

# Chapter 9: Phase Diagrams

## ISSUES TO ADDRESS...

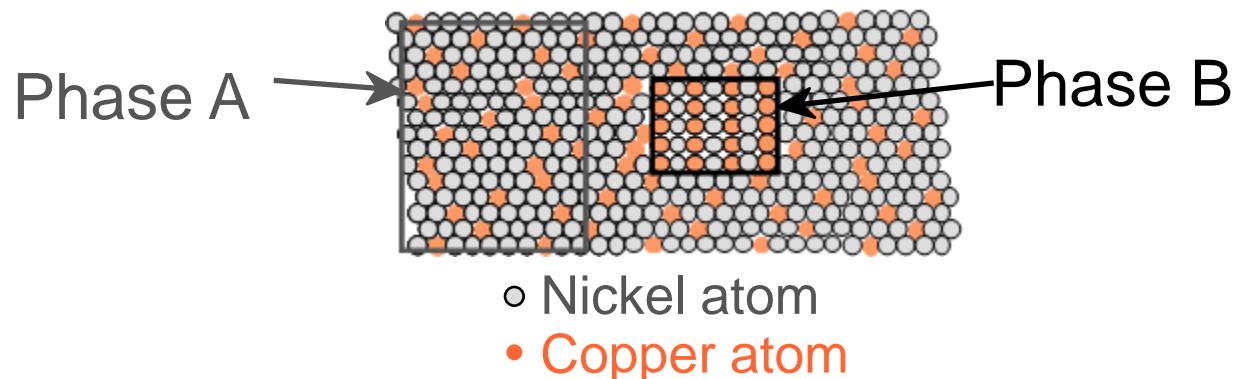
- When we combine two elements...  
what is the resulting equilibrium state?
- In particular, if we specify...
  - the composition (e.g., wt% Cu - wt% Ni), and
  - the temperature ( $T$ )

then...

How many phases form?

What is the composition of each phase?

What is the amount of each phase?



# Phase Equilibria: Solubility Limit

- Solution – solid, liquid, or gas solutions, single phase
- Mixture – more than one phase

Adapted from Fig. 9.1,  
*Callister & Rethwisch 8e.*

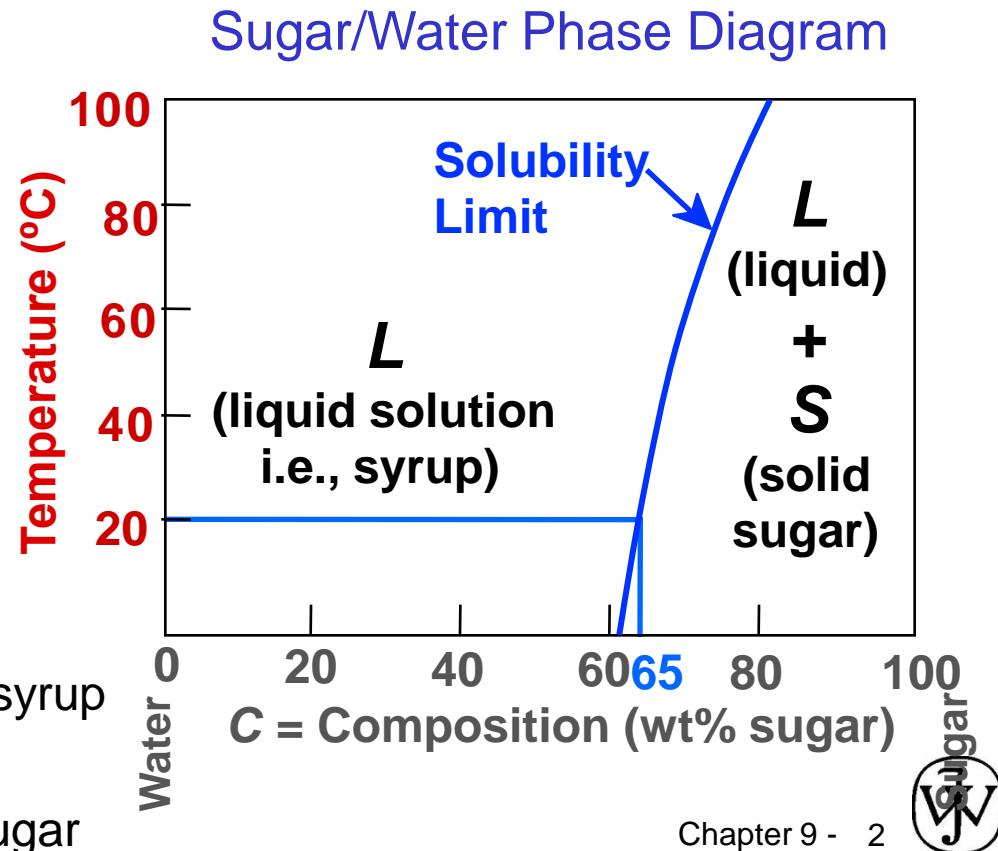
- **Solubility Limit:**  
Maximum concentration for which only a single phase solution exists.

Question: What is the solubility limit for sugar in water at  $20^{\circ}\text{C}$ ?

Answer: 65 wt