and a base station controller (BSC), connected by a standard interface, designated Abis, suggesting an addition to the A interface. This specification reflects trends in the design of cellular hardware to serve small cells. In contrast to the original cellular configuration, with high-power transmitters connected to antennas 50- 60 m above the ground, micro cells transmit at low power with antennas on the order of 10 m above the ground mounted on the sides of buildings or on lampposts. Because it is desirable to reduce to the greatest extent possible the cost and size of these installations, equipment manufacturers separate the essential radio equipment from the network control components of a base station. This partition of functions into separate

pieces of equipment is reflected in the GSM designation of distinct *base transceiver stations* (BTS), consisting primarily of radio equipment, and *base station: controllers* (BSC), that perform network control operations and signal processing functions. Typically, one BSC controls several BTS.

Another important GSM innovation appears in every mobile station. GSM specifies that every terminal contain a subscriber identify module (SIM). The SIM is a removable card that stores essential subscriber information, including identification numbers, details of the subscriber's service plan, and abbreviated dialing codes selected by the subscriber.

The SIM is the subscriber's link to a cellular system. By removing the SIM, the subscriber disables the telephone, with the exception of an ability to vehicle emergency calls. To change telephones for example, from a portable phone to a vehicle mounted phone the subscriber simply moves the SIM from one telephone to the other. Using the new phone, the subscriber retains her own telephone number, her special calling features, and the telephone directory she has programmed into the SM.

This situation differs substantially from the other cellular systems, which store subscriber information in fixed hardware within a terminal. Thus in AMPS, CDMA, and NA-TDMA, the telephone unit is part of the subscription. When a person changes telephone equipment, the service provider gets involved, changing the subscription to reflect tire identity of the new telephone. A GSM subscription, like a fixed telephone subscription, is unaffected by the telephone instrument used try a subscriber, GSM specifies two types of SIM distinguished by their physical characteristics. One is like a credit card and is easily inserted into or removed from a terminal. The other is much smaller—comparable in size to a postage stamp—and better suited to compact portable telephones. It is also harder to insert and remove than the credit card type.

As in the other systems presented in this project, GSM base stations and telephones store and transmit a variety of identification codes that participate in network operations. Table 1.1 is a partial list of these codes. Some of the codes, including the IMEI and the class mark, are properties of the telephone equipment and are stored in the terminal itself. Other codes, including the international mobile subscriber identifier (IMSI) and the secret encryption key (Ki) belong to the subscriber. These codes are stored in the SIM and can be

moved from one telephone to another. The temporary mobile subscriber number is a GSM innovation. After the network assigns a TMSI to a terminal, the terminal and the network transmit this number in call management and mobility management procedures. This adds to privacy and network security because it avoids transmitting over the air the IMSI, which identifies the subscriber. It also economizes on transmission bandwidth resources because it is shorter than the IMSI. The secret authentication key (k_i) is at the heart of GSM security and privacy operations. The system operator determines the length of this key. The maximum length is 128 bits. This key is stored on the SIM and in the subscriber's home system.

Table 1.1: GSM Identifiers.

Notation	Name	Size	Description
IMSI	International mobile subscriber identifier	15 digits	Directory number assigned by operating company to a subscriber
TMSI	Temporary mobile subscriber identity	32 bits	Assigned by visitor location register to a subscriber
IMEI	International mobile equipment identifier	15 digits	Unique serial number assigned by manufacturer to a terminal
K_i	Authentication key		Secret key assigned by operating company to a subscriber
k_c	Cipher key	64 bits	Computed by network and by mobile station
-	Mobile station class mark	32 bits	Indicates properties of a mobile station
BSIC	Base station identity code	6 BITS	Assigned by operating company to a base transceiver station
-	Training sequence	26 bits	Assigned by operating company to a base transceiver station
LAN	Location area identity	40 bits	Assigned by operating company to a base transceiver station

Terminals and the network use K_i to compute the cipher key, K_c , which protects user information and network control information from unauthorized interception.

The 32-bit class mark describes the capabilities of a terminal. It has several components three of which are essential: the revision level is the version of the GSM standard to which the terminal conforms; the RF power capability indicates the power levels available to the mobile transmitter, and the encryption algorithm indicates the manner in which the terminal encrypts user information and network control information. These three components comprise 8 bits of the class mark. In many network control procedures, only this part of the class mark is transmitted.

The remainder of the class mark indicates the frequency capability of the terminal and whether the terminal is capable of operating a short message service. The base station identity code and the training sequence serve the same purposes as the supervisory audio tone in AMPS and the digital verification color code in NA-TDMA. They help a terminal verify that it receives information from the correct base station rather than another base station using the same physical channel. The base station uses these codes in the same manner to verify that the received signal comes from the correct terminal. The location area identity (LAI) has three components. A mobile country code and a mobile network code are like the system identifier in North America. Together they identify the network to which a cell belongs. The third component of the LAI is the location area code, which controls mobility management operations.

1.3 Radio Transmission

Figure 1.3 shows the GSM spectrum allocation. As in AMPS, there are two 25 MHz bands separated by 45 MHz, with the lower band used for transmissions from terminals to base stations and the upper band for transmissions from base stations to terminals. In some countries, analog cellular systems occupy the lower 15 MHz of each band. In these countries, initial GSM systems operate in the upper 10 MHz. As the demand for GSM grows, GSM channels gradually displace analog channels at the lower carrier frequencies. Eventually, analog operations will be discontinued and GSM systems will completely occupy

the two bands in Figure 1.3. The GSM technology described in this chapter is also used in the European 1,800 MHz personal communications bands and the North American 1,900 MHz personal communications bands. The systems operating in these bands are designated DCS 1800 and DCS 1900, respectively.

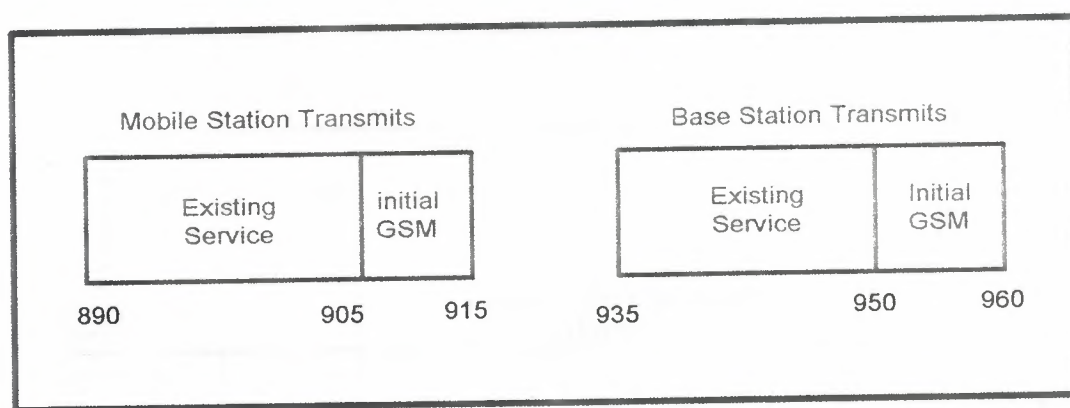


Figure 1.3: GSM frequency bands.

1.3.1 Physical Channels

As a hybrid frequency-division/time-division system, GSM organizes radio transmission by assigning carriers and time slots to logical channels. Figure 1.4 shows that each GSM band has carriers spaced at 200 kHz. The frame duration in GSM is 4.62 ($= 120/26$) ms, derived from the definition of a 120 ms traffic multi frame, divided into 26 frames. Each frame contains eight time slots. In order to make it unnecessary for a terminal to transmit and receive signals simultaneously, the time reference for a reverse-direction frame is retarded by three time slots relative to the time reference for a forward-direction frame. Thus we have Figure 1.4, which shows the relative timing of forward and reverse transmissions.

With the 200 KHz carrier spacing, the frequency allocation of 25 MHz per direction in Figure 1.3 admits the possibility of

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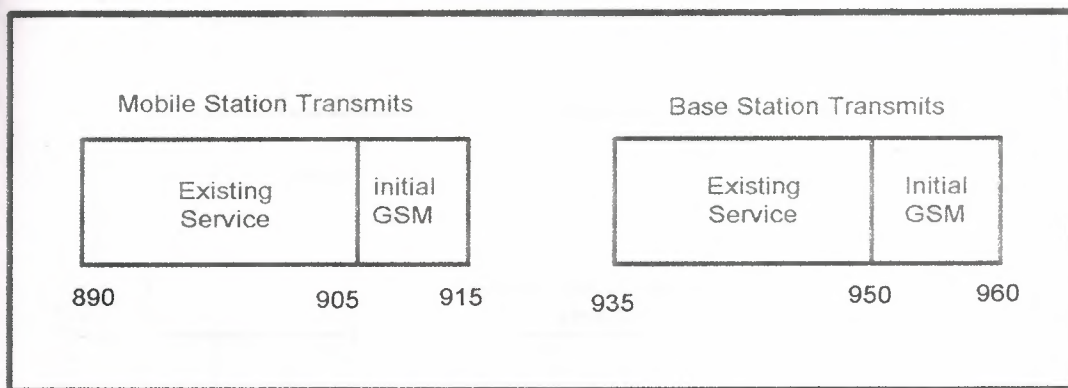


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With the 200 KHz carrier spacing, the frequency allocation of 25 MHz per direction in Figure 1.3 admits the possibility of

$$\frac{25\text{MHz}}{200\text{kHz/carrier}} = 125 \text{ carriers per direction.} \quad (1.1)$$

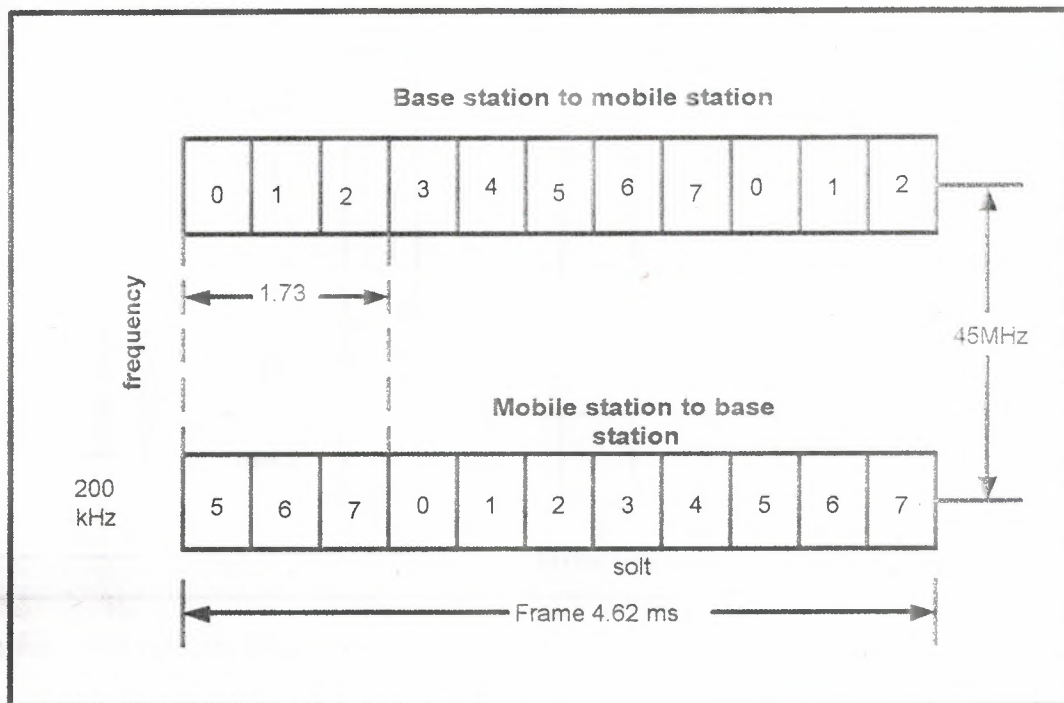


Figure 1.4: GSM frames and slots .

However, GSM specifies only 124 carriers, leaving unoccupied guard bands. at the edges of the GSM spectrum allocation in Figure 1.3. As indicated in Figure 1.5, the carrier numbers are

$C = 1 - 124$, which correspond to center frequencies

$$F(c) = 890 + 0.2 \text{ CMHz} \quad (1.2)$$

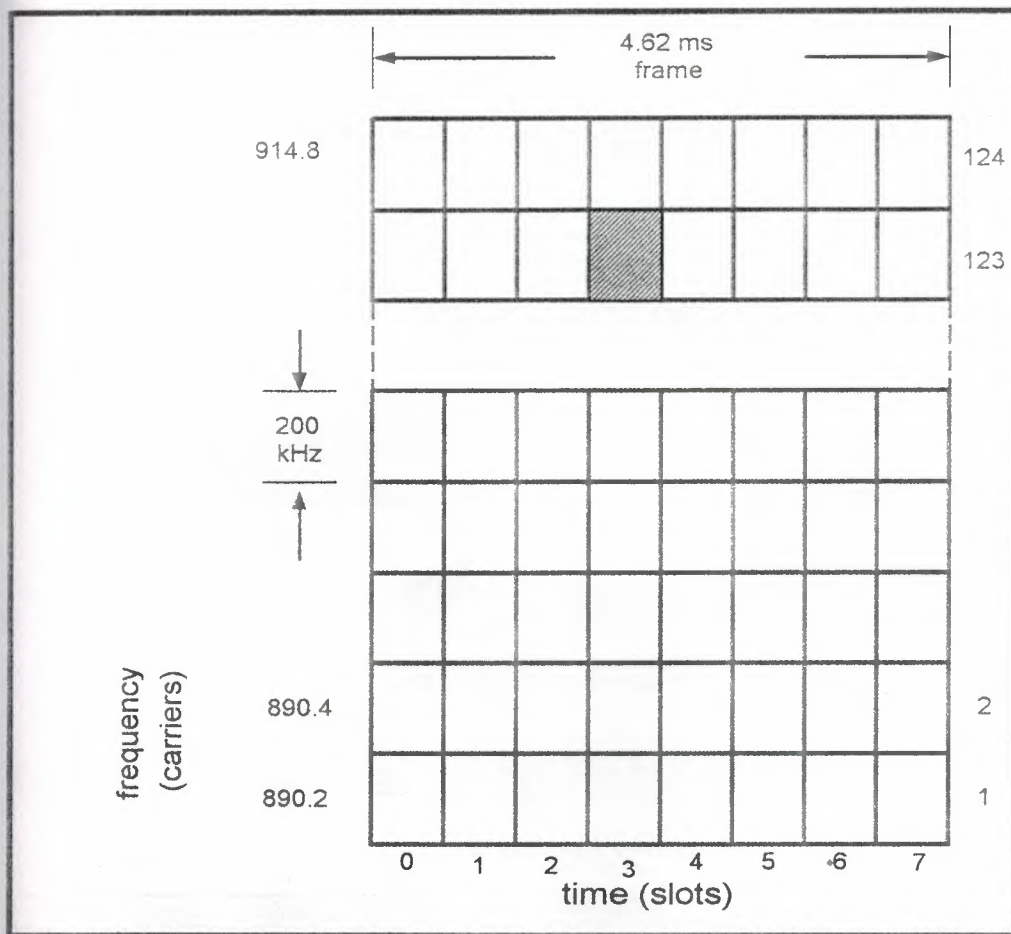


Figure 1.5 :GSM physical channel

for mobile station transmissions. The corresponding base station transmission frequencies $f(C) + 45\text{MHz}$.

Although a rectangle in Figure 1.5 is necessary to specify a GSM physical channel, it is not sufficient. In addition to a time slot and carrier, a physical channel consists of a repetitive frame pattern that depends on the logical channel carrying specific information. Among personal communications systems, GSM has the most elaborate timing structure, with definitions of time intervals ranging from 900 ns (one-quarter of a bit) to 3 h 28 m 53.76 s (encryption hyper frame). Figure 1.6 displays the most important time intervals defined by GSM.

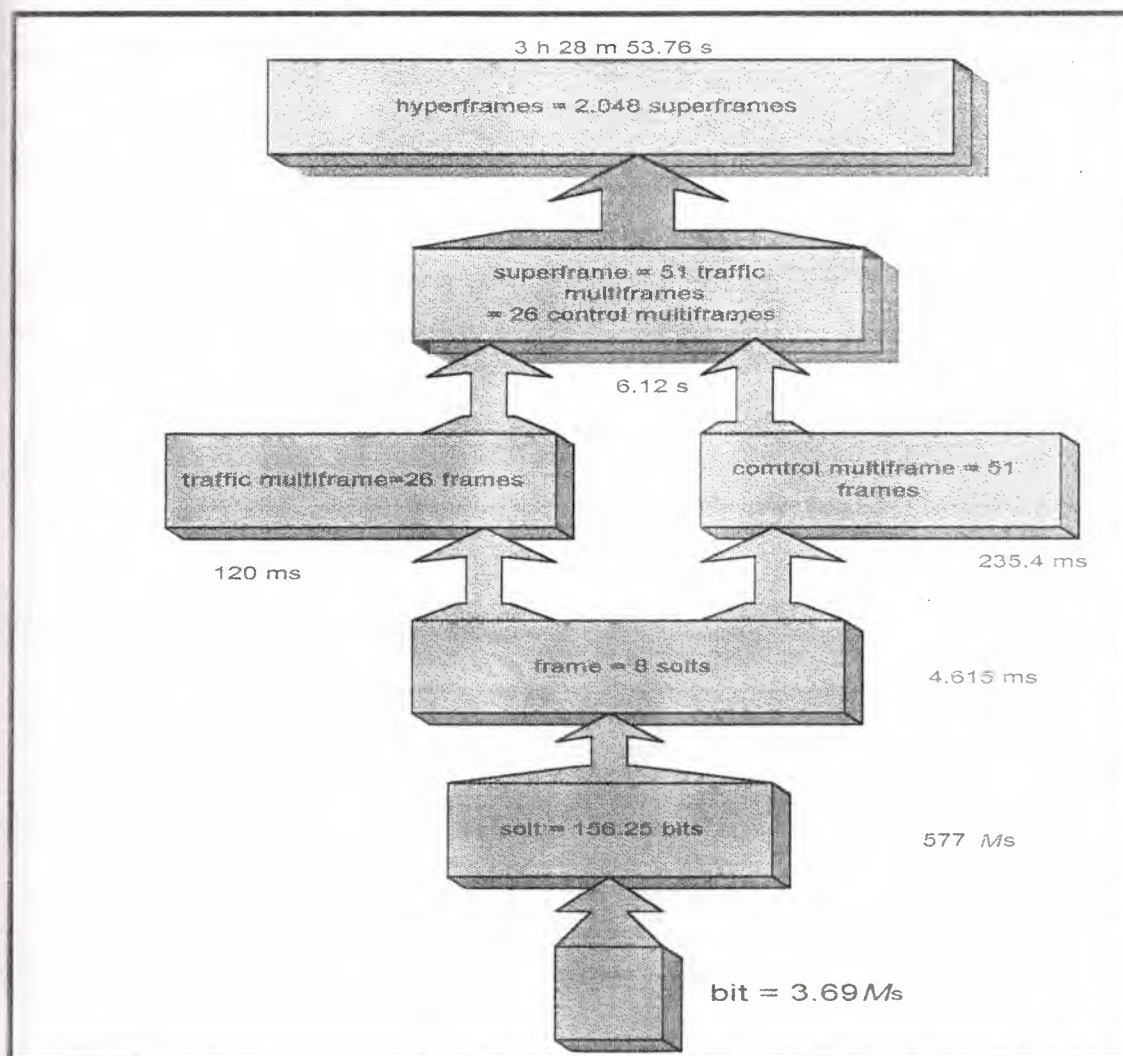


Figure 1.6: GSM time intervals .

To gain an understanding of GSM timing, the best place to begin is at the traffic multi frame in Figure 1.6. It has a duration of 120 ins, which can be synchronized W time timing of other networks. For example, in ISDN, an important time interval is $125 \mu s$, corresponding to the 8kHz sampling rate of telephone speech. The duration of a GSM traffic multi frame spans 960 ISDN speech samples. Figure 1.7 shows the 26 frames in a traffic multi frame; Time logical channel that carries telephone speech in GSM is a *full-rate traffic channel* (TCH/F), which occupies one time slot in 24 of the 26 frames in every multi frame . Traffic channel information travels in frames 0-11 and frames 13-24. As in NA-TDMA slow associated control channel (SACCH) accompanies every GSM traffic channel. The SACCH occupies one frame in every traffic multi frame . A SACCH associated with a full-rate traffic

channel alternatively occupies one slot in frame 12 and one slot in frame 25. Each GSM carrier can convey eight full-rate traffic channels together with their associated control channels.

Like NA-TDMA, GSM also specifies half-rate traffic channels (TCH/H). In GSM, a half-rate traffic channel occupies a specific time slot in 12 of the 26 frames in every multi frame. Another TCH/H occupies the other 12 frames available for user information.

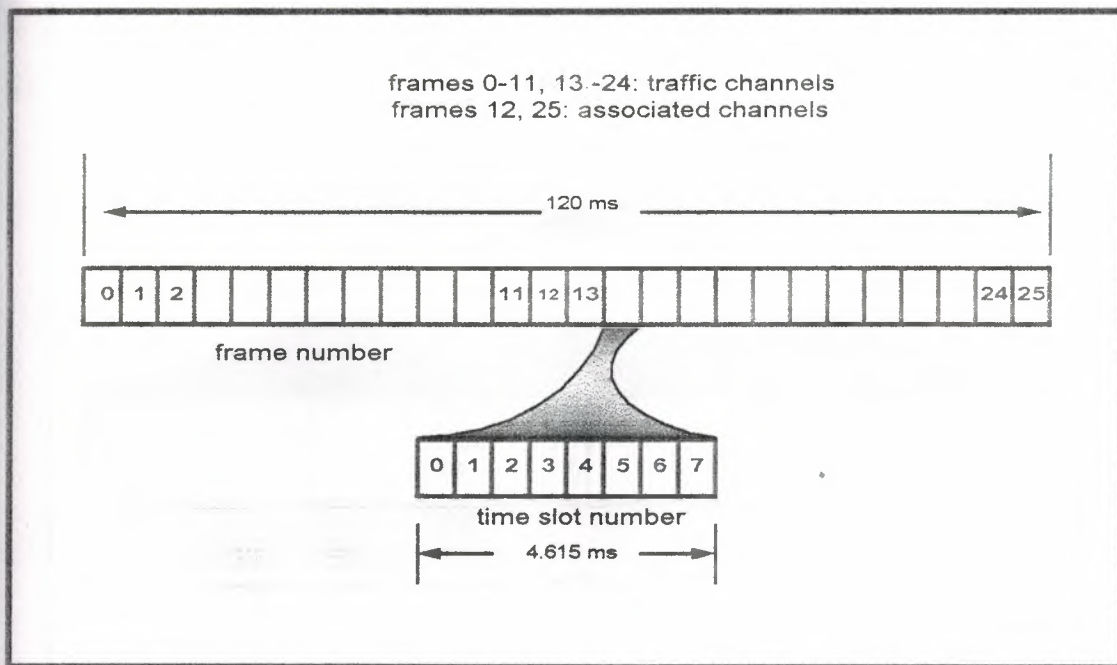


Figure 1.7: Traffic multi frame .

It follows that each carrier can carry up to 16 half-rate traffic channels, which together fill all time slots in 24 frames per multi frame . Eight of these traffic channels have a SACCH in frame 12 in Figure 1.7, and the other eight half-rate channels have a SACCH in frame 25.

Other physical channels in GSM correspond to frame patterns with repetition periods related to the control multi frame, containing 51 frames, in Figure 1.6. A complete cycle of traffic multiframe transmissions and control multi frame transmissions constitutes a super frame with a duration of $51 \times 26 = 1,326$ frames, or 6.12 s.

1.3.2 GSM Bit Stream

To examine GSM radio transmission in detail it is necessary to look inside each time slot. GSM documentation refers to the signal transmitted in one time slot as a burst. Figure 1.8 shows the composition of time slots used by the majority of the GSM logical channels.

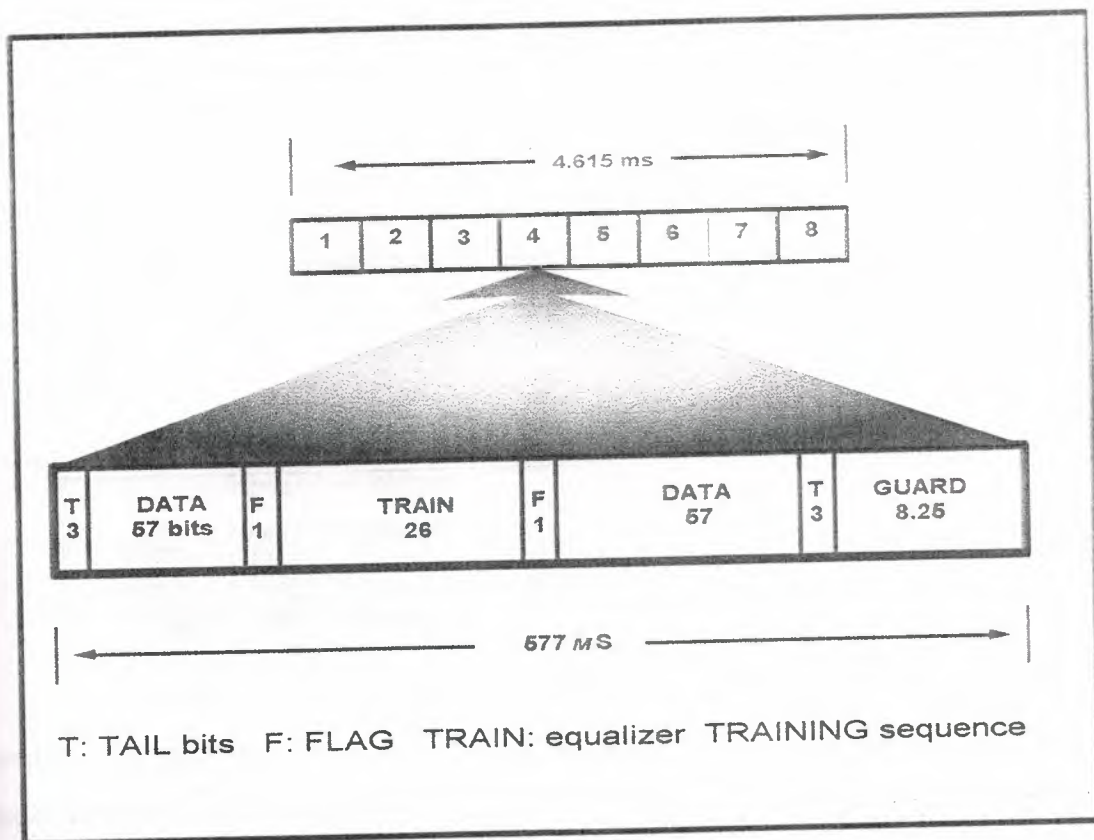


Figure 1.8 Contents of GSM time slot.

The 26-bit TRAINING sequence in the middle of the time slot in Figure 1.8 serves a purpose similar to that of the SYNC field in NA-TDMA. A receiver has advance knowledge of the training sequence and uses this information to estimate the characteristics of the time-varying radio channel. This estimate trains an adaptive equalizer, which compensates for the effects of multi path propagation. GSM specifies eight different training sequences with low mutual cross correlation. Network operators assign different training sequences to nearby cells that use the same carrier. The GSM training sequence therefore performs the function

of the AMPS Supervisory Audio Tone (SAT) and the NA-TDMA digital verification color code (DVCC). It enables terminals and base stations to confirm that the received signal comes from the correct transmitter and not a strong interfering transmitter.

The two DATA fields carry either user information or network control information. Each of these fields is accompanied by a 1-bit FLAG and 3 TAIL bits. The FLAG indicates whether the DATA field contains user information or network control information. The TAIL bits, all set to 0, can be used to enhance equalizer performance. There is also a guard time of 30.5 μ s (corresponding to 8.25 bits) when no information is transmitted. The guard time includes ramp time for the transmitter to turn off at the end of one time slot and turn on at the beginning of the next slot. It also prevents signals assigned to adjacent time slots from arriving simultaneously at a base station receiver.

Figure 1.8 contains a total of 156.25 bits, which implies that the GSM transmission rate is

$$\frac{26 \text{ frames / multiframe}}{120 \text{ ms / multiframe}} \times 8 \text{ slots / frame} \times 156.25 \text{ b/slot} = 270.833 \frac{1}{3} \text{ kb/s} \quad (1.3)$$

This transmission rate corresponds to a bit duration of $1 / 0.270833 = 3.69 \mu$ s. GSM specifies that each receiver be capable of equalizing signals that arrive over multiple propagation paths with delay differences as high as 16 bits. This delay spread corresponds to more than four bit periods. To unscramble the inter symbol interference caused by this large spread, an adaptive equalizer is an essential component of every GSM receiver.

The modulation scheme in GSM is Gaussian minimum shift keying (GMSK), a form of frequency shift keying (FSK). A GMSK modulator performs signal processing operations to reduce the bandwidth occupied by an FSK signal. The principal operation is linear filtering, with a Gaussian transfer function. The filter confines the modulated signal to the 200 kHz band allocated to each carrier. Thus the modulation efficiency of GSM is

$$\frac{270.833 \text{ kb/s}}{200 \text{ kHz}} = 1.35 \text{ b/s/Hz} \quad (1.4)$$

significantly higher than AMPS frequency shift keying with modulation efficiency 0.33 0.33b/s/Hz. (10 kb/s / 30kHz). However, it is lower than the modulation efficiency of NA-TDMA (1,62 b/s/ Hz). In exchange for this lower modulation efficiency, GMSK has the advantage of a constant signal envelope, which reduces the drain on the battery of a portable telephone, relative to the NA-TDMA modulation scheme. The GMSK signal is also more robust in [lie presence of channel impairments than its NA-TDMA counterpart.

Note that in contrast to NA-TDMA , GSM has only one time-slot configuration for transmission of riser information. Both base stations ;and mobile stations use this configuration. Thus, a GSM base station turns off its transmitter at the end of each time slot. When it has information to send to another terminal in the next time slot, the base station resumes transmitting after a pause of $30.5 \mu\text{s}$. Recall that NA-TDMA base stations transmit continuously even if only a fraction of the time slots per frantic are assigner! to conversations or digital control channels. In GSM, the base stations turns off its transmitter in unassigned time slots. This has the effect of reducing interference to signals in nearby cells using the same carrier. It is also essential when the system employs slow frequency hopping.

1.3.3 Slow Frequency Flopping

GSM has two definitions of radio carriers. One is the conventional definition of a sine wave at a single frequency (among the 124 carriers in the GSM band). Jim other definition of a radio carrier is a frequency hopping pattern, consisting of a repetitive sequence of frequencies occupied by a signal. When the radio carrier is a frequency hopping pattern, the signal moves from one frequency to another in every frame. The purpose of frequency hopping is to reduce the vulnerability of GSM signals to transmission impairments. Without frequency hopping, the entire signal is subject to distortion whenever the assigned carrier is impaired. When the distortion is severe and sustained, an error-correcting code is incapable of recovering the transmitted hit stream. Many impairments are frequency dependent. When a transmitter employs frequency hopping, it is likely that the

signal will encounter these impairments for only a fraction of the time (when it hops to a frequency with a poor propagation path or high interference). In this situation, it is possible that error-correcting codes applied to GSM signals will mitigate the sporadic effects of the transmission impairments. Figure 1.9 shows, as a function of time, the frequency bands occupied by two convention carriers and two frequency hopping carriers.

Frequency hopping can also reduce harmful effects of co-channel interference between signals in nearby cells. The interference in a conversation depends on the location of a mobile phone in another cell using the same carrier. If a network operator assigns different hopping patterns to different cells, two mobile phones that are in vulnerable positions with respect to one another will use the same carrier frequency for only a fraction of the time. With only part of a signal subject to interference, error-correcting codes have a chance of overcoming the effects of the interference.

All GSM terminals are capable of frequency hopping. Network operators decide whether to introduce frequency hopping, and if so, which patterns to use. To avoid interference with one another, all of the signals in a cell have to hop in a coordinated manner, so that two of them do not use the same frequency simultaneously. Moreover, to reduce the effects of interference from other cells, as described in the previous paragraph, the hopping patterns in a group of cells have to be coordinated with one another. Frequency hopping thus adds a new dimension of complexity to cellular reuse planning.

1.3.4 Radiated Power

GSM specifies five classes of mobile stations distinguished by maximum transmitter power, ranging from 20W (43 dBm) to 0.8 W (29 dBm). When a terminal transmits in a full-rate channel, the transmitter is active during only one time slot per frame (one-eighth of the time). This implies that the average radiated power is lower than the maximum by a factor of eight (9 dB). Typically, the maximum power capability of vehicle-mounted terminals is 8 W (1 W average).

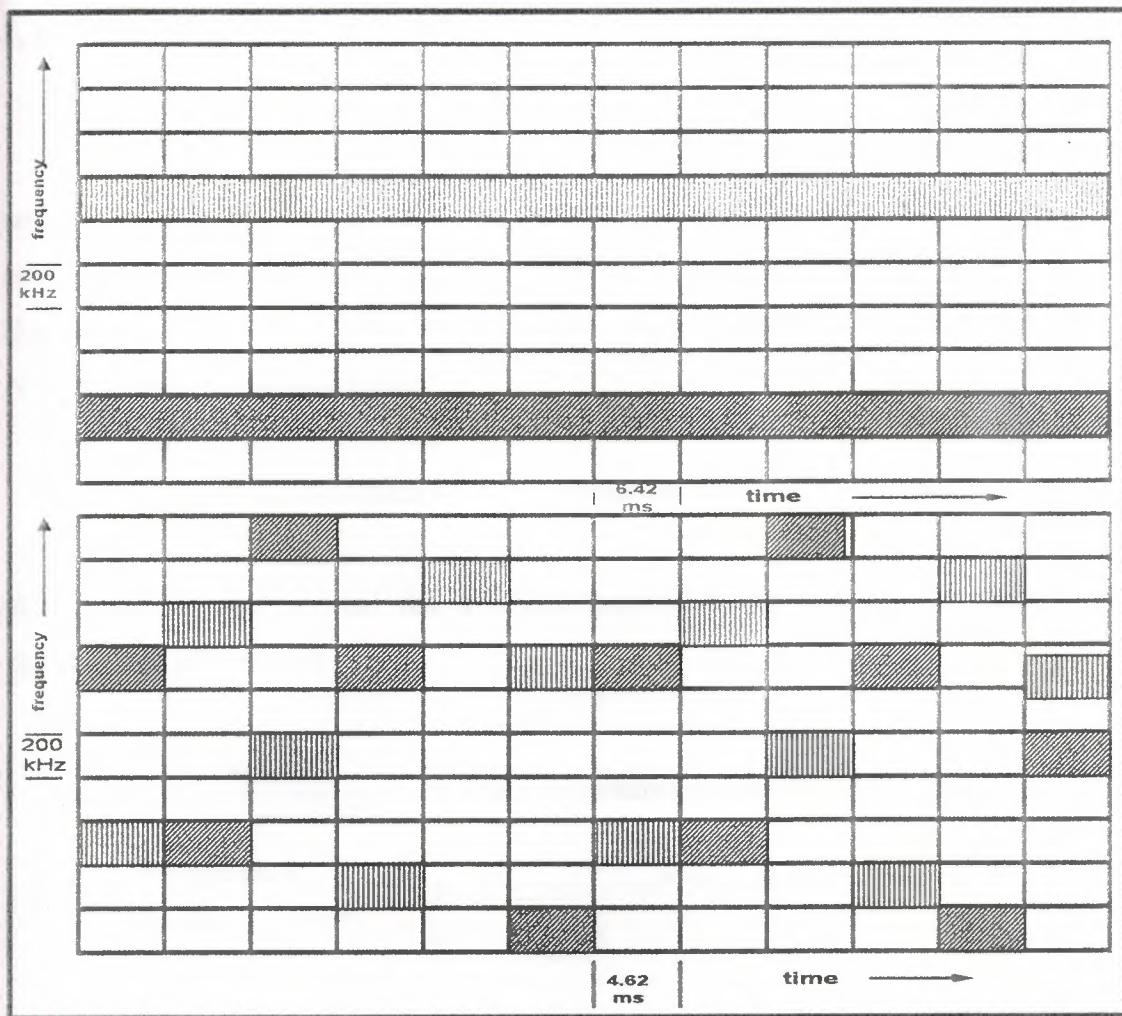


Figure 1.9 : Two conventional carriers (upper drawing). Each carrier uses the same frequency over the time. Two frequency hopping carriers (lower drawing). The hopping patterns repeat every six frames.

Portable terminals typically have 2 W maximum transmitter power (250 mW average). In common with other cellular systems, (GSM employs power control.. Terminals can adjust their power to any of 16 power levels that range over 30 dB in steps of 2 dB.

1.3.5 Spectrum Efficiency

the GMSK modulation technique, combined with error-correcting codes and adaptive equalization, makes GSM less vulnerable than NA-TDMA to interference. The system can meet signal-quality objectives with a signal interference ratio as low as 7 dB [Mouly and Paulct, 1992]. This allows networks to operate with a reuse factor of $N=3$ or $N=4$ depending on the environment. The entire GSM spectrum allocation contains:

$$124 \text{ carriers} \times 8 \text{ channels/carrier} = 992 \text{ physical channels.} \quad (1.5)$$

Without taking into account the overhead imposed by the need for common control channels, the efficiency of GSM is

$$E = \frac{992 \text{ channels}}{4 \text{ cells / cluster} \times 50 \text{ MHz}} = 4.96 \text{ conversations / cell / MHz (N = 4), or}$$

$$E = \frac{992 \text{ channels}}{3 \text{ cells / cluster} \times 50 \text{ MHz}} = 6.61 \text{ conversation/cell/MHz (N/3).} \quad (1.6)$$

These numbers slightly lower than the efficiency of NA-TDMA. Even though NA-TDMA has many more physical channels (up to 2,500 channels in 50 MHz) than GSM, its efficiency is not substantially higher because of its greater vulnerability to interference.

CHAPTER 2

LOGICAL CHANNELS

2.1 Introduction

Figure 2.1 displays the logical channels defined in GSM. The traffic channels are both two-way channels with identical transmission formats in the two directions. In this respect, GSM differs from NA-TDMA and CDMA).

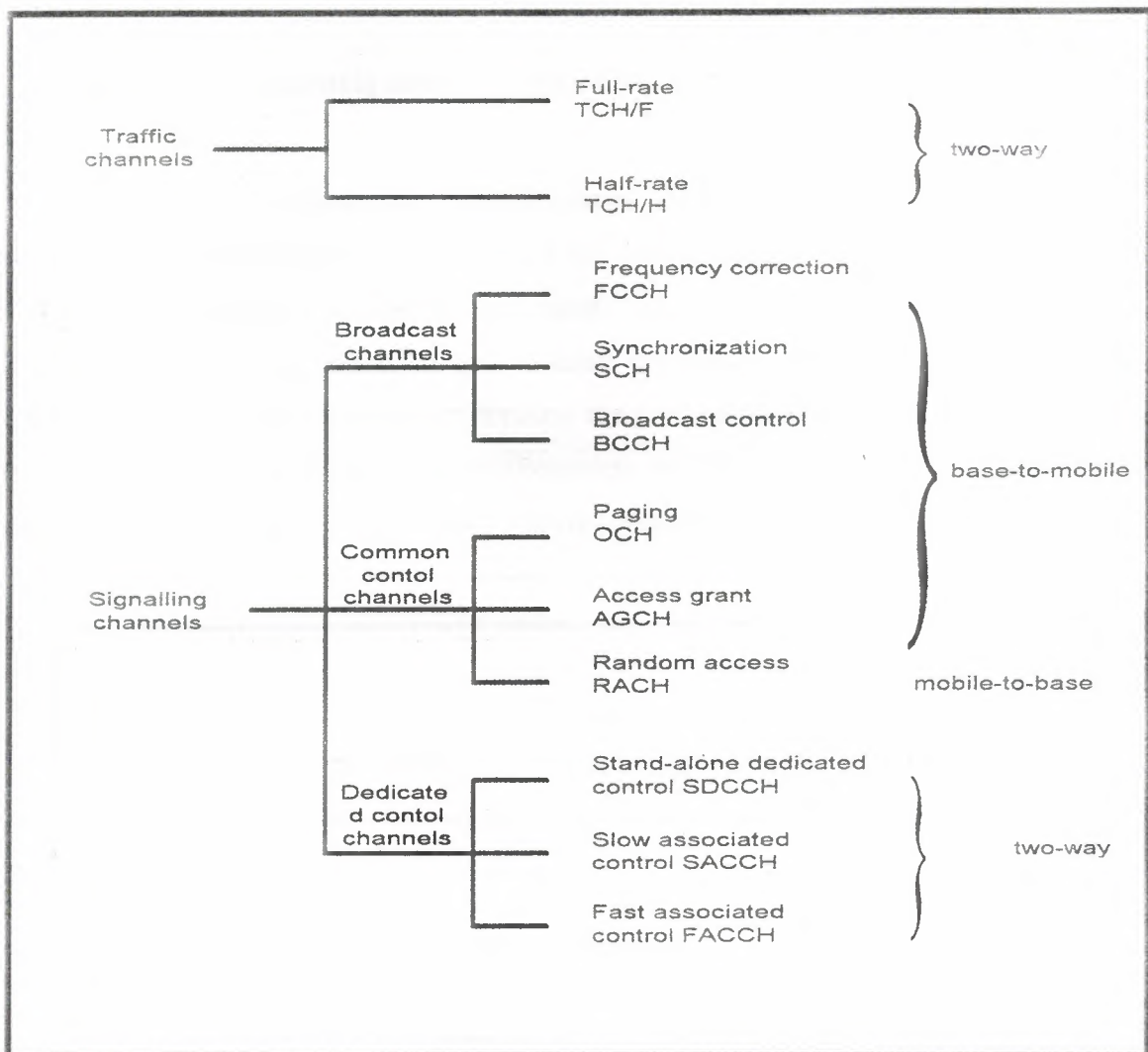


Figure 2.1: GSM logical channel .

In both of those systems, the multiplexing scheme on the forward traffic channel differs from the multiplexing scheme on the reverse traffic channel. There are three categories of control channels (in GSM terminology, they are together referred to as *signaling channels*). A base station uses *broadcast channels* to transmit the same information to all terminals in a cell. The common control channels carry information to and from specific terminals. However, they use physical channels that are available to all of the terminals in a cell.

The dedicated control channels use physical channels that are assigned to specific terminals. The following paragraphs describe the GSM logical channels individually.

2.2 Broadcast Channels and Common Control Channels

Together the broadcast and common control channels serve the same purposes as the digital control channel in NA-TDMA and the pilot, sync, paging, and access channels in CDMA. They make it possible for a terminal without a call in progress to synchronize its operation with a base station, to gain essential information about system operation, and to set up calls. In each cell, GSM multiplexes the broadcast and common control channels on the same carrier (either a single frequency or frequency hopping sequence), like broadcast channels always occupy time slot 0 in repetitive frame patterns on the carrier.

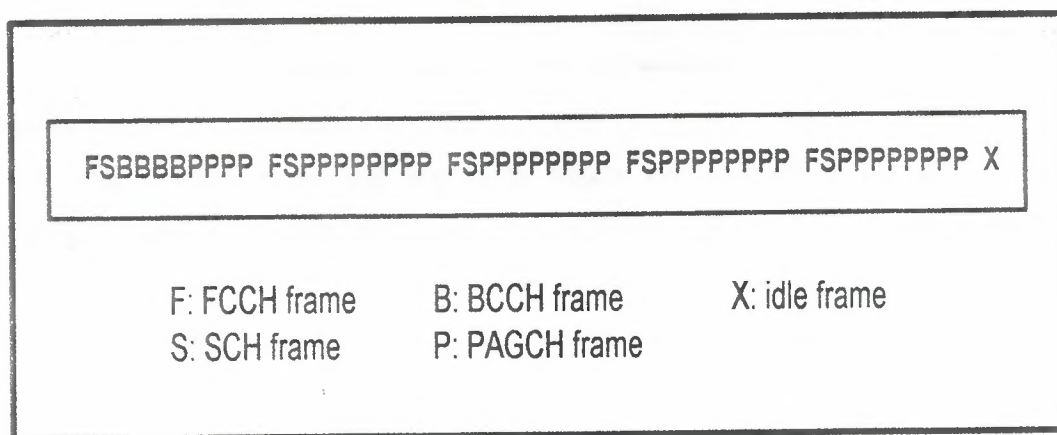


Figure 2.2 :Control multi frame .

The common control channels also occupy time slot and if they need more capacity than time slot 0 can provide they need, they can occupy time slots 2,4, or .6 or the same carrier.

The frames occupied by each channel are specified with respect to their positions ,within the 51 frame control multi frame in figure 1.6. figure 2.2 shows the contents of time slot 0 in each of the 51 frames . in each multiframe there are five groups of frames, each containing ten frames beginning with a frequency correction frame and a synchronization frame. At the beginning of the multiframe, four broadcast control frames follow the FCCH and SCH. With the exception of one idle frame at the end of the multi frame, all of the remaining frames carry and access grant information, referred to together as PAGCH [Mouly and Paul,1992] in figure 2.2 .

The pattern illustrated in figure 2.2 applies to time slot 0 in one carrier in the forward direction. In the reverse direction, time slot 0 of the corresponding carrier is assigned to a random access channel in all 51 frames of the multiframe. All of the terminals in a cell without a call in progress share this channel on a contention basis. The other seven time slots on this carrier is independent of the one dedicated to control channels. Typically, they carry traffic channels or stand alone dedicated control channels. However, the even-numbered slots can also be used for common control channels if the number of control message in a cell exceeds the capacity of a single physical channel.

2.3 Frequency Correction Channel (FCCH)

On beginning its operation in a cell, a terminal without a cell progress searches for a frequency-correction channel. The FCCH is one of logical channels with a time-slot structure that deviates from that shown in figure 1.8. instead of the DATA fields, TRAINING fields, FLAG bits, and TAIL bits of figure 1.8. the FCCH simply transmits 148s. this causes the GMSK modulator to emit a constant sine wave, each terminal adjusts its frequency reference to match that of the base station. The FCCH always occupies time slot 0 in a frame of eight time slots. After a terminal detects

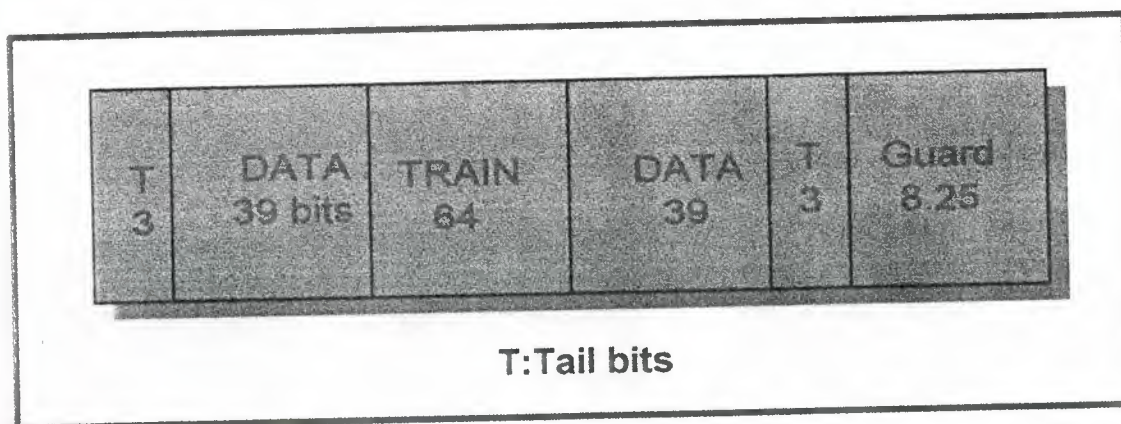


Figure 2.3 : Time-slot structure for the synchronization channel

the distinctive sine wave of an FCCH, it can keep of the number(between 1 and 7) of each successive time slot. After finding an FCCH, a terminal obtains timing information *from a* synchronization channel that arrives eight slots after the arrival of the FCCH sine wave

2.4 Synchronization Channel (SCH)

A base station transmits SCH information in time slot 0 of every frame that follows a frame containing FCCH. The SCH also has its own slot structure, shown in figure 2.4, that deviates from the one shown in figure 1.8. To help terminals synchronize their operation to a new base station, the SCH containing a long TRAINING sequence (64 bits) that is the same in all cells. The DATA fields in the SCH containing the base station identity code (BSIC) (see Table 1.1) and the present frame number. The frame number is the position of the current frame within the 3.5 hour GSM hyper frame (Figure 1.6). The hyper frame is a sequence of $2,048 \times 26 \times 51 = 2,715,648$ frames.

Each SCH transmission Consists of one message containing 25 bits. It is protected with an error-detecting code that adds 10 parity bits and by a rate 1/2 convolution code. Figure 2.4 shows the coding operations on the SCH.

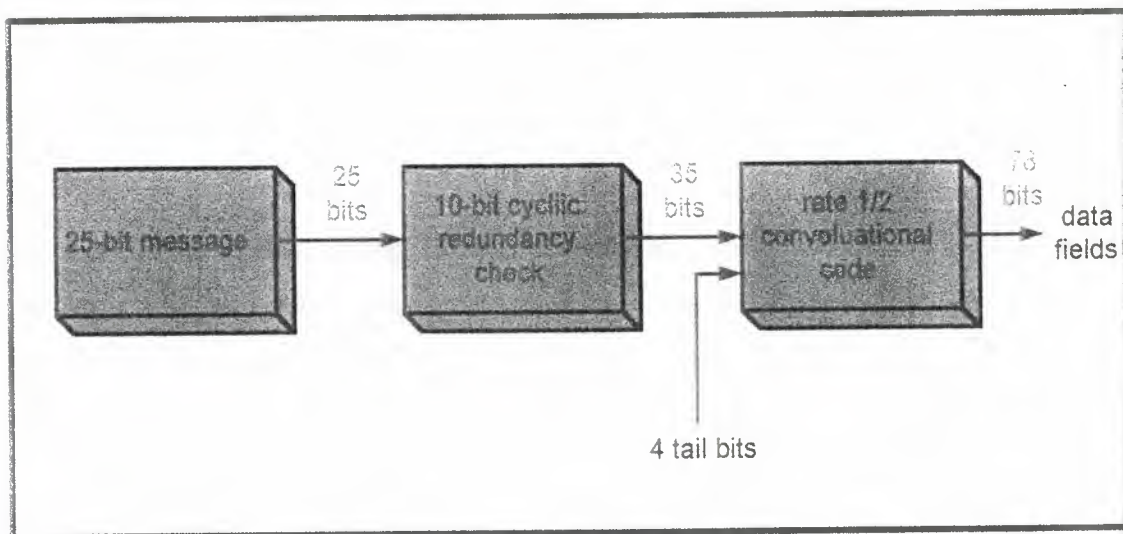


Figure 2.4 coding on the SCH.

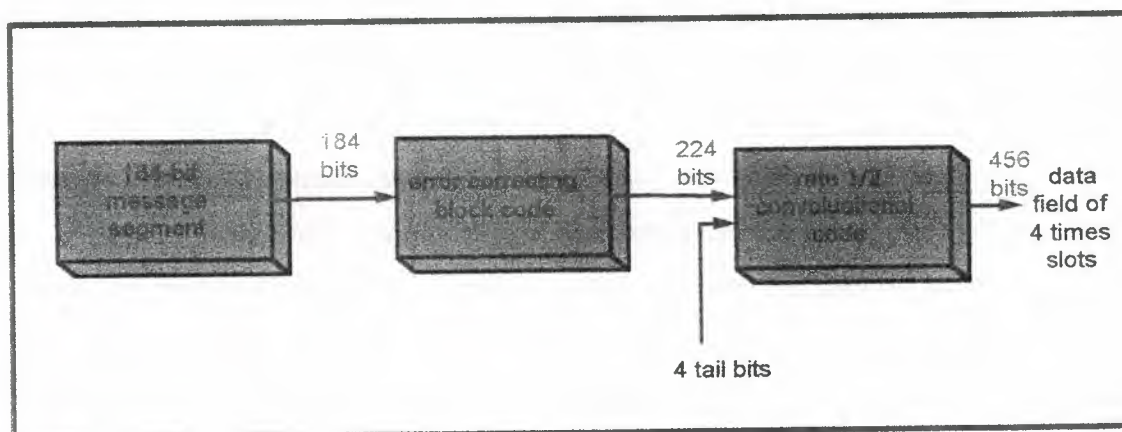


Figure 2.5 Coding On Control channels with the exception of fire FCH, SCH and RACH.

2.5 Broadcast Control Channel (BCCH)

Base stations use the broadcast control channel to transmit the information that terminals need to set up a call, including the control channel BCCH transmits one message segment, of length 184 bits, in every control multi frame. This message segment is protected by an error-correcting block code (referred to as *afire code*) that adds 40 parity check bits and by a rate 1/2 convolution code to produce 456 bits, the DATA content of the four BCCH frames in figure 1.1 Thus the BCCH sends one message segment every 235 ms, the duration of a 51-frame control multiframe Figure 2.5 shows the coding operations on the BCCH.

2.6 Paging Channel (PCH) and Access Grant Channel (AGCH)

As its name implies, the purpose of the PCI is to notify terminals of arriving calls The purpose of the AGCH is to direct a terminal to a stand-alone dedicated control channel (SDCCH). Together the PCH and AGCH share time slot 0 in each of the frames "P" in the control multiframe in Figure 2.2. Mouly and Pautet [1992] use the designator PAGCH to refer to the aggregate of these two channels. Both channels use the same coding scheme as the BCCH (Figure 2.5). together they occupy 36 frames per multiframe. With each message occupying four fames, one time slot has a capacity to send nine messages in every 235-ms multi frame.

As in NA-TDMA and CDMA, GSM terminals without a call in progress are capable of sleep-mode operation, turning on their receivers For only a fraction of the time in order to monitor paging messages. To coordinate sleep-mode operation, a base station assigns each block of four P frames shown in figure 1.11 to either PCH operation or AGCH operation. it then divides the PCH blocks, into a number of paging groups ranging from 4 to 81. It uses the BCCH to communicate this allocation of signaling resources to AGCH operation and paging groups. On receiving this information, at idle terminal determines its raging group and monitors only the time slots occupied by that paging group, conserving its battery power the remainder of the time.

2.7 Random Access Channel (RACH)

Terminals without a call in progress use this channel to initiate signaling dialogs with the remainder of the system. GSM terminals send messages on the random access channel to originate phone calls, initiate transmissions of short messages, respond to paging messages, and register their locations. As in the counterparts to the RACH found in the other systems described in this project, disperse terminals contend in an uncoordinated manner for access to the RACH. However, in GSM the contention is simpler than in other systems and the information transmitted on the RACH is far more restricted. A RACH occupies all of the reverse direction time slots of a common control channel (one slot in each frame of the 51-frame control). Terminals with information to transmit use the slotted ALOHA protocol [Tannenbaum, 1.988, Section 3.2] to gain access to these time slots.

A terminal with information to transmit simply chooses a time slot, transmits a message, and waits for an acknowledgment. An acknowledgment contains, in place of address, the total number in which the uplink message arrived, and a 5-bit code word transmitted in the RACH message. The acknowledgment directs the terminal to a stand-alone dedicated control channel (SDCCH) to be used for further signaling messages transmitted between the terminal and the base station. A terminal, after transmitting a RACH message, waits for a fixed time interval for an acknowledgment. If no acknowledgment arrives, the terminal transmits another RACH message, and repeats the procedure until it reaches a maximum number of attempts as specified by a message on the BCCH.

Transmissions on the RACH use shortened frames of a duration of 87-bit periods, to ensure that they are confined to the boundaries of a single time slot when they arrive at the base station. Figure 1.15, which shows the time-slot structure of the RACH, indicates that the guard time is 69.25 bits.

256 μ s sufficient to allow transmissions from all parts of a cell to arrive within the 577 μ s duration (156.25 bit periods) of a time slot. On observing the time of arrival of the RACH message, a base station determines the correct timing (or subsequent transmissions

from the terminal and sends this “timing advance” information to the terminal in the channel assignment message.

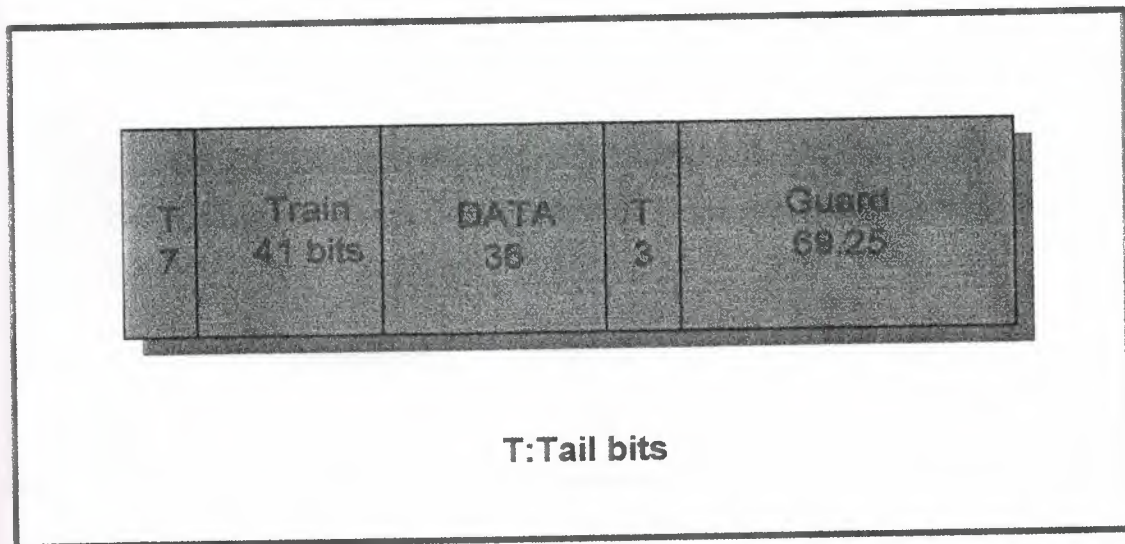


Figure 2.6 : Time slot structure of the RACH

The 36-bit field labeled DATA in figure 2.6 carries a simple 8-bit message protected with an error-detecting code and an error-correcting code, as shown in Figure 2.7. Three of the 8 message bits indicate the purpose of the access attempt. The other 5 bits are part of the RACH access protocol. These 5 bits are produced by a random number generator for the purpose of distinguishing messages .From two terminals that transmit in the same time slot. When the base station receives a message on the RACH, it transmits this 5-bit number and the time slot number in its acknowledgment. If only one terminal transmits a message in a time slot, the long training sequence and the error correcting code make it likely that the base station will decode this message accurately.

When two terminals contend for the same time slot, it is likely that there mutual interference will make it impossible (or the base station to detect either message. However, if one signal is considerably stronger than the other, this signal could

capture the base station receiver and be detected accurately. In this event, the 5-bit random code is likely (with

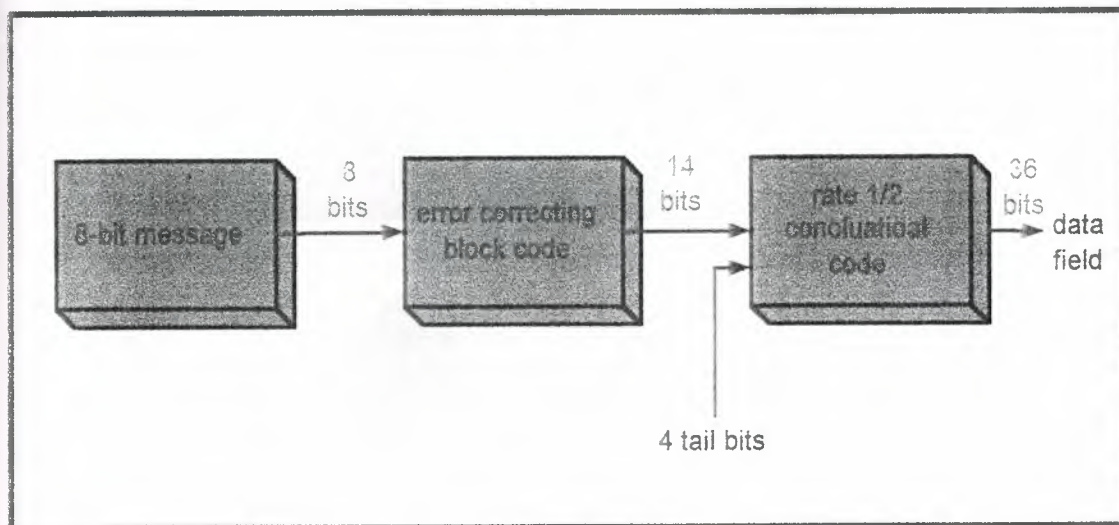


Figure 2.7 : Coding on the RACH.

probability $31/32$) to distinguish the successful terminal from the other one. However ; it is possible (probability $1/32$) that both terminals have generated the same 5-bit random code. When this happens, both of them will receive positive acknowledgments and tune to the same traffic channel. In this event, a call management procedure resolves the conflict.

The flowchart in Figure 2.8 is a summary of the access protocol. Compared. with other systems, GSM transmits very little information on the RACH. In GSM, stand alone dedicated control channels carry information that other systems send over the counterparts of the RACH.

2.8 Stand-Alone Dedicated Control Channel (SDCCH)

The stand alone dedicated control channel (SDCCH) is a two way channel assigned to a specific terminal. The physical channel used by an SDCCH is a set of four time slots in each 51-frame control multi frame (Figure 1.6).

With 114 data bits per time slot (Figure 1.8), the data rate of the SDCCH can be calculated with respect to the duration of a super frame (26×51 frames or 6.12 seconds). This is less than 10 percent of the data rate of a full-rate traffic channel. GSM uses an SDCCH to economize on transmission resources in performing network control procedures.

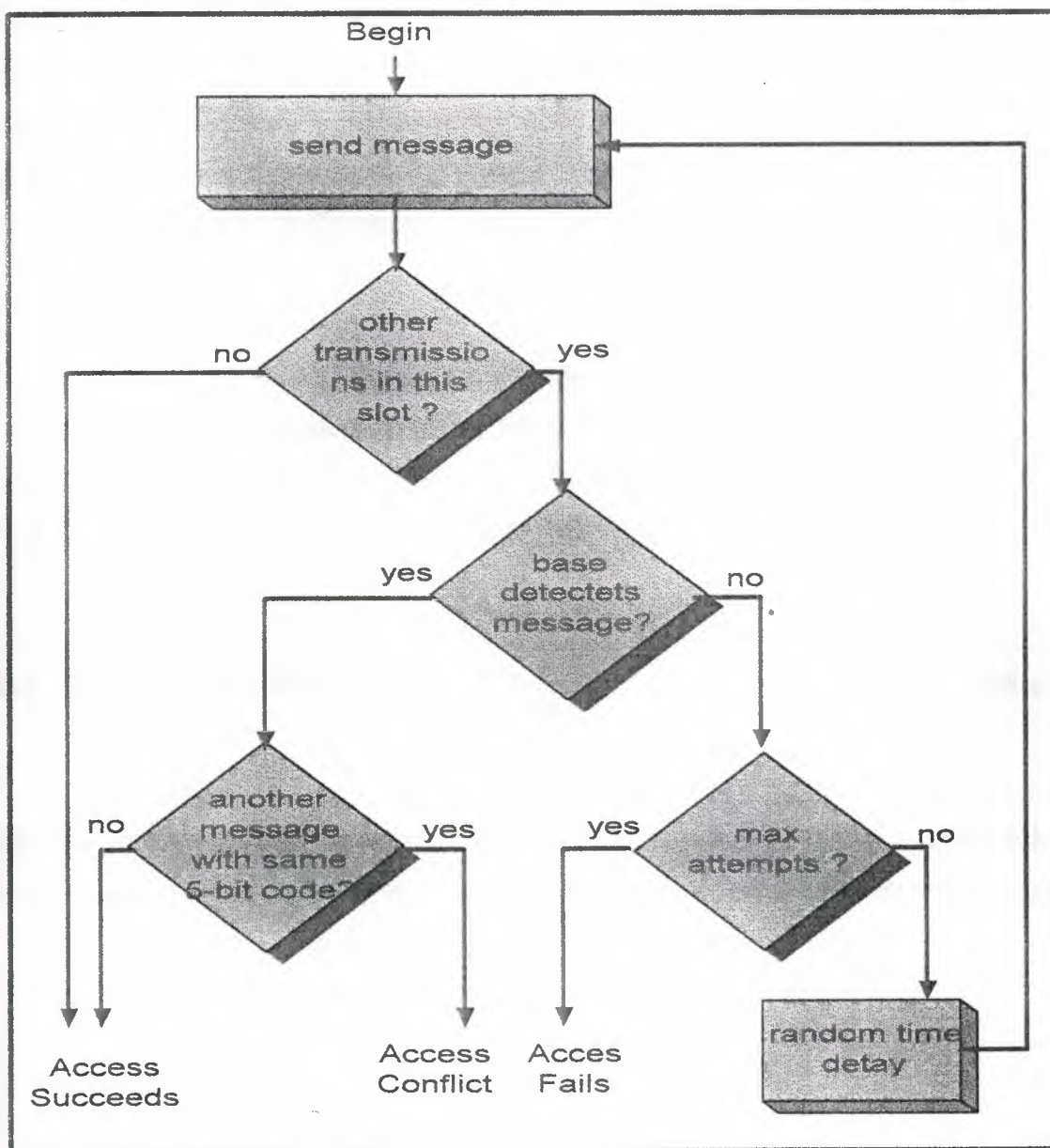


Figure 2.8 : Access protocol of the random access channel .

, including mobility management and call management¹ that do not require a high average data rate. The SDCCH is an efficient alternative to using a RACH or a traffic channel to perform network control. The RACH is inefficient due to the contention that takes place in the access protocol. A traffic channel has a data rate that is higher than necessary for the control procedures. We have observed that in contrast to the other systems presented in this book, not much network control information moves on the RACH in GSM. To transfer all the information necessary to set up a call, GSM assigns a terminal 10 a SDCCH. After performing the necessary transfer of network control information, the system commands the terminal to move to a traffic channel.

Like traffic channels, each SDCCH has a slow associated control channel. In the case of the SDCCH, the SACCH occupies an average of two time slots per control multi frame. Therefore, its bit rate is one half that of the SDCCH (which occupies four time slots per multi frame), or approximately 969 b/s, which is about 2 percent higher than the bit rate of a SACCH associated with a traffic channel (which is 950 b/s). Channel coding on the SDCCH conforms to Figure 2.5.

2.9 Traffic Channels (TCH)

GSM defines two traffic channels. a full rate channel (TCH / F) occupies 24 time slots in every 26-frame traffic multi frame. A half rate channel (TCH/F) occupies 12 time slots in every multi frame. Both traffic channels use the time slot structure of Figure 1.8 with 114 data bits. Therefore the bit rate of a full rate traffic channel is 22.8k/s. The bit rate of a half rate traffic channel is, as the name implies, half of this, or 11,40 k/s.

2.10 Speech Coding and Interleaving

The principal purpose of GSM traffic channels is to carry conversational speech. Initial implementations of GSM use only full rate traffic channels with the speech coding technique described in this section. In later developments GSM has adopted standards for two new speech coders, which performs enhanced full rate (EFR) coding, is used in full-rate traffic channels. Like the advanced coder developed for NA-TDMA, it uses the

ACELP technique to achieve higher voice quality than the original GSM speech coder achieves. The other coder operating at a lower bit rate, can be used to transmit speech in half-rate traffic channels.

the original speech coding technique of GSM is referred to as linear prediction coding with regular pulse excitation. As indicated in Figure 2.8, the LPC-RPE coder uses $36 + 188 + 36 = 260$ bits to represent each block of 20 ms of speech (160 samples at the 8 kHz sampling rate). Therefore the speech coding rate is

$$260 \text{ bits/block} + 0.02 \text{ sec/block} = 13,000 \text{ b/s.}$$

This is higher than the coding rates of NA-TDMA and CDMA. The higher bit rate reflects the early date when the GSM coder was developed. For a given speech quality, the required code rate predictably decreases with time, reflecting advances in signal processing hardware technology. In the LPC-RPE coder, 36 bits per block carry information about eight linear prediction

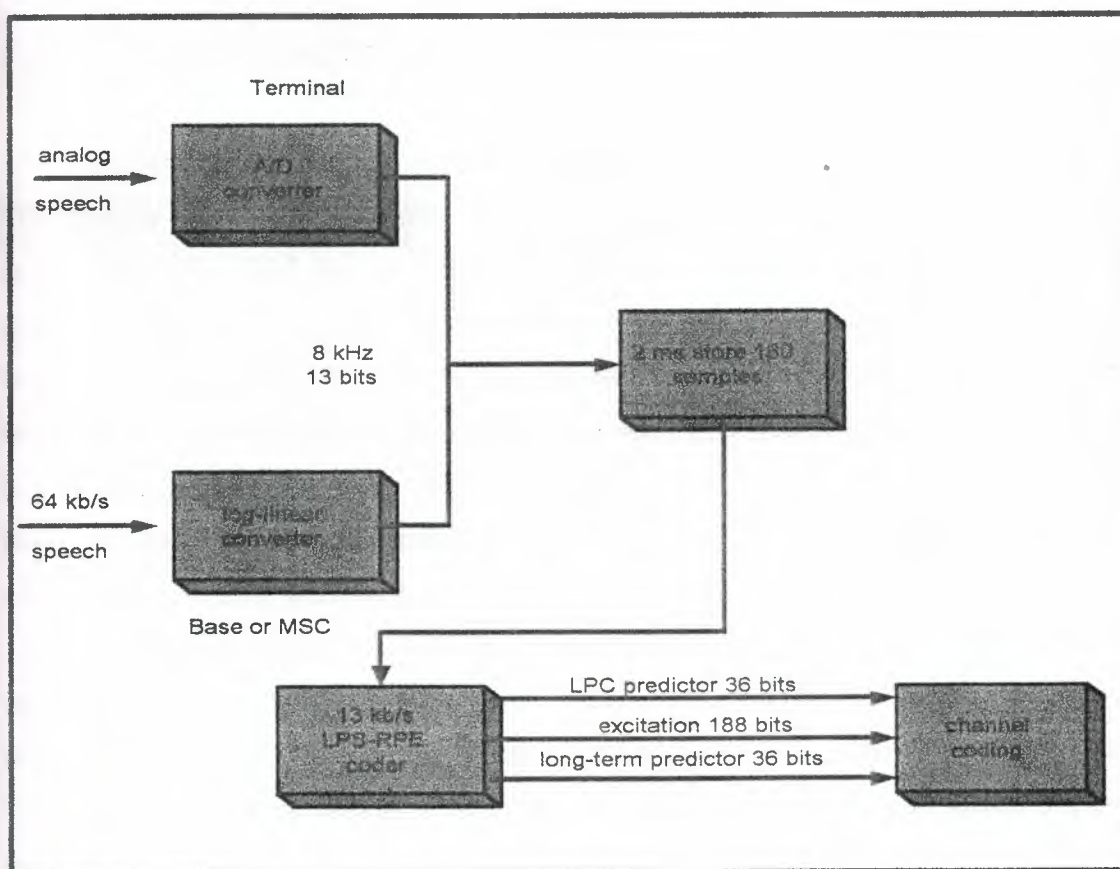


Figure 2.8: Linear prediction coding with regular pulse excitation.

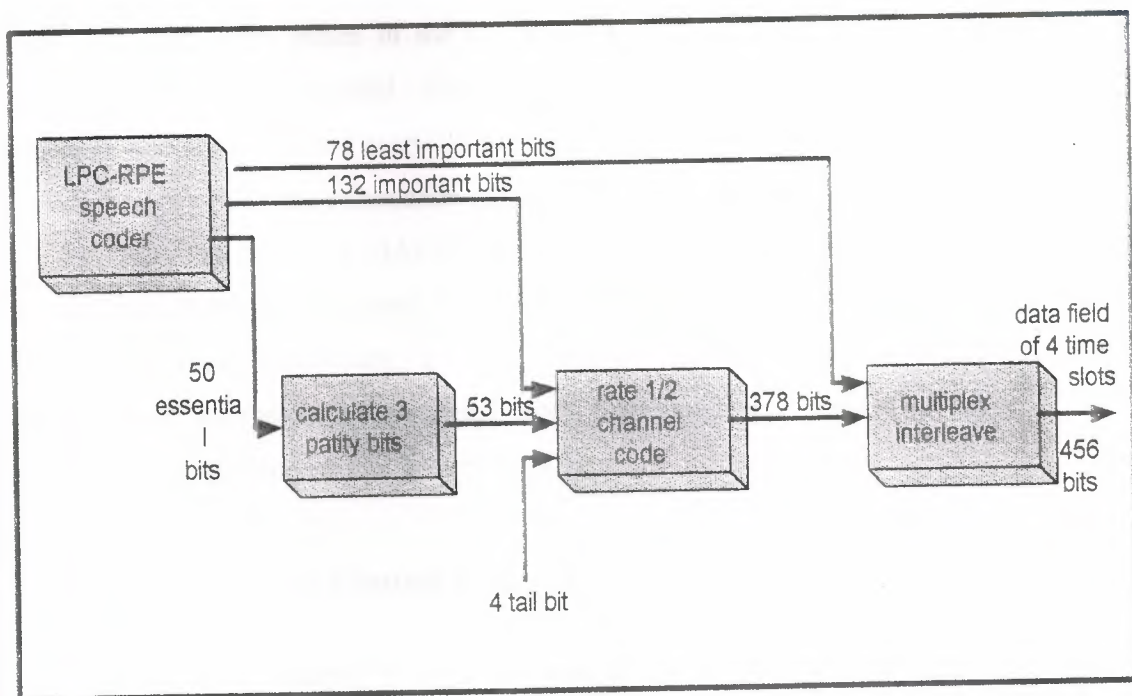


Figure 2.9 : channel coding for speech signals .

Coefficient , another 36 bits represent the long term predictor; and the remaining 188 bits carry excitation information.

as in NA-TDMA, GSM applies different amounts of error correction to the 260 bits in each speech coding block. Figure 2.9 is a summary of the error protection applied to each 20 ms speech block. It identifies 50 bits as essential, in the sense that errors in these bits have a severe effect on speech quality. the speech coder adds error-detecting parity bits to these 50 bits. if the corresponding parity checks fail at a receiver, the receiver- does not send this block of data to the speech decoder. instead the ,receiver performs an operation similar to me bad frame masking specified in NA-TDMA .

GSM specifies that on receiving a single block with parity errors, the receiver 'ill repeat the previous block. If subsequent blocks also contain parity errors, the receiver continues to repeat the previous block, gradually decreasing the speech amplitude. Eventually after 320 ms without a valid received block, the receiver sends silence blocks to the decoder. A group of 132 bits, identified as "important," also have a strong effect on speech quality when it contains errors .a rate 1/2 convolution coder adds forward error correction to the combination, of these 132 important bits, the 50 essential bits, and the 3

parity bits that detect errors in the essential bits the remaining 78 bits generated by the speech coder are transmitted without error protection. The channel coding process generates a total of 456 bits every 20 ms, corresponding to speech transmission rate of 22.8 b/s which is, of course, the information rate of a full -rate traffic channel.

Note that with 114 DATA bits per time Slot (Figure. 1.8), the 456 bits. produced for each speech block correspond to the information content of four time slots. Rather than fill four time slots sequentially, a GSM transmitter performs the interleaving operation in Figure 2.4 over the contents of two speech block, corresponding to 40 ms of speech or $2 \times 456 = 912$ coded speech bits. It distributes these bits over eight frames.

2.11 Slow Associated Control Channel

When GSM assigns a traffic channel or a stand-alone dedicated control channel (SDCCH, see Section 2.4) to a terminal, it also allocates sources for an SACCH. Although NA-TDMA performs a corresponding allocation, the multiplexing of SACCH information in the transmitted bit stream is different in the two systems. NA-TDMA places SACCH information in each traffic time slot; GSM establishes separate time slots that contain only SACCH information. These slots are in frames 12 and 25 of each 26-frame traffic multi frame (see Figure 1.7). The SACCH associated with each traffic channel occupies one slot per traffic multi frame. With 114 information bits per time slot, the transmission rate of a traffic SACCH is 950 b/s .

Channel coding on the SACCH corresponds to the coding shown in Figure 2.4. With 456 bits transmitted per message, a message spans four traffic multi frames, a time interval of 480 ms.

2.12 Fast Associated Control Channel

The 480 ms transmission time of a message on the SACCH is too slow for Some network operations. GSM transmits the messages that control these operations on an FACCH, which is an in-band signaling channel created by interrupting user information on a traffic channel or an SDCCH. When a mobile station or a base station transmits an

FACCH message, it indicates that user information (on a TCH) or signaling information

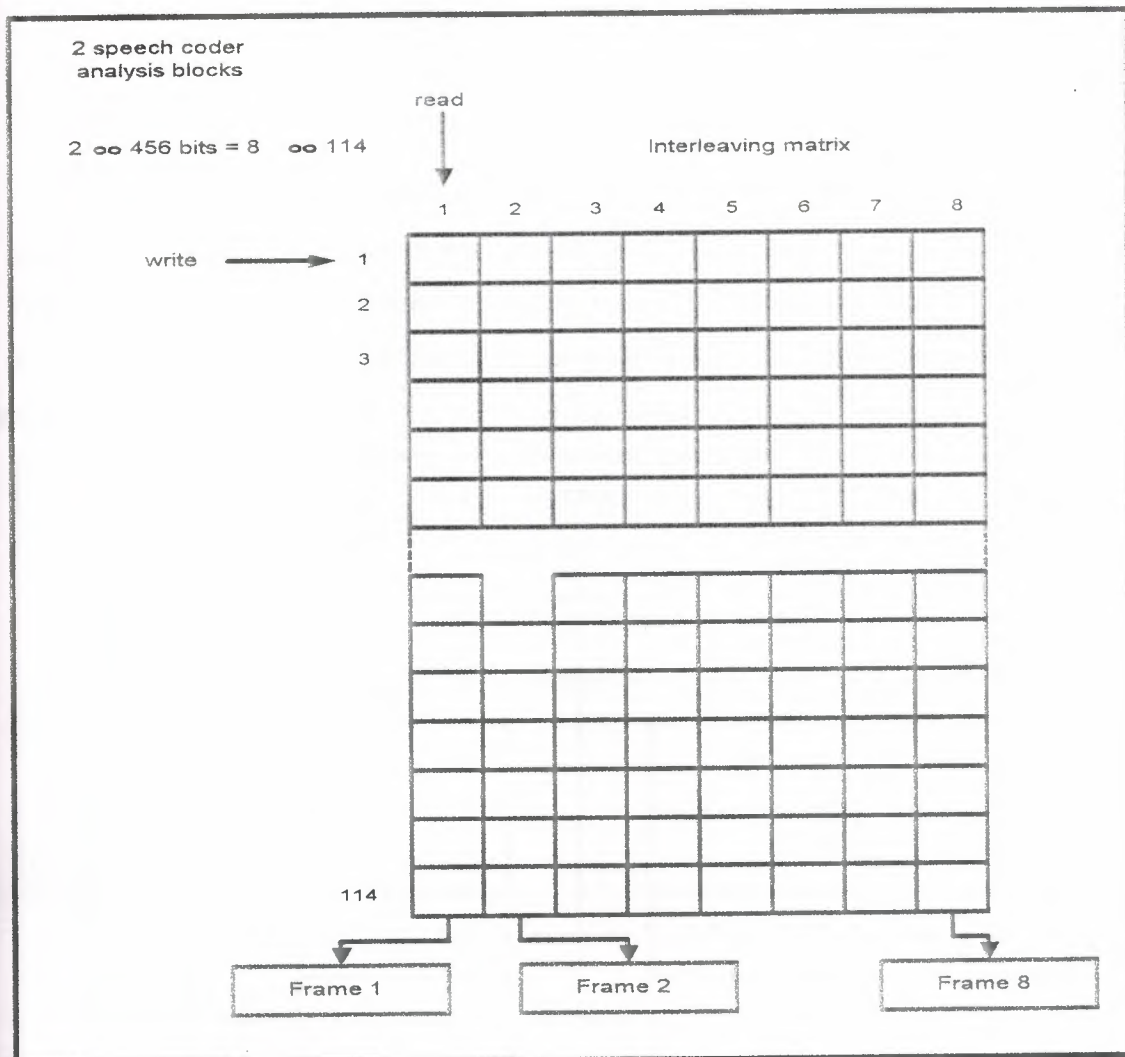


Figure 2.10 : GSM interleaving .

(on an SDCCH) has been interrupted by altering the polarity of the FLAG bit assigned to each 57-bit DATA field (Figure 1.8) occupied by the FACCH. Like the SACCH , the channel coding on the FACCH corresponds to Figure 1.8. Each FACCH message is multiplexed with user information and interleaved over eight frames in the manner indicated in Figure 2.10. Therefore, for a traffic channel, the transmission of an FACCH message spans eight frames, approximately 40 ms.

2.13 Messages

GSM specifies the communications protocols employed on all of the labeled network interfaces in Figures 1.1 and 1.2. D, E, F, and G in Figure 1.1. A substantial fraction of the GSM specification covers the A interface between a base station controller and a base transceiver station. Figure 2.11 is a summary of the protocols on these interfaces as

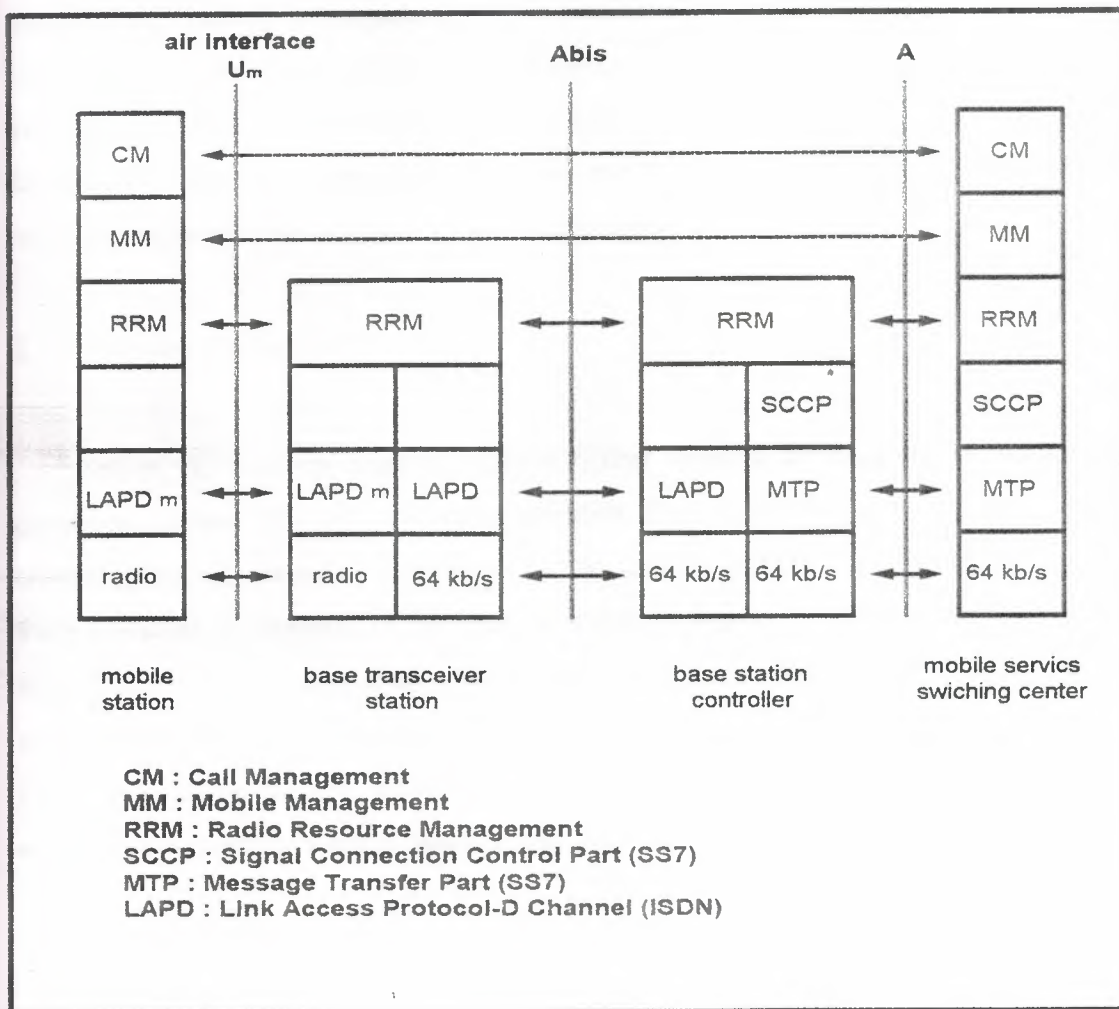


Figure 2.11 : GSM protocol layers .

well as on the GSM air interface. The figure indicates that the A interface uses Signaling System 7 protocols and that the Abis interface uses LAPD, the ISDN data link layer protocol. On the air interface, the corresponding protocol is LAPD. Like the Um nomenclature, this terminology appends "m" denoting mobile, to the name of an ISDN protocol.

Earlier sections of this chapter describe the physical layer (labeled "radio" in Figure 2.12). Section 2.5 describes the GSM message structure specified in the LAPD protocol. Section 2.5 then examines message content, with messages classified according to the network management operations they perform: radio resources management, mobility management, or call management. Figure 2.11 indicates that the base station system participates in radio resources management. By contrast, the mobile switching center and the terminal coordinate call management and mobility management functions. For these two categories of system operations, the base station system simply relays messages between terminals and switching centers.

2.14 Message Structure

All of the signaling channels listed in figure 1.10, with the exception of the frequency correction channel (FCCH), the synchronization channel (SCH), and the random access channel (RACH), transmit information in the LAPD format. The physical layer carries these messages in segments of 184 bits, as shown in Figure 2.5. Most messages fit into a single segment that spans four physical layer time slots. The exceptions are a few call management messages that require multiple segments.

Figure 2.13 shows the five information fields that appear in LAPD messages. Although every message contains a length indicator field, the

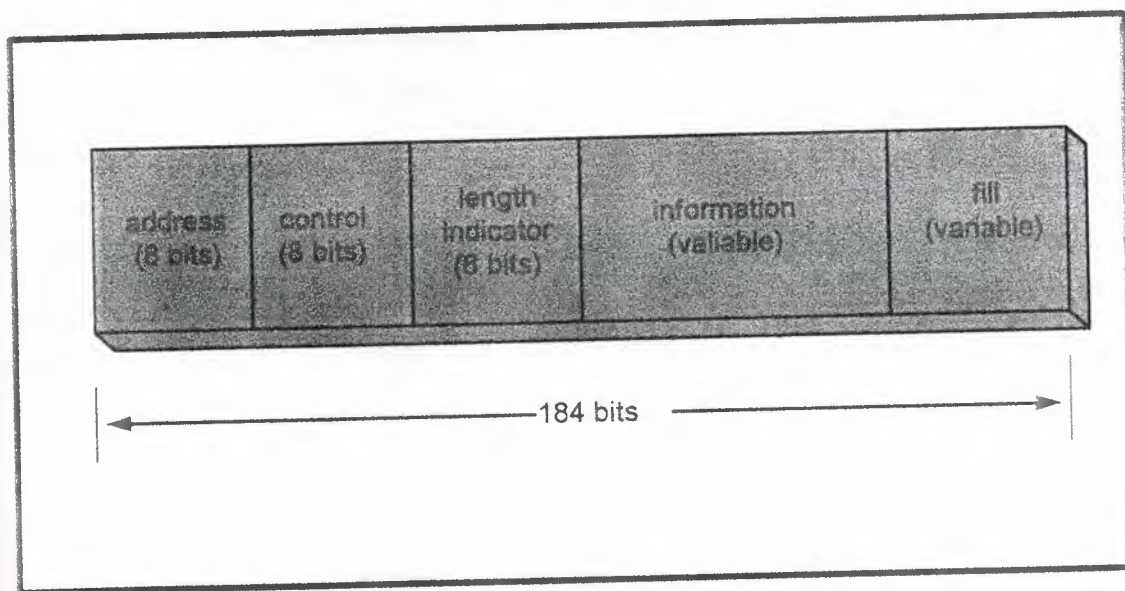


Figure 2.13 : Data fields in a GSM message segment .

presence of the other fields depends on the message type and the channel carrying the message. For example, when there are no paging or access grant messages to send in frames labeled “P” in Figure 2.2, the base station simply transmits a length indicator field (indicating 0-length information) and 176 fill bits (set to logical value 1).

The message structure of Figure 2.13 is similar to LAPD, with a few notable differences. For example, LAPD messages begin and end with 8-bit synchronization flags: 01111110. In GSM, these flags are unnecessary due to the organization of messages within the data fields of time slots (see Figures 7.8, 7.12, and 7.15). Each LAPD message segment also contains a 16-bit frame-check sequence for error detection. By contrast, GSM provides error control at the physical layer by means of powerful channel coding schemes (see Figures 2.4, 2.5, and 2.7).

Although the GSM standard allows for the possibility of longer address fields and length-indicator fields, these fields have a length of 8 bits in all messages in the current specification.

Following LAPD classifies each message as either a command (C) or a response (R). One C/R bit in the address field indicates the nature of the message. The remainder of time address consists of a 3-bit protocol discriminator, a 1-bit extended address indicator

(always set to 0 in the initial version of GSM), and a 3-bit service access point identifier (SAPI). Anticipating revised versions of the GSM standard, the protocol discriminator indicates to which revision the current message conforms. The SAPI allows a network element to engage simultaneously in more than one type of communication. SAPI = 0 corresponds to a network management message (call control, mobility management, or radio resources management) and SAPI = 3 corresponds to a short message service message.

Following the ISDN convention, GSM specifies three message types: information (I), supervisory (s), and unnumbered (U). Information messages perform the main tasks of GSM network management. S messages and U messages control the flow of I messages between terminals and base stations. Message flow is also controlled by two message sequence numbers in the control field of each information message. N(S) is the 3-bit sequence number of the current message, and N(R) is the sequence number of a message received by the network element that is sending the current message. For example, when a terminal transmits an I message, the value of N(S) in the control field is the sequence number of that message. The value of N(R) is the sequence number of the last message received from the base station. When the base station observes N(R), it may infer that a message transmitted to the terminal did not arrive successfully. This would cause the base station to retransmit the lost message.

The length indicator field contains a 6-bit field that specifies the number of octets in the information field. Length = 0 indicates that the current message does not carry an information field. There is 1 bit in the length indicator field that indicates whether or not the present message segment is the final one in the current message. When the other fields occupy less than 184 bits, the remainder of the message contains fill bits that are all logical 1s.

2.15 Message Content

Table 2.1 is a list of the unnumbered (U) messages and supervisory (S) messages that control the flow of information (I) messages. The unnumbered messages initiate and terminate exchanges of information messages. The set asynchronous balanced mode

command begins a flow of I messages. this message causes the base station and terminal to set the message numbers N(R) and N(S) to 0 at the beginning of a sequence

Table 2.1 : Data link control messages

Message Name	Function	Type	Purpose
SET ASYNCHRONOUS BALANCED MODE(SABM)	Command	unnumbered	Initiate transfer of information messages
DISCONNECT	command	unnumbered	Terminate transfer of information message
UNNUMBERED ACKNOWLEDGMENT (UA)	Response	unnumbered	Confirm a command
RECEIVE READY	Command or response	supervisory	Request transmission of information message
RECEIVE NOT READY	Command or response	supervisory	Request retransmission of information message
TRJECT	Command or response	Supervisory	Suspend transmission of information messages

of I messages. The SABM message can be “piggy-backed” in the same transmission as the first information message. In this case, the SABM message occupies the address and control fields of the message segment (Figure 2.13) and the information message occupies the information field .the SABM message is confirmed by an UNNUMBERED ACKNOWLEDGEMENT response message.

A network element terminates the flow of messages by means of a disconnect command that also stimulates a UA response.

Figure 2.14 illustrates the flow of data link control messages layer2 and network management messages (layer 3) in a network control procedure. In this example, the procedure originates at the terminal, and the base station concludes the procedure with a disconnect command. In general, either network element can begin a procedure by sending an SBM message and either network element can conclude the procedure by sending a disconnect message.

The three S messages are receive ready ,reject, and receive not ready. Each of these messages contains a 3-bit message sequence number, $N(R)$, corresponding to the sequence number of an I message received by the

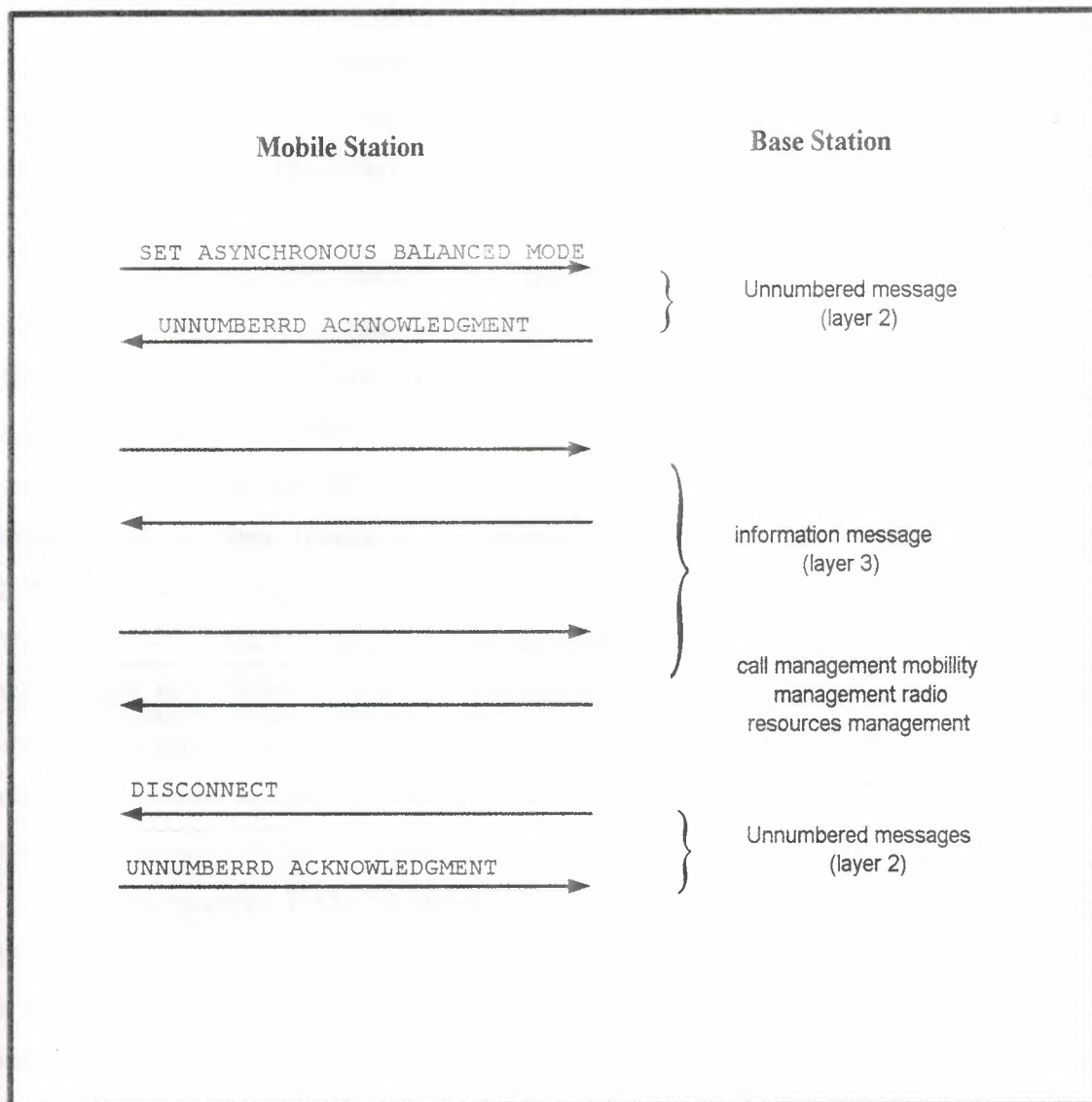


Figure 2.13 : Flow of messages in a network control procedure .

network element sending the S message. Receive ready request transmission of the 1 message numbered $N(R)$. A *REJECT* message requests retransmission of the 1 message numbered $N(R)$. receive not ready indicates that a network element is not able to receive an

I message. When this condition changes, the network element sends a receive ready message requesting transmission of message number $N(R)$.

Only I (information) messages contain information fields. With the exception of messages carried on the random access channel and messages carried on the synchronization channel, the first 16 bits of the information field of each I message contain a protocol discriminator, a transaction identifier, and a message type indicator. The protocol discriminator indicates the category of the network operation controlled by the message.

either radio resources management, mobility management, or call management. The transaction identifier is a code selected by either the terminal or the network at the beginning of a network control operation. All messages pertaining to that operation carry the same transaction identifier. This allows the system to perform more than one network control operation at any given time. The messages pertaining to different operations are distinguished by their transaction identifiers. The message type indicator specifies the purpose of each message. The contents of the remainder of the I field depend on the message type. In some simple messages, such as acknowledgments, the information field ends with the message type. In most messages, the message type is followed by "mandatory" data that appears in every message of that type. The mandatory data is then followed by "optional" data that appears in some messages of a given type, but may be omitted from other messages depending on current conditions.

As an example, Table 2.2 shows the structure of an *assignment command* message (Table 2.3) that appears in handover procedures. The protocol discriminator 0110 identifies a radio resources management message. The network selects a transaction identifier when it initiates the handover procedure. The contents (00101110) of the message type field indicate that this is an assignment command message. The following 24 bits identify, by means of a radio frequency carrier and time slot number, the new physical channel that the call will use at the end of the handover procedure.

The power command data indicates the initial mobile station transmitter power on the new channel. An example of optional data is frequency hopping information if it is different on the new physical channel from the frequency hopping employed on the present channel.

Table 2.2 : information field of an assignment command message.

Bit Position	Information Elements
1-4	Protocol discriminator 0110
5-8	Transaction identifier
9-16	Message type 00101110
17-40	Channel description
41-48	Power command
Variable	Optional data

GSM formally classifies the information messages in the categories indicated in figure 2.12: call management; mobility management, which includes, authentication; and radio resources management. Table 2.3 is a list of radio resources management messages. On powering up or entering a new cell, a terminal first receives a sync channel information message on the synchronization channel (SCH). This is one of two messages with a format that does not conform to Figure 2.13. The total message length is 25 bits (Figure 2.4). The message contains two numbers: a base station identifier and a frame number that allows the terminal to synchronize its operation with respect to the hyper frame, super frame, and multi frames of Figure 1.6.

After acquiring synchronism, the terminal tunes to the broadcast control channel, which transmits a variety of system information messages to all of the terminals in a cell. These messages contain information necessary to operate in the current cell, including the location area identifier ; information on the physical channels that carry signaling information, parameters of the random access protocol, and radio frequency carriers active in neighboring cells. There are five types of system information messages defined for broadcast control channels in the initial GSM standard. Phase 2 of the standard adds two broadcast messages .system information type 7 and type 8 The message system information type 6 travels on a slow associated control channel In a terminal with a call in press. GSM uses this message to transmit local system information to active terminals that move away from the cell in which the call originated.

Table 2.3 : Radio resources management messages .

Message Name	Logical Channel	Transmitted By
SYNC CHANNEL INFORMATION	SCH	Base
SYSTEM INFORMATION (TYPE 1,2,3,4,5)	BCCH	Base
SYSTEM INFORMATION (TYPE 6)	SACCH	Base
CHANNEL REQUEST	RACH	Mobile
PAGING REQUEST (TYPE 1,2,3)	PCH	Base
IMMEDIATE ASSIGNMENT EXTENDED	AGCH	Base
IMMEDIATE ASSIGNMENT REJECT	AGCH	Base
ASSIGNMENT COMMAND	AGCH	Base
ADDITIONAL ASSIGNMENT	FACH	Base
PRING RESPONSE	FACH	Base
MEASUREMENT REPORT	SACCH	Mobile
HANDOVER COMMAND	SACCH	Mobile
HANDOVER ACCESS	TCH	Base
PHYSICAL INFORMATION	FACCH	Mobile
HANDOVER COMPLETE	FACCH	Base
CIPHERING MODE	FACCH	Mobile
CHANNEL RELEASE	FACCH	Base
PARTIAL RELEASE	FACCH	Base
PARTIAL RELEASE	SACCH	Base
FREQUENCY REDEFINITION	FACCH	Base
	SACCH	
CLASSMARK CHANGE	FACCH	Mobile
	FACCH	
CHANNEL MODE MODIFY	FACCH	Base
RR STATUS	SACCH	Mobile/ Base

To move to dedicated control channel , a terminal first send a channel request message on the random access channel . like the sync channel information message , the channel request message deviates from The base station then initiates the GSM authentication procedure by sending an authentication REQUEST message to the terminal. This message contains a 128-bit random number (RAND). The terminal applies a GSM Encryption algorithm referred to as "A3," to compute a 32-bit signed response, SRES. As Shown in Figure 2.16, the inputs to A3 are RAND and the secret key Ki stored in the subscriber information module (SIM). The terminal applies another encryption algorithm, A8, to compute a 64-bit ciphering key, Kc; from SRES and Ki. The terminal transmits

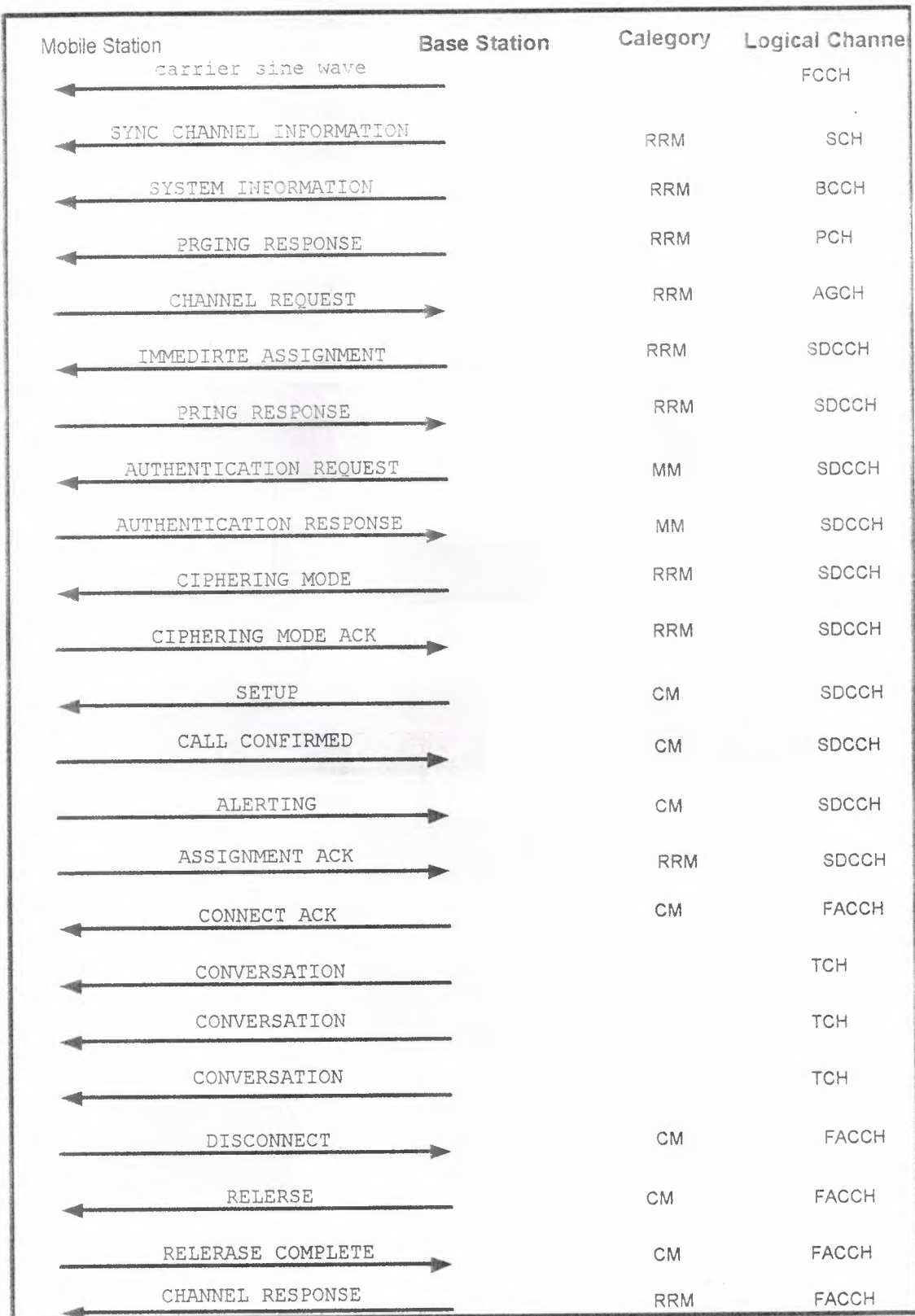


Figure 2.15: Message sequence in a call to a GSM telephone .

The base station then initiates the GSM authentication procedure by sending an authentication REQUEST message to the terminal. This message contains a 128-bit random number (RAND). The terminal applies a GSM Encryption algorithm referred to as "A3," to compute a 32-bit signed response, SRES. As Shown in Figure 2.16, the inputs to A3 are RAND and the secret key Ki stored in the subscriber information module (SIM). The terminal applies another encryption algorithm, A8, to compute a 64-bit ciphering key, Kc; from SRES and Ki. The terminal transmits

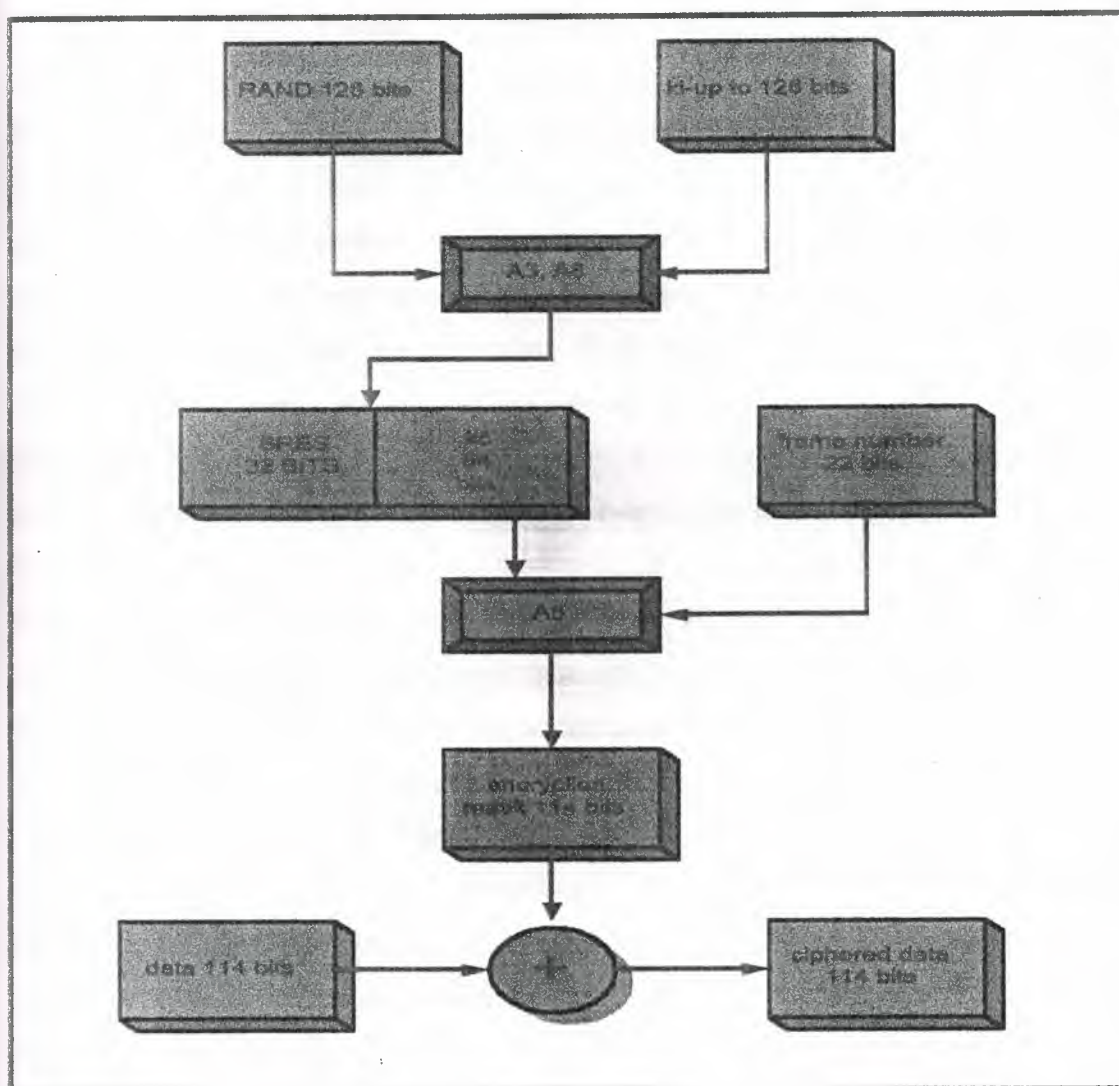


FIGURE 2.16 : GSM security procedure .

SRES to the network in an authentication response message. The network also uses A3 to compute SRES from RAND and Ki. If the two values of SRES are identical, the network accepts the user as authorized subscriber. It then transmits ciphering mode message to the terminal in order to establish the mode of encryption to be used in the remainder of the call. To encrypt user information and network control information, the base station and network derive a 114-bit mask to be added module 2 to the Two data fields (figure 1.8) in each time slot. This mask is obtained from another GSM encryption algorithm, A5. the inputs to A5 are the 64-bit ciphering key, Kc , and the current 22-bit frame number.

The base station computes the same mask to decipher the encrypted data. Because A5 uses the frame number to compute the ciphering mask, the mask changes from frame to frame. This property contributes to the security of the communication.

With the authenticity of the subscriber established and the ciphering arrangement in place, the network proceeds to set up the call. To do so, it transmits a SETUP message to the terminal. The terminal acknowledges this message with a call confirmed message and then proceeds to alert the subscriber. When the subscriber accepts the call, the terminal sends a connect message to the network. In response, the network moves the call to a traffic channel by means of an assignment command message. At this point, the network establishes a connection between the two parties to the conversation. in figure 2.15, the mobile subscriber concludes the conversation. When this happens, the terminal sends a disconnect message. to the network. the network responds with a release command. After the terminal acknowledges this command, the network releases the traffic channel by sending channel release message to the terminal .the terminal then returns to monitoring its paging channel.

This example contains a procedure referred to as off air call setup (OACSU). With OACSU, GSM assigns a traffic channel after the mobile subscriber accepts the call. Compared to an earlier assignment of a traffic channel, this conserves transmission resources while the network is setting up a call. OACSU is optional in GSM. It is also possible to move the call to a traffic channel prior to alerting the subscriber. OACSU can also be used when the mobile party initiates the call. In this situation, the network assigns a traffic channel after the remote party accepts the call.

CHAPTER 3

BASE STATION SUBSYSTEMS

3.1 Introduction

In this chapter, a base station subsystem (BSS) providing the interface between a mobile switching center (MSC) and a mobile is described. To investigate the functions of a BSS, three system configurations of the BSS are presented according to the channel access mechanism, the most widely known being frequency division multiple access (FDMA), time division multiple access (TDMA), and code division multiple access (CDMA) [Ehrlich, Fisher, and Winged, 1979; Uebayashi, Honor, and Nojima, 1993; Qualcomm, 1992]. Also, a teletraffic performance model of BSS and numerical results are presented.

A subscriber in a cell originates a call via an idle channel available among a given number of radio channels to the BSS in a cell. When the subscriber enters an adjacent cell, the BSS tries to acquire an idle channel in the new cell. If there are one or more idle channels, the call is successfully handed off without a service breakdown. Otherwise, the call is forced to terminate before completion. Since the quality of the telephone service is enhanced if the rate of breakdown during a conversation is lower than the blocking of originating calls (OCs), a handoff call (HC) must have a priority over an OC. To reduce this probability, some schemes based on cutoff priority with a fixed number of guard channels (GCs) have been introduced by several researchers [Yoon and Un, 1993; Hong and Rappaport, 1986; El-Dolil, Wong, and Steele, 1989; Guerin, 1988]. In this chapter we also present three call handling schemes with and without guard channels.

3.2 System Architectures

A BSS consists of several base transceiver subsystems (BTS) and a base station controller (BSC). Several configurations of the BSS might be possible, as shown in Fig. 3.1. For suburb sites Figures 1.1(a) -1.1(d) are preferred, and for downtown sites Fig. 3.1(c) is preferred. To investigate the functions of BSS, the functional diagrams of three BSSs are shown in Fig. 1.2, according to the channel access mechanism. Figure 1.2(a) is ATT's BSS for advance mobile phone

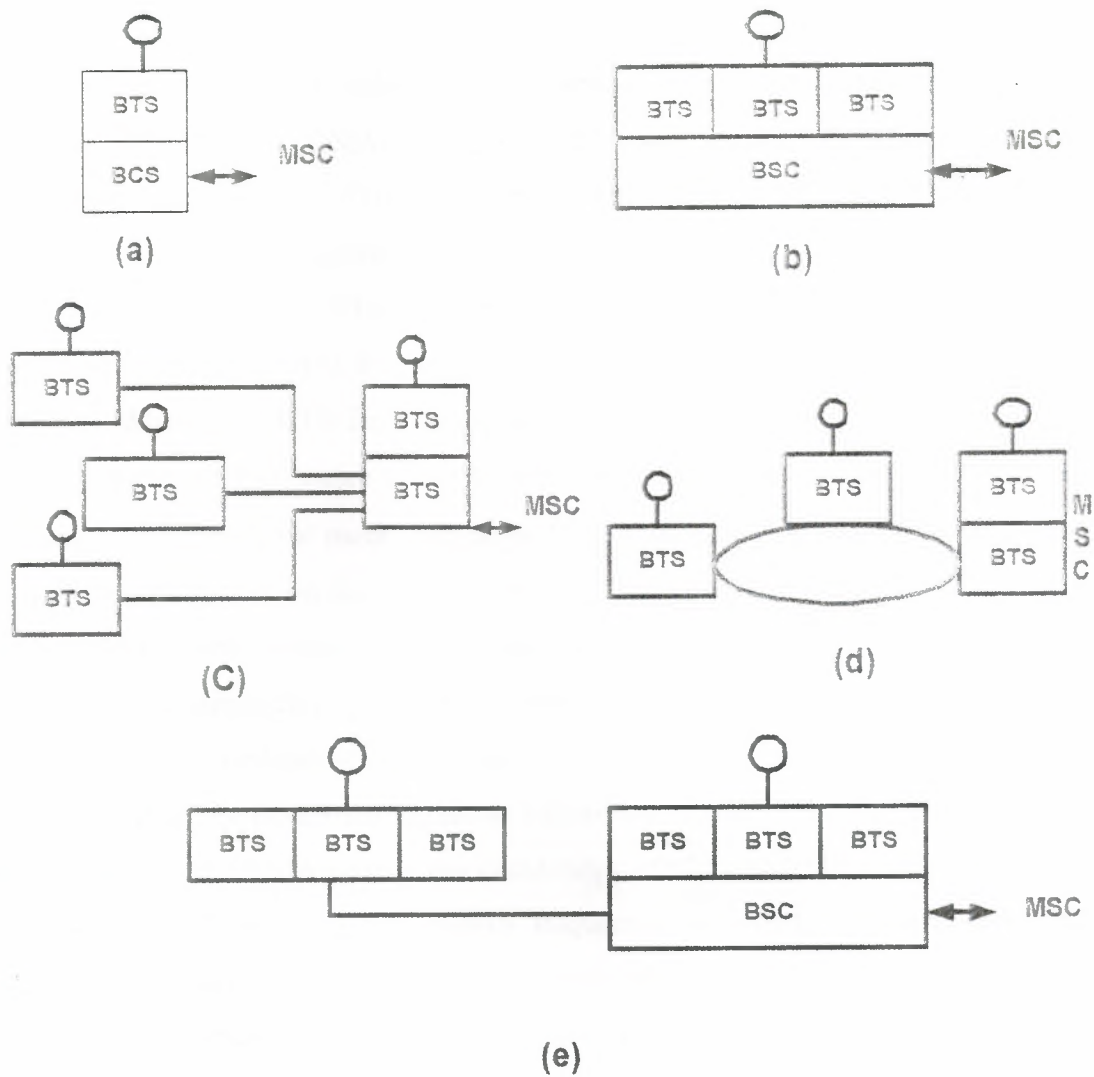


Figure 3.1 :Configurations of BSS: (a) Combined omni, (b) combined star, c) star, (d) ring, and (e) urban star configurations.

Service (AMPS) using FDMA [Ehrlich, Fisher, and Wingard, 1979], Fig. 3.2(b) is NTT's BSS using TDMA [Uebayashi, Ohno, and Nojma, 1993], and Fig. 3.2(c) is Qualcomm's BSS using CDMA [1992].

3.3 Base Transceiver Subsystems (BTS)

A BTS provides RF radiation and reception with an appropriate channel access mechanism (e.g., FDMA, TDMA, or CDMA), and voice and data transmission interfaces between itself and the BSC. Typically, a BTS consists of several receive and transmit antennas, RF distributor, modulators and demodulators, and T1/E1 trunk line interfaces for voice and data traffic. For CDMA systems, a global positioning system (GPS) receive antenna is additionally included. Functions of each block are as follows.

1. Antennas: When each BTS functions in the omnidirectional mode, an omnidirectional transmit antenna and two-branch space-diversity receive antennas are used. If the BTS is configured in the directional mode with three 120° sectors, a directional transmit antenna and two receive antennas per each sector are used. The RF power is typically below 45 W. For the CDMA system, a GPS antenna is added which receives ticks of 1 pulse per second with a 10-MHz reference clock to generate system clocks.

2. RF distributor: It combines several carriers from amplifiers to transmit antennas with power amplifiers boosting the modulated signals to high-power signals. The transmit frequencies of FDMA, TDMA, and CDMA systems are in the range of 870—890 MHz, 940-956 MHz, and 869—894 MHz, respectively. The receive frequencies of FDMA, TDMA, and CDMA systems are in the range of 825—845 MHz, 810-826 MHz, and 824—849 MHz, respectively.

3. Modulators and demodulators: A modulator generates carrier signals of voice, supervisory audio tone (SAT), pilot, synch, and paging data. Each demodulator receives a two-diversity input derived from the two receiving antennas. With these inputs and a local oscillator signal, it demodulates a base band voice/SAT or data signals.

For the FDMA system, voice and data are modulated as phase modulation (PM) and frequency modulation (FM), respectively. The carrier spacing of the FDMA is 30 KHZ, and the data transmission rate is 10 kb/s. The TDMA system uses a three-channel TDMA per carrier scheme with 42 kb/s $\pi/4$ -shift quadrature differential phase shift keying (QDPSK) with a Nyquist filter whose roll off factor is 0.5. The carrier spacing of the TDMA is 25 kHz. Thus, the bandwidth per channel is 8.3 kHz ($=25 \text{ kHz}/3$). The CDMA system generates 19.2k Symbols per second and uses a convolution encoder for either 9.6, 4.8, 2.4, or 1.2 kb/s voice

and data, and a Viterbi decoder for providing a forward error correction mechanism over the multi path fading wireless channel

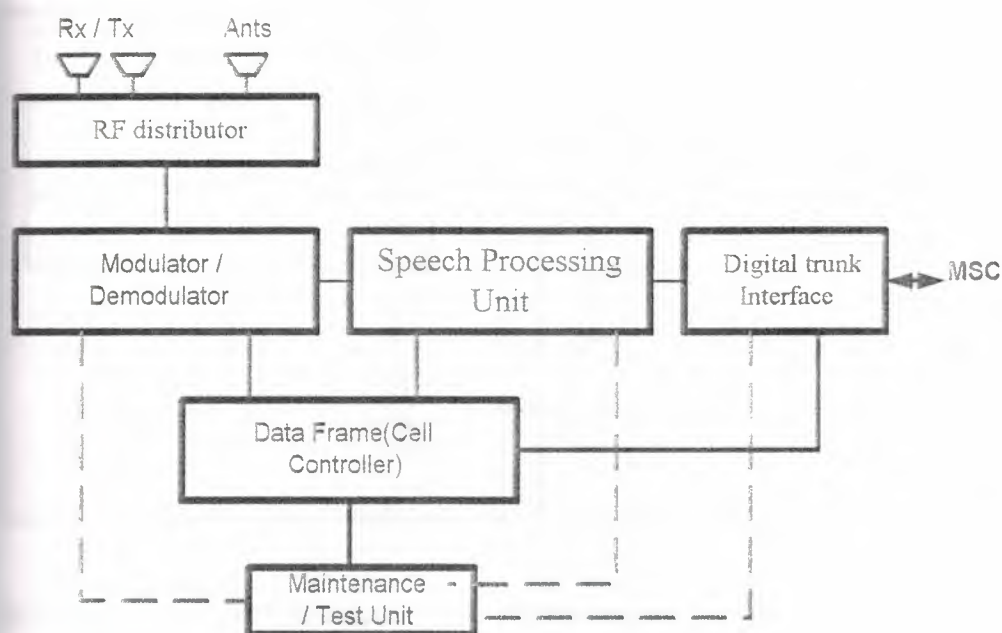


FIGURE 3.2 (a) : BSS structures with different channel access schemes FDMA systems .

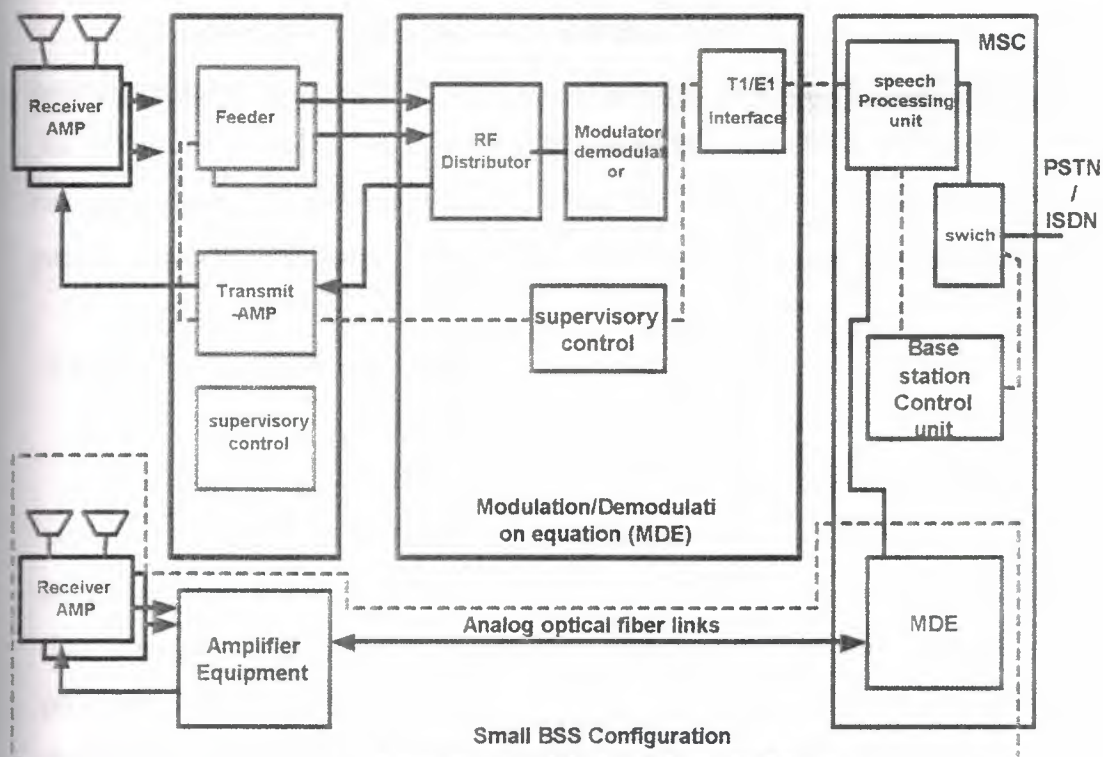


FIGURE 3.2 (b) : BSS structure with different channel access schemes TDMA system .

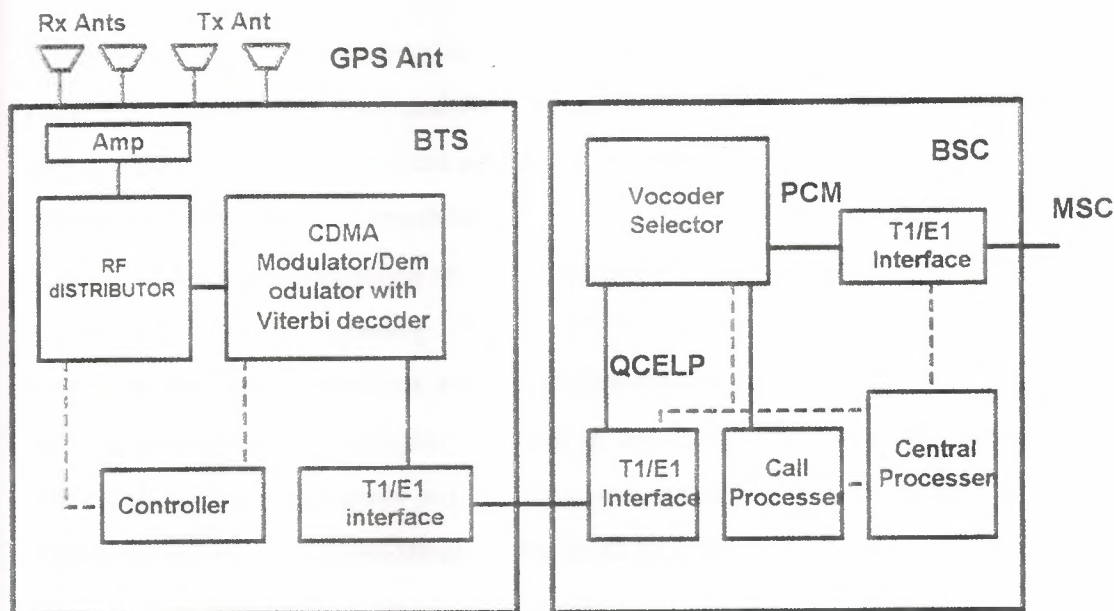


FIGURE 3.2 (C) : BSS structures with different channel access schemes CDMA system .

4. Trunk interface: It performs voice and data communication between BTS and BSC over digital links, operating at T1 or E1 rate. Typically, each slot per T1/E1 frame is allocated for delivering a single voice channel traffic. A 64 kb/s slot of the TDMA system, however, can carry three 11.2 kb/s voice channels, and the CDMA system uses a compressed voice packet transmission scheme with an HDLC frame format to increase the channel efficiency.

3.4 Base Station Controller (BSC)

A BSC locates mobiles to the cell with highest signal strength (handoff), and performs call setup, call supervision, and call termination. Also, it performs remotely ordered equipment testing, updates the location information of mobile stations, and provides data transmission interfaces between itself and the MSC. The BSC consists of speech processing units, a call controller, a central processor, a maintenance and test unit, and digital trunk interfaces. In particular, selectors providing soft handoffs are included in the CDMA BSC. Functions of each block are as follows.

1. Speech processing unit/vocoder: It provides the per channel audio-level speech and data paths interface between MSC and RF. Certain orders and request data signals can be added on the transmitter path before the modulator, and these data signals must be removed from the receiver path after the demodulator.

The speech-processing unit employs one of two signaling methods for sending the orders and requests signaling over a voice channel without interfering with voice conversation. The two methods are the blank-and-burst and dim-and-burst modes. With the blank-and-burst mode, the signals are sent in the form of a binary data message over the voice channel by momentarily muting the voice and inserting a binary data sequence. The data sequence requires approximately one-tenth of a second. However, the blank-and-burst signal is sent and replaces voice traffic temporarily. For the CDMA system, the dim-and-burst as well as the blank-and-burst mode can be used. When a vocoder desires to transmit at its maximum rate under the dim-and-burst mode, it is permitted to supply data at half of this rate. The remaining rate is used for signaling and overhead.

For the digital cellular system, both pulse code modulation (PCM) digitized voice and data suffer from the coding used to increase the whole system capacity. The TDMA system uses a 11.2 kb/s vector sum excited linear prediction (VSELP) transmission code, which consists of 6.7kb/s source traffic and additional preamble bits, sync bits, and control bits.

In the CDMA system, the vocoder handles variable rate vocoding [transforms the Qualcomm developed code excited linear prediction code (QCELP) to PCM, or vice versa]. The vocoder has a variable rate that supports 8, 4, 2, and 1 kb/s operation and corresponds to channel rates of 9.6, 4.8, 2.4, and 1.2 kb/s. For example, a low-rate vocoder, running at 4kb/s would increase the system capacity by a factor of 1.7 times with some degraded voice quality.

For the TDMA and CDMA systems, echo cancellors are added, which eliminate echo due to a 2-W/4-W hybrid transformer in the public switch telephone network.

2. Data frame/call controller: It maintains an independent setup channel for the shared use of the BTS in communicating with all mobiles within its zone. Only data traffic is transmitted on the setup channel. Any mobile wishing to initiate a call monitors the forward setup channel (land to mobile). If the channel is idle, the mobile can transmit a call request or a page response. Otherwise, the mobile must wait a short time interval and monitor the channel again, until the forward channel is idle.

The call processor of the FDMA system initiates the hard handoff procedure with the MSC. To determine when and if a handoff is necessary, signal-strength measurement or a phase range measurement in the speech-processing unit is made once every few seconds on each active voice channel. The soft handoffs between BTSs of the CDMA system are handled in selectors.

The call processors must also detect and control the signaling tone, off and on hooks, and transmitter power control. In addition, the processor provides a paging procedure to find a mobile station when a land-originating call is initiated.

3. Central controller: It allocates or de-allocates voice and data channels, communicates with the MSC and BTS, and controls the maintenance and test unit.

4. Digital trunk interface: It performs voice and data communication between BTS and BSC or between BSC and MSC over digital links, operating at Ti or Ei rate.

5. Selector for the CDMA system: The selector handles soft handoffs between BTSs. The soft handoff allows both the original call and new to serve the call temporarily during the handoff transition. The transition is from the originating cell to both cells and then to the new cell. Thus, it provides the make-before-break switching function.

After a cell is initiated, the mobile continues to scan neighboring cells to determine if the signal from another cell becomes comparable to that of the original cell. Then, it sends a control message to the MSC, which states that the new cell is now strong and identifies the new cell. The MSC indicates the handoff by establishing a link to the mobile through the new cell while maintaining the old link. While the mobile is located in the transition region

between the two cells, the call is supported by both cells until the mobile notifies the MSC that one of the links is no longer useful. This decision is performed by the selector, which selects an appropriate BTS among the current three engaged BTSs.

3.5 Analysis of Call Handling Schemes

3.5.1 System Modeling

Here, three call handling schemes for a BSS are presented and analyzed. It is assumed that the BSS can handle two types of calls (originating and prioritized handoff calls) and store these calls in a finite storage buffer. One scheme is a prioritized handoff scheme with GCs to reduce the blocking probability of HCs with a penalty on OCs. Under this scheme, handoff calls can be stored exclusively and access all free channels without restriction, whereas OCs have access to idle channels, except for a fixed number of guard channels.

The other two schemes are prioritized handoff schemes without GCs to increase the total grade of service by reducing the blocking probability of OCs without a severe penalty on the grade of service for HCs.

Under these two schemes without guard channels, both types of calls are allowed to be stored, and prioritized handoff calls push out originating calls if the buffer is full. Figure 3.3 shows a BSS which has a set of C duplex channels with a finite call storage buffer of size $K - C$, $C \leq K$. Prioritized HCs and ordinary OCs are handled in the BSS. We assume that these calls have the same exponential service time distribution with rate of μ , but different arrival rates of the Poisson process, λ_1 and λ_2 , respectively. Here, λ_1 is assumed to be proportional to λ_2 such that $\lambda_1 = Ph \lambda_2$, where Ph is the probability of having an HC. Then, the total and arrival rate

λ_t is $\lambda_1 + \lambda_2$, and the total traffic load per channel ρ_t is $\lambda_t / C\mu$. A hexagonal cell shape is assumed for the system. The cell radius R for a hexagonal cell is defined as the maximum distance from the BSS to the cell boundary. With the cell radius,

the average new call origination rate per cell , where λ_0 denotes the arrival rate of originating calls per unit area [Hong and Rapport, 1986]. Under these assumptions, we can treat the BSS as an $M/M/C/K$ priority queueing

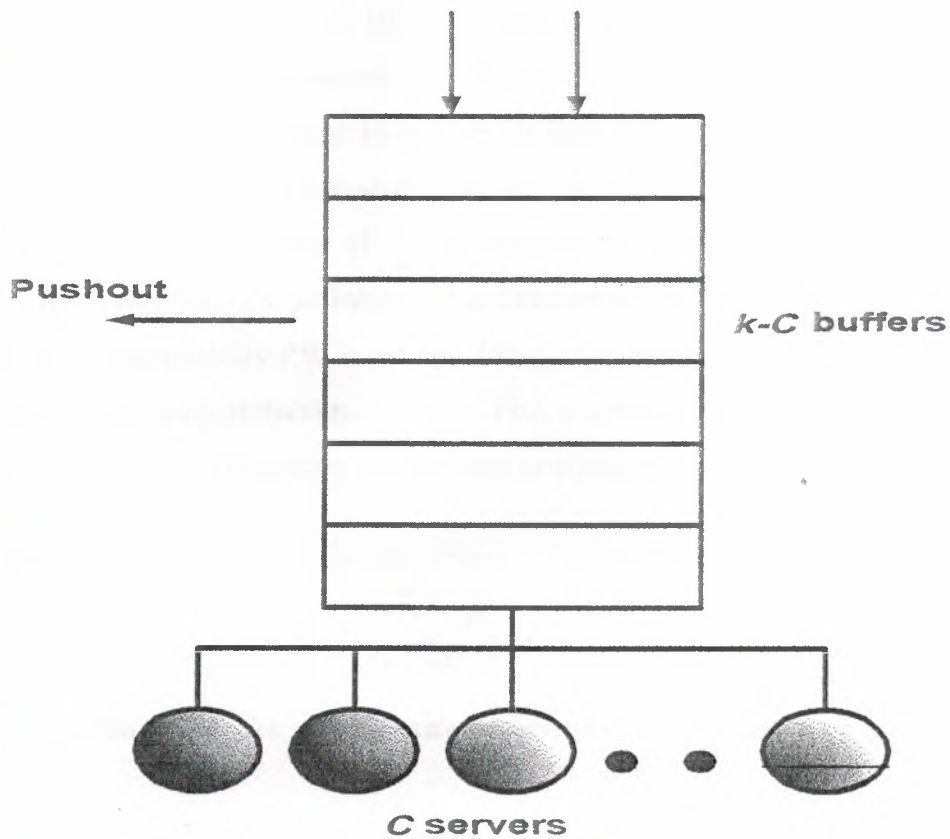


Figure 3.3 : Base station model .

system which is formed by a set of C channels with a finite system (buffer plus channels) of size

$$K, C \leq K.$$

3.6 Analysis of Call Handling Schemes .

3.6.1 Call Handling Scheme with Guard Channels (Scheme 1)

Under scheme 1, HCs can access C_g guard channels, $C_g \leq C$, exclusively among C channels. The remaining $(C - C_g)$ channels are shared by both types of calls. An OC is blocked if the number of available channels is less than or equal to C_g . If C channels have already been in use, $(K - C)$ HCs are buffered, but no buffering of OCs takes place. Hong and Rappaport [1986] analyzed this scheme with an infinite storage buffer for HCs. Here, we slightly modify their result to analyze our finite storage buffer model.

we show the state-transition-rate diagram for this scheme. Let P_j the steady-state probability of a total of j calls being in the system. Using the state-transition-rate diagram , we obtain the probability P_j as Since an HC is blocked only when the buffer is full, its blocking probability **PBI** is given by $P_{b1} = P_K$.

Also, the blocking probability of an OC, P_{b2} , is given by the sum of the probabilities that the number of calls in the system is larger than or equal to $(C - C_g)$, that is

$$P_{B2} = \sum_{j=C-C_g}^K P_j$$

Using Little formula for a steady-state queueing system [Kleinrock, 1975], we can obtain the

average waiting time of an HC, which is successfully served as :

$$E[W_1] = \sum_{j=C}^K \frac{(j-C)P_j}{\lambda_1(1-P_{B1})}$$

3.6.2 Call Handling Schemes without Guard Channels

Here, we consider two prioritized call handling schemes without GCs as efficient call handling schemes for increasing the total grade of service (GOS).

Scheme 2. Under scheme 2, any type of calls has access to all channels as long as there is more than one free channel. When all channels are busy, these calls will be queued next to the newest HC in a buffer or will be queued at the first position of the buffer if there is no HC in the buffer. If an OC is in the position K and an arrival occurs, then it gets pushed out and the new arrival is queued next to the newest HC. When the system is full and the buffer has no more OCs, any type of arriving call is blocked. Accordingly, the HCs will be served by the first-in, first-out (FIFO) rule with the head-of-line priority basis, whereas the OCs will be served by the last-in, first-out (LIFO) rule with the push out basis.

Let P_j , where $0 \leq j \leq K$, be the probability of having j calls in a basic *MIMIC/K* system, and let $P_{j,K}$ be the probability of having j calls ($j = 0, 1, \dots, K$) in the system and k OCs ($k = 0, 1, \dots, j - C$) in the buffer. In Fig. 16.5, we show the state-transition-rate diagram for this

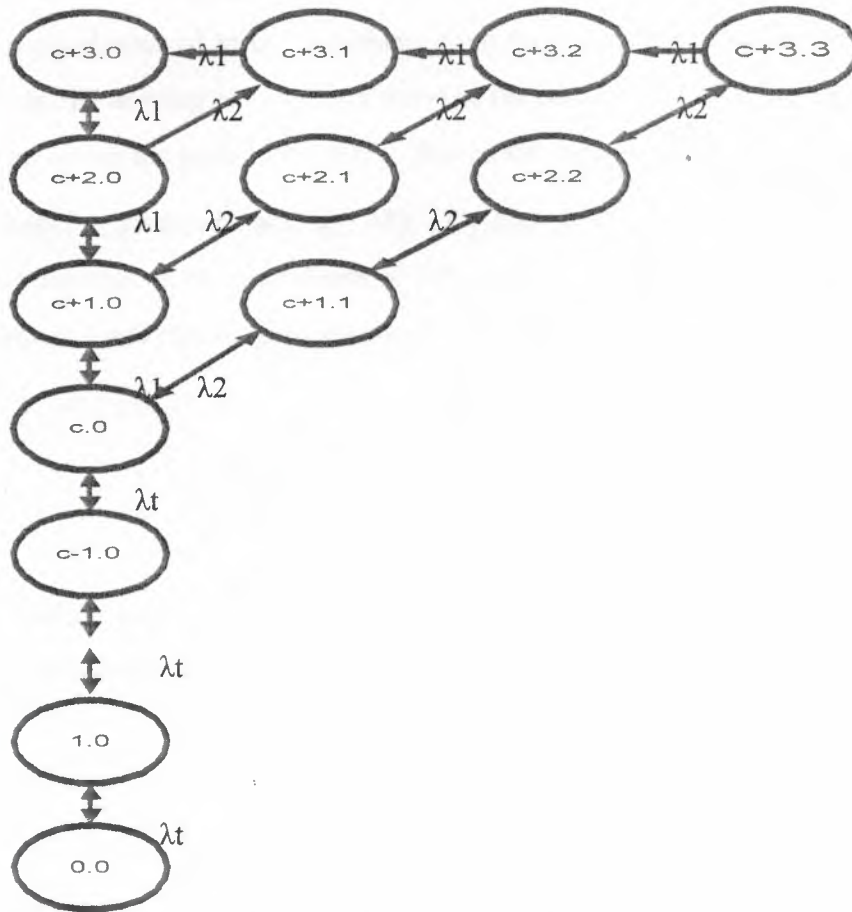


FIGURE 3.4 : state-transition-rate diagrams for scheme2 and scheme 3.

Scheme 3. Under scheme 3, any type of call has access to all channels as long as there is more than one free channel. When all channels are busy, HCs are served as under scheme 2. However, OCs will be queued next to the newest OC in a buffer or will be queued at the first position of the buffer, if the buffer is empty.

When the system is *full*, an arriving OC is blocked. In addition, if an OC is in the position K and an HC arrives, then the OC gets pushed out and the new arrival is queued next to the newest HC. Thus, the HCs will be served by the FIFO rule with the head-of-line priority basis as done under scheme 2, whereas the OCs will be served by the FIFO rule with the push out basis.

The state-transition-rate diagram for scheme 3 is the same as scheme 2. Thus, the blocking probabilities of HCs and OCs for scheme 3 are the same as scheme 2. Since the waiting time distribution of an HG is independent of the service rule of OCs, However, the waiting time distribution of an OC is different from the result of scheme 2 as follows.

Noting that an HC arriving only forces a move of the position of OC in i to the new position $i + 1$, we can obtain the probability of $U_{i,n}$ that an OC arrives at position i in the system and gets served after n transitions with $q = \lambda_1 / (\lambda_1 + C\mu)$. Also, since the number of transitions in the buffer depends on the arrival rate of HCs and the service rate, the probability that an OC is in position I and the OC gets served after t is obtained as :

$$g_{i,t} = \sum_{n=1}^{\infty} \mu_{i,n} \cdot \frac{(\lambda_1 + C\mu)^n t^{n-1} \cdot \lambda^{-1} (\lambda_1 + C\mu)t}{(n-1)!}$$

When the system size is j , $j < K$, an OC arriving can join at position $j + 1$ without considering the number of OCs in the buffer.

3.7 Calling Examples

In this section, its given to show the performance characteristics of the three call handling schemes for a personal portable radio telephone system. In these numerical examples, parameters are set as follows: $K = 30$, $C = 20$, $R = 0.8$ km , and time is normalized by the average holding time ($1/\mu$)

In Fig. 16.6, we show the blocking probabilities of OCs and HCs vs the rate of OCs per unit area λ_0/km^2 and the total traffic load per channel PT for the three schemes with the handoff probability $Ph = 0.1$. For $PB2$, it is observed that the call handling schemes without GCs (schemes 2 and 3) are superior to the one with GCs (scheme 1), but the opposite holds for $Pb1$. This tradeoff becomes manifest as the number of guard channels Cg increases. The higher blocking probability of HCs under schemes without GCs, however, may be sufficiently small to be acceptable in the case where a typical requirement of $PB2$ is 0.5

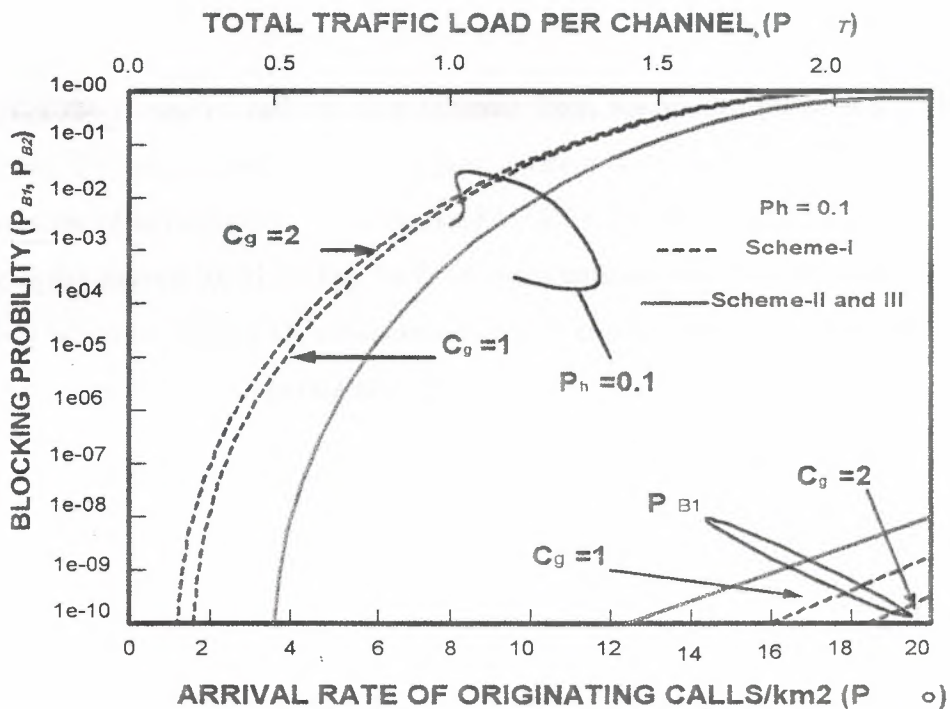


Figure 3.5 : comparison of blocking probabilities of three call handling schemes for $Ph=0.1$.

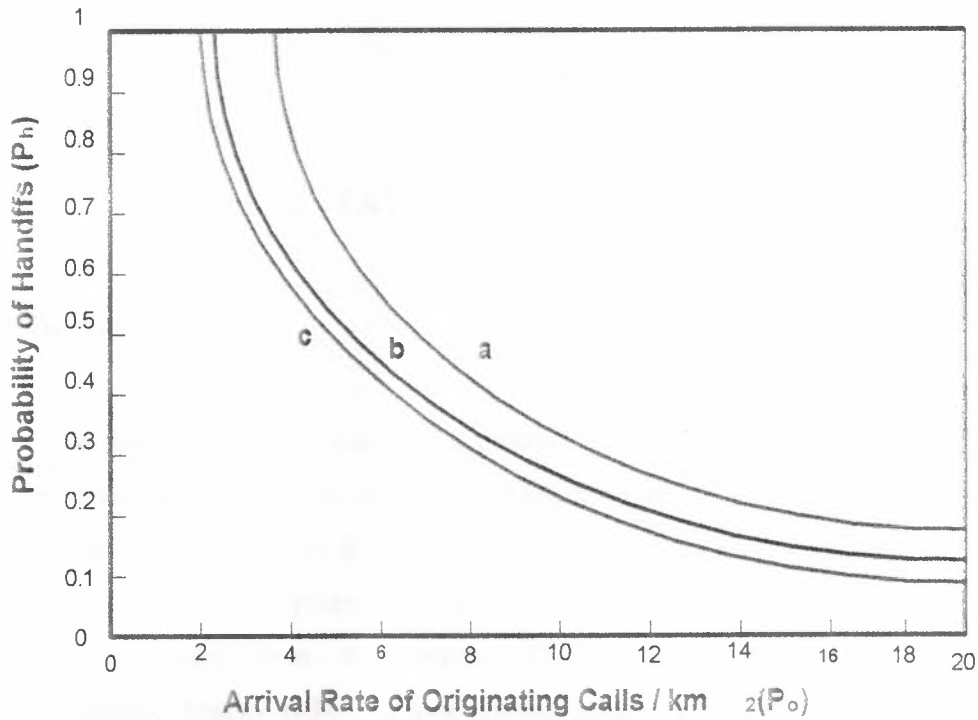


Figure 3.6 : Boundaries of scheme 1 with a single scheme 1 with a single GC and scheme without GCs .

To make the choice of call handling schemes from the system provider's perspective by neglecting the waiting time of calls, we here define a cost function of $PB1$ and $PB2$, which indicates the relative importance of blocking for HCs and OCs, as $CF = \sigma PB1 + (1 - \alpha) * PB2$ where in the interval $[0, 1]$. In Fig. 16.7, we show boundaries for the choice between the call handling schemes without GCs (schemes 2 and 3) and the one with single GC (scheme 1), which are obtained by comparing each CF .

CHAPTER 4

BASE STATIONS RADIO FREQUENCIES

4.1 Introduction

In recent years there has been a proliferation of base station towers designed to meet increased demands placed on mobile telephone networks by the growing number of mobile phone users. In parallel with the construction of these base station towers there has been an increase in community concern about possible health effects from the radio frequency (RF) radiation emissions from the towers. The Australian Government Committee on Electromagnetic Energy (EME) Public Health Issues (CEMEPHI), as part of the public information component of its RF EME program, considers it important that the general public be informed about the RF EME levels to which they may be exposed. Accordingly, the CEMEPHI requested the Australian Radiation Protection and Nuclear Safety Agency (ARPANSA) to carry out a survey of the RF EME levels in the vicinity of mobile telephone base stations.

This report provides information on the levels of RF radiation from RF transmitter towers (base stations) to which members of the public may be exposed. Reviews on the potential health risks of RF radiation are available elsewhere (e.g., UNEP/WHO/IRPA, 1993; Barnett, 1994; McKinley et al., 1996; ICNIRP, 1998; Repacholi, 1998; Byrus et al., 1999).

A survey on RF EME in and around five Vancouver schools by Thansandote et al. (1999), both at indoor and outdoor sites, yielded power density measurements well within Canada's safety code limits (Safety Code 6, 1990). Signal sources investigated in the Thansandote et al survey included base station frequency bands for analog cellular phones and personal communication services (PCS – the new generation of digital cellular phone), as well as AM radio, FM radio and TV broadcasts. A US study by Petersen and Testagrossa (1992) characterized RF EME fields in the vicinity of several frequency modulated (FM)

cellular radio antennae towers, at heights varying from 46 to 82 meters. They reported maximum power densities considered representative of public exposure levels to be less than 0.0001 W/m^2 per transmitter. Hence, in a worst-case scenario of 96 transmitters operating at an

effective radiated power (ERP) of 100 watts per transmitter, the aggregate maximum power density was estimated by Petersen and Testagrossa to be below 0.01 W/m^2 . In Poland, where the maximum permissible power density value is 0.1 W/m^2 at relevant base station frequencies, measurements of electromagnetic fields (EMF) in the surrounds of 20 GSM base stations showed that 'admissible EMF intensities at the level of people's presence, in existing buildings, in surroundings of base stations and inside buildings with antennas, were not exceeded' (Aniolczyk, 1999, p.57).

The purpose of the work reported here is to provide data on RF EME levels at independently nominated sites, over the range of the digital Global System for Mobile communication (GSM) mobile telephone base stations frequency band (935 – 960 MHz), and to make comparisons with the limit for non-occupational exposure specified in the relevant Australian exposure standard. The Radio communications (Electromagnetic Radiation Human Exposure) Standard 1999 adopted by the Australian Communications Authority (ACA) requires mobile phones and mobile phone base stations to comply with the exposure limits in the interim Australian and New Zealand Standard 2772.1(Int):1998 which has now been withdrawn by Standards Australia.

The ACA standard is subsequently abbreviated as ACAS in this publication. The non-occupational exposure limit specified in the ACAS, expressed in terms of power flux density, is 2 W/m^2 (equivalent to $200 \mu\text{W/cm}^2$) for frequencies between 10 MHz and 300 GHz, averaged over a 6 minute period. It should be noted that the exposure limits in the ACAS were 'developed on the basis of there being a threshold of 4 W/kg whole body specific absorption rate (SAR) before any adverse health consequences are likely to appear. However, because the SAR (units W/kg) is difficult and often impractical to measure, the ACAS provides derived levels of electric (E) and magnetic (H) field strengths, as well as the equivalent plane wave power flux densities (S), which are more readily measured.

Although the primary focus of the ARPANSA study was to measure the RF EME emission levels from GSM base stations, fixed site environmental measurements from other RF EME sources were also recorded, including the analog mobile phone system (AMPS), VHF TV UHF TV, AM radio, FM radio and Paging.

4.2 Measurement Locations

Measurements were performed at fourteen different locations throughout Australia. Two localities were chosen from each state, and the Northern Territory. In most instances the sites were chosen by local governments, who were asked to nominate two mobile telephone base stations sites in major population centers that were of concern to local communities. Security of monitoring equipment for the 24 hour data logging component was taken into account in the final selection of the measurement sites. Table 1 lists the RF measurement survey sites.

4.3 Nature And Type Of Measurements Required.

4.3.1 Fixed Site Environmental Measurements

Broadcast communication sources such as television, and both AM radio and FM radio, are usually transmitted at high powers from a single base facility. Such sources have very extensive areas of effective reception frequently extending to many hundreds of kilometers from a single station transmitter. Furthermore, for such sources and considering their necessary broadcast design requirements, we do not expect to encounter significant or strong variations in signal strength in relatively open areas surrounding a mobile telephone base station. Given the nature and emphasis of our study we therefore adopted a protocol of making a single set of static environmental measurements for all broadcast sources other than mobile telephone base stations. Buildings or other likely objects may significantly attenuate or scatter the RF signal. Hence, where possible, measurements were made in locations that maintained direct line-of-sight with known RF sources, at a height of 1.7 metres above ground, in open areas in the near vicinity of the GSM base station of interest. Measurement antennae were oriented to obtain a maximum signal strength for the particular frequency band being measured. The environmental RF EME signals were measured at a location within 500 metres of the base station.

Measurement of such fixed site environmental RF EME levels involved investigating a number of different RF EME sources. These included GSM, AMPS, VHF TV, UHF TV, AM radio, FM radio and paging. All signals with power densities greater than 1% of the observed maximum for each frequency band were recorded individually. Other signals, such as emergency services (police, ambulance, etc.) and taxis, were rarely detected and are not included in this summary report. To measure the environmental RF EME levels the average RF EME levels over a six minute scanning period during the day was determined. The time taken to record all the relevant sources of environmental RF EME at each site was approximately one hour. A spectrum analyzer was used and some transient signal sources, such as paging services, may have gone undetected if by chance the relevant frequency band was not swept by the spectrum analyzer when the signal was transmitted.

4.4 GSM Base Station Activity Measurements

The primary aim of this study was to determine the RF EME level resulting from all signal frequencies produced by the particular GSM base stations under survey. Mobile telephone communication signals are both transient and partly random in their occurrence and distribution. In this context, we were interested in determining the RF EME levels at many locations and more particularly, we wanted to estimate both maximum and minimum levels and also the long term average value for each location and to map such levels in the area surrounding the base station.

Because telephone communications are based on human activity, a diurnal signal pattern is generally observed. Site specific GSM mobile telephone exposure levels were therefore monitored over a 24 hour period. Relevant spectrum analyser data were recorded automatically under PC control and subsequently analysed to determine both the temporal and daily average activity. Measurements were performed within a single sector, at a fixed location close to the base station, by continuously scanning the frequency bands and logging the signal level for the GSM mobile phone systems.

The recorded data were used to determine the temporal activity for the GSM systems over the 24 hour period. The activity level of the data samples was determined by counting the number of simultaneous active time slots for a single carrier base station. For

the majority of GSM base stations there is a possible minimum of eight and a possible maximum of thirty two time slots for any given sector.

Hence, eight time slots will amount to 25% of the total activity possible from the transmitting antenna of a single carrier GSM base station.

The digital GSM base stations produce carrier frequencies between 935 to 960 MHz (analog AMPS system operates at 870 to 890 MHz). The GSM system transmits data in bursts of 0.6 sec with a repetition rate of 217 Hz. The temporal RF EME levels of the transmitting antennae at GSM base stations were analyzed to identify control frequencies or additional carrier frequencies. For GSM the frequency range investigated was divided up into three sub-bands, with the sampling order of each sub-band and frequency randomized to avoid bias.

The system was optimized to gather as much data as possible by sampling more often when fewer frequencies were detected. Post logging data analysis was performed to determine the average activity over a six minute scanning period, yielding an activity value for every six minutes of the day. The analysis software included only the signals identified as belonging to the base station in question. Where more than one carrier (Telstra, Optus or Vodafone) shared the same tower, the combined activity from all carriers was determined. A diurnal correction factor was derived from analysis of the 24 hour activity measurements for use in mobile measurements.

4.5 Mobile GSM Base Station Area Measurements

A fixed antenna was roof mounted on a car and automated mobile measurements were made whilst driving around the streets near the GSM base station under survey. Both signal data and position information [using Global Positioning System (GPS)] were recorded. For technical reasons, we were not able to make simultaneous measurements of all frequencies at each particular mobile measurement sample location. However, for each base station sector there is always a single "control frequency" present and this frequency is produced at a constant transmitter power. The control frequency is broadcast from the same antennae as additional transient carrier frequencies. In addition, the control frequency will have similar propagation characteristics to those of any additional frequencies. Hence, to determine the RF EME area levels, only the control frequency (surrogate for all frequencies) was measured. Application of the diurnal correction factor obtained by

previous activity data analysis yielded an estimate of the average RF EME over 24 hours at each measured point in the mapping area. Maps of each survey area displaying the distribution of the 24 hour average RF EME levels at each measured point are presented in the individual reports for each survey site.

4.6 Equipment

All RF EME measurements were recorded using a portable Tektronix Model 2712 Spectrum Analyzer. This instrument is essentially a radio receiver with the capacity to measure the power distribution of a received signal as a function of frequency. Signal amplitude was usually measured in dB relative to a mill watt (dBm). Calculation of field strength requires knowledge of the receiving antenna properties and system losses. Because the dBm measurements were all recorded in the far-field of the transmitting antennae, the measurements results could be converted to equivalent electric field strength in dB relative to micro volt per meter (dB μ V/m) using the following equation:

Field strength (dB μ V/m) = dBm measurement + 107 + receiving antenna factor + cable loss factor + spectrum analyzer calibration factor

The field strength values (in dB μ V/m) were subsequently converted to power flux density. Power flux density (S) is commonly expressed in units of microwatt per square centimeter (μ W/cm²) and, in the far-field of a transmitting antenna, can be calculated from the plane wave relationship:

$$E^2 = Z * S$$

where E is the electric field strength (units V/m) and Z is the characteristic Impedance of free space (377ohms).

The spectrum analyser was interfaced to and controlled, via a communication card, by a portable lap-top computer based data logging system utilizing a portable GPS receiver. The receiver was operated in differential mode.

GSM and AMPS power density measurements were recorded from the signals radiated by the mobile telephone base stations. The signals measured by the spectrum analyser, over the frequency ranges specified below, were received using a variety of

antennae. Each receiving antenna was calibrated at relevant frequencies, and the calibration factors were used in the calculations of the RF EME levels. The overall uncertainty of the measurement results is estimated to be $\pm 6\text{dB}$. The following receiving antennae were used:

1- Low frequency signals (AM radio); 0.01 MHz - 30 MHz loop antenna; EMCO model 6502 active loop. This antenna was used for the stationary environmental measurements;

2-Very High Frequencies (FM radio, VHF TV, paging); 20 MHz - 320 MHz bi-conical antenna; A.H. Systems model SAS 200/541. This antenna was used for the stationary environmental measurements.

3-Ultra High Frequency (UHF TV, mobile telephone, paging); 300 MHz - 1000 MHz log periodic antenna; A.H. Systems model SAS 200/510. This antenna was used in the environmental and base station activity measurements; and Mobile phone frequencies; 870 MHz - 960 MHz magnetic base vehicle roof mount antenna; supplied by Telstra Shop. This antenna was used to determine 24 hour base station activity levels and mobile area survey measurements.

4.7 RF EME Exposure And Activity Levels From GSM Base Stations

Table below lists the RF EME power flux density ($\mu\text{W}/\text{cm}^2$) and activity levels for the GSM base stations at the 13 relevant locations. The reference to RF EME levels always implies power flux density levels ($\mu\text{W}/\text{cm}^2$). When comparing the RF EME power flux density levels with that of the ACAS, the comparison will be given as, for example, "the limit specified in the ACAS is at least X times greater than this level." At the bottom of Table 2 the mean and SD are given, as well as the number of sites (N) where measurements were made. No activity levels were measured from any single GSM base station in Leichhardt, and so no measurements for Leichhardt were reported in Table 2. Also, for technical reasons the activity levels at Bulleen were only recorded over a 12 hour period, between late morning and late evening.

The RF EME measurements at each locality were each adjusted to represent the mean RF EME level for the 24 hour recording period at each particular measurement position. Column 2 in Table 2 gives the 'highest average' RF EME levels (i.e., the highest of all the 24 hour mean RF EME readings in the surveyed area), whilst Column 3 lists the 'area average' RF EME levels (i.e., the average of all the 24 hour mean RF EME readings in the surveyed area).

The surveyed area at each site was restricted to a radius of a few kilometers from the GSM base station. For illustrations of the spatial variation in the 24-hour mean RF EME measurements at a particular site refer to the survey map of the report for that locality.

Column 4, Column 5 and Column 6 in Table 2 lists the minimum, maximum and average activity levels respectively over a 24hour period. These activity levels were obtained by recording the telephone activity of the GSM base station over a 24hour period from one position. Figure 1 illustrates the overall changes in activity at each measurement locality. The three symbols in the graph correspond to the minimum activity, average activity and maximum activity over the 24 hour period. The full names for the locality abbreviations given in Figure 1 are as follows: Bulleen (Bul), Bunbury (Bun), South Melbourne (SMel), Repatriation Hospital (Rep), Rapid Creek (Rap), Palmerston (Pal), Nerang (Ner), Launceston (Lau), Kenmore (Ken), Jolimont (Jol), Hobart (Hob), Fulham (Ful) and Engadine (Eng). For graphs displaying the temporal variation in activity over the 24 hour period at each individual site refer to the specific report for that locality.

Across all GSM base stations the average of the 24 hour variation in telephone activity was 32% of the total available capacity (a factor of 1.27 compared with the minimum operational capacity of 25%), with the maximum base station activity averaging 48% of the total available capacity. The largest change possible is an increase by a factor of four, which occurs when four transmitters are operating at full power. Bulleen, Vic. had the largest measured variation in activity. For this site there was a change in activity of 40% with respect to the total available capacity (a factor of 2.6 compared with the minimum operational capacity of 25%).

The smallest variation in activity was at Bunbury and Fulham, where no change in activity over the 24 hour period was recorded (i.e., it remained at 25% capacity).

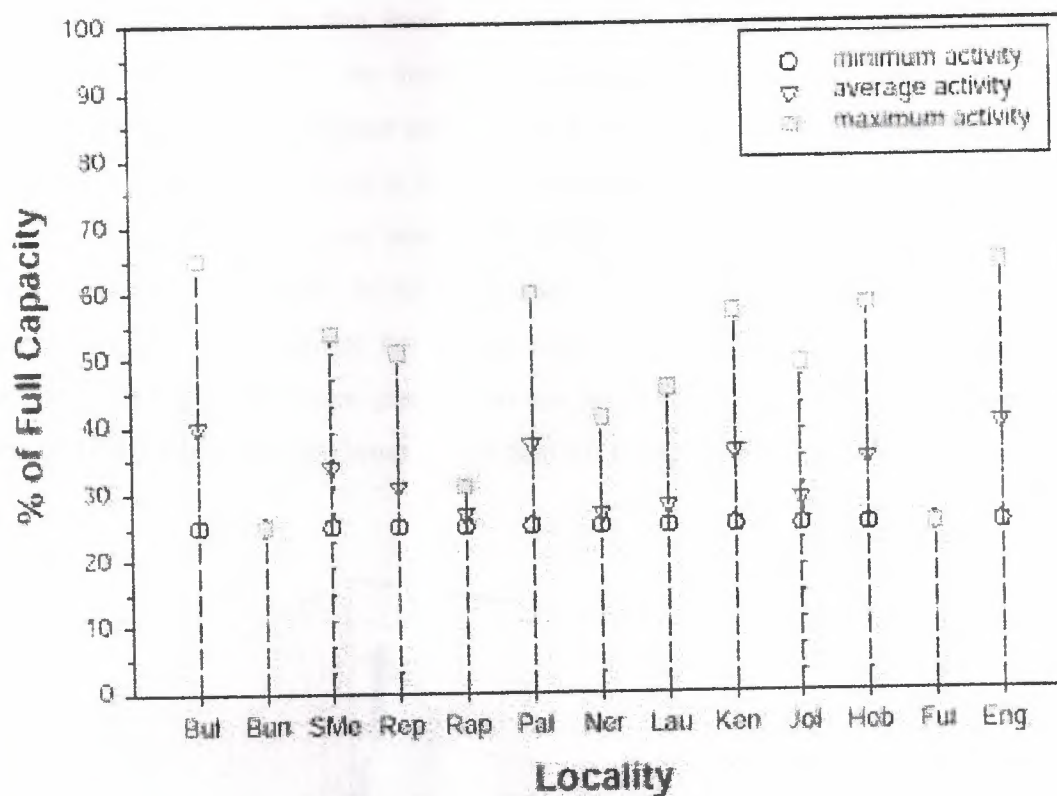


FIGURE 4.1: Activity Levels Of GSM BASE STATIONS.

The changes in activity of GSM base stations over a 24 hour period are illustrated. The full names for the locality abbreviations are given in the text.

Column 7 in Table 2 shows what the RF EME levels at maximum activity was at the point in the surveyed area that yielded the 'highest average' reading. Column 8 lists what the RF EME levels would be at the point in the surveyed area that yielded the 'highest average' reading if the base station operated at full (100%) capacity. Figure 2 displays graphically the GSM RF EME levels for the different activity levels at the 13 locations of measurement. As illustrated in Figure 2 the 'area average' RF EME levels were considerably less than the 'highest average' RF EME levels at most sites.

The largest of the 'highest average' RF EME levels was at Kenmore (0.052 W/cm^2 – the limit specified in the ACAS is at least 3,000 times greater than this level), as was the largest of the 'area average' RF EME levels (0.0051 W/cm^2 – the limit specified in the ACAS is at least 30,000 times greater than this level). At maximum activity the largest RF EME occurred at Kenmore (0.082 W/cm^2 – the limit specified in the ACAS is at least

2,000 times greater than this level), whilst at 100% activity the largest RF EME was at Nerang (0.178 $\mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is 1,000 times greater than this level). The mean of the ‘highest average’ RF EME levels over all sites was 0.020 $\mu\text{W}/\text{cm}^2$ (the limit specified in the ACAS is 10,000 times greater than this level).

The mean of the ‘area average’ RF EME levels over all sites was 0.0016 $\mu\text{W}/\text{cm}^2$ (the limit specified in the ACAS is at least 100,000 times greater than this level). For maximum and 100% activity the means were 0.031 $\mu\text{W}/\text{cm}^2$ (the limit specified in the ACAS is at least 6,000 times greater than this level) and 0.062 $\mu\text{W}/\text{cm}^2$ (the limit specified in the ACAS is at least 3,000 times greater than this level) respectively.

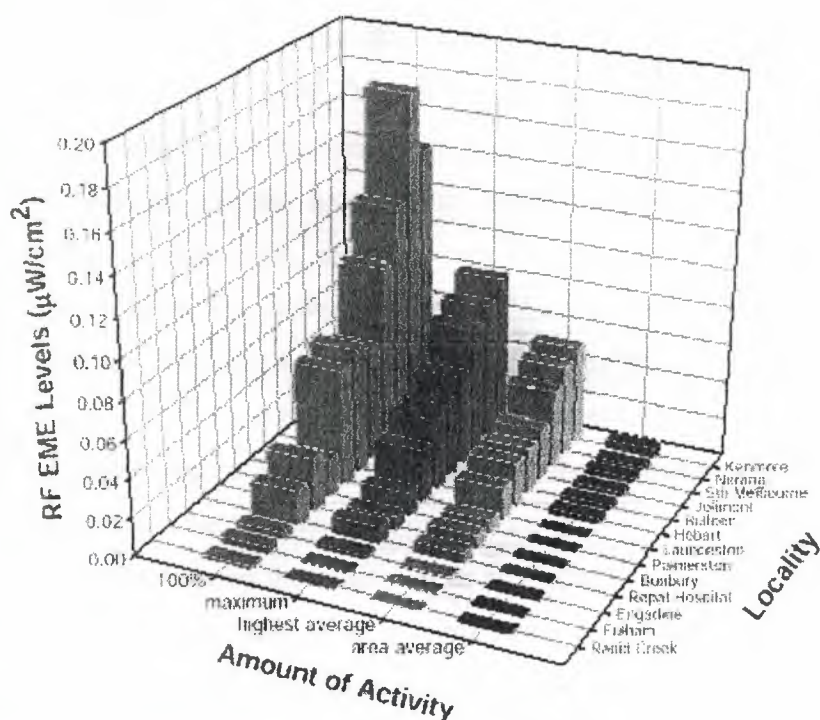


Figure 4.2: RF Power Flux Density Levels ($\mu\text{W}/\text{cm}^2$) For GSM Base Stations.

The above 3D plot is of the GSM base stations RF EME power flux density levels for the 13 different locations, at different activity levels. For explanations of the different activity levels see the text.

4.8 Fixed Site Environmental RF EME Levels From Various Signal Sources

Table 3 lists the average fixed site environmental RF EME power flux density levels over a six minute scanning period for the different signal sources at the 14 base stations. In this report the reference to RF EME levels always implies power flux density levels $\mu\text{W}/\text{cm}^2$.

The RF EME power flux density levels in Table 3 are given to four decimal places to make it easier for comparison of signal levels.

At the bottom of Table 3 the mean levels and standard deviation (SD) are given, as well as the number of sites (N) where signals were detected. It is emphasized that the environmental RF EME levels are only given as a guide. Except for GSM, the distances from the signal sources of the RF EME power flux density measurements were not known or considered. Hence, if the TV or Radio broadcasting transmitter was very distant then this may underestimate the typical population exposure to those RF sources.

Likewise, if the broadcasting transmitters were very close, such as the FM transmitter at Palmerston, then this may overestimate the typical population exposure to those RF sources. Generally, transmitter TV and radio towers tend to be much higher and further away from population areas than base stations. Also, with these other RF sources the wavelength of the RF EME radiation is longer and there is a more uniform distribution of the signal. Figure 3 is a presentation of the environmental RF EME levels of all the signal sources, at the 14 locations of measurements.

Figure 4 shows the same data as in Figure 3, except that the RF EME levels for AM radio have been excluded so as to show more clearly the RF EME levels produced by high frequency sources. As is illustrated in Figure 3, AM radio signals were the dominant signal source over all the other signal sources combined in 11 of the 14 sites of measurement, and in seven of these localities AM radio contributed >95% of the total RF EME (i.e., at Bulleen, Bunbury, Fulham, Jolimont, Launceston, Repatriation Hospital, South Melbourne).

At Palmerston the FM radio RF EME level was considerably greater than the AM radio RF EME level, contributing 93% of the total RF EME. At Nerang and Engadine the GSM base stations contributed 67% and 63% respectively of the total RF EME at these

locations. Except for the high RF EME level in Palmerston, the RF EME levels of FM radio were generally similar in scale to that of GSM, AMPS, UHF TV and VHF TV, as illustrated in Figure 4, although the ratio of the RF EME levels from these different signal sources varied between localities.

Table 3 : Enviromental RF EME power flux density .

Location	FM Radio	AM Radio	GSM	AMPS	UHF TV	VHF TV	Paging	Total RF
Bulleon	<0.0001	0.2282	0.0001	<0.0001	<0.0001	<0.0001	▼	0.2284
Bunbury	<0.0001	0.0010	<0.0001	▼	▼	▼	<0.0001	0.0010
Sth Melbourne	<0.0001	0.0662	0.0023	0.0004	UHF + VHF = 0.0002		0.0002	0.0693
Rapat Hospital	▼	0.0822	0.0012	0.0001	<0.0001	<0.0001	▼	0.0835
Rapid Creek	0.0010	0.0058	0.0002	▼	<0.0001	<0.0001	▼	0.0069
Palmerston	0.0259	<0.0001	0.0003	▼	0.0018	<0.0001	<0.0001	0.0280
Nerang	0.0002	<0.0001	0.0007	▼	0.0001	▼	▼	0.0010
Leichhardt	0.0015	0.0722	0.0009	0.0011	0.0047	0.0032	0.0001	0.0837
Launceston	0.0008	0.0648	0.0001	▼	0.0003	0.0001	▼	0.0661
Kenmore	0.0004	0.0016	0.0001	▼	<0.0001	0.0002	<0.0001	0.0023
Jolimont	<0.0001	0.0608	0.0004	▼	<0.0001	<0.0001	<0.0001	0.0612
Hobart	0.0012	0.0035	0.0003	<0.0001	0.0001	0.0007	▼	0.0058
Fulham	<0.0001	0.0634	0.0007	▼	0.0001	<0.0001	▼	0.0643
Engadine	0.0002	0.0008	0.0027	0.0001	0.0001	0.0003	0.0001	0.0043
Mean	0.0024	0.0464	0.0007	0.0003	0.0006	0.0004	0.0001	0.0504
SD	0.0071	0.0621	0.0008	0.0004	0.0014	0.0010	0.0001	0.0611
N	13	14	14	6	12	11	7	14

Table 3 lists the average fixed site environmental RF EME power flux density levels over a six minute scanning period for the different signal sources at the 14 locations of measurement.

At the bottom of each column the mean and standard deviation (SD) are given, as well as the number of sites (N) where signals were detected (_ signal not detected).

The above 3D plot is of the fixed site environmental RF EME power flux density levels from the 14 different locations. All significant signal sources are plotted, including AM Radio, FM Radio, UHF TV, VHF TV, GSM, AMPS and Paging

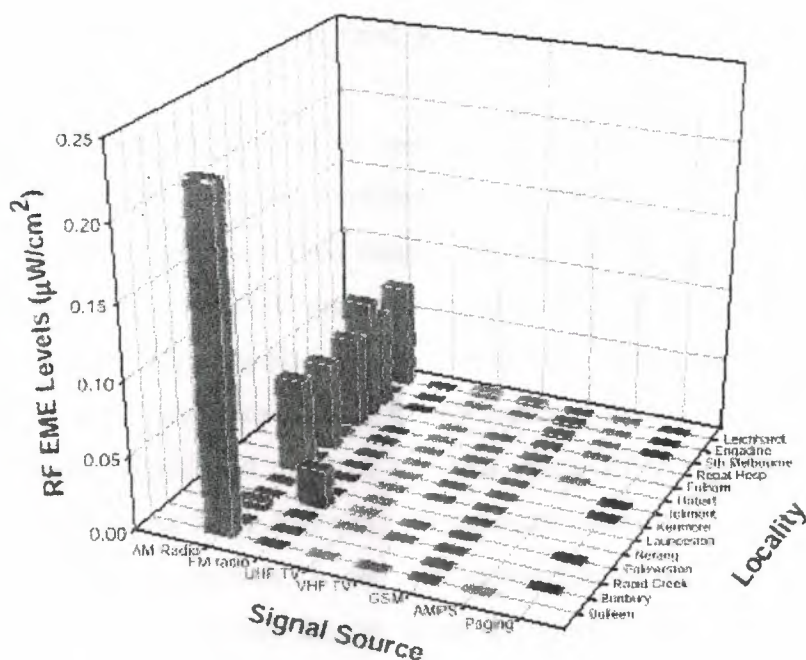


FIGURE 4.3: Enviromental RF EME power flux density levels $\mu\text{W}/\text{cm}^2$.

The largest fixed site environmental RF EME levels were at: Bulleen for AM radio ($0.2282 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is at least 8,000 times greater than this level), Palmerston for FM radio ($0.0259 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is at least 7,000 times greater than this level), Engadine for GSM ($0.0027 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is at least 70,000 times greater than this level), Leichhardt for AMPS ($0.0011 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is at least 100,000 times greater than this level), Leichhardt for UHF TV ($0.0047 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is at least 40,000 times greater than this level), Leichhardt for VHF TV ($0.0032 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is at least 60,000 times greater than this level), and South Melbourne for Paging ($0.0002 \mu\text{W}/\text{cm}^2$ – the limit specified in the ACAS is 1,000,000 times greater than this level).

The top pie chart illustrates the ratio of the mean fixed site environmental RF EME power flux density levels ($\mu\text{W}/\text{cm}^2$) between the various signal sources. The bottom pie chart illustrates the same comparison, except that the signals have been weighted for frequency.

When all the mean fixed site environmental RF EME power flux density levels from the seven different signal sources were summed together the RF radiation from the base stations (AMPS and GSM combined) contributed 2.0% of the total mean RF EME, with the GSM base stations proportion being 1.4%. FM and AM radio contributed 4.7% and 91% of the total mean RF EME levels, respectively. However, a more meaningful comparison is obtained when the signals have been weighted for frequency (see footnote on page 12 for explanation). When this is done the RF radiation from the base stations (AMPS and GSM combined) contributed 11% of the total mean RF EME, with the GSM base stations proportion being 7.7%. FM and AM radio contributed 26% and 51% of the total mean RF EME levels, respectively. A pie chart comparison of the ratio (in percentage) of the mean RF EME levels between the significant fixed site environmental signal sources is shown in Figure 4.6.

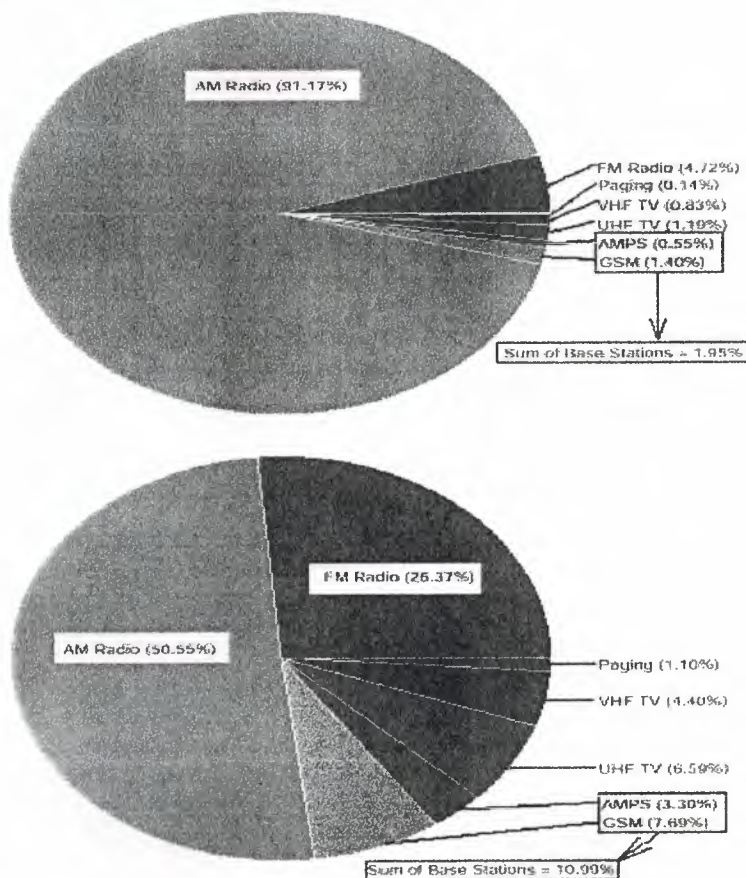


FIGURE 6: Ratio of mean environmental RF power flux density level.

CONCLUSION

Base stations use the broadcast control channel to transmit the information that terminals need to set up a call, including the control channel BCCH transmits one message segment. Adjacent cells have different frequencies to prevent interference and power levels are kept to a minimum to ensure no interference with non-adjacent cells, which use the same frequency. The size of the cell varies depending on the number of users. In rural areas, which typically cover large regions due to the sparse population, more power has to be generated to cover the larger area.

The goal of this study was to determine the RF EME level resulting from all signal frequencies produced by the particular GSM base stations under survey. Mobile telephone communication signals are both transient and partly random in their occurrence and distribution. we were interested in determining the RF EME levels at many locations and more particularly .

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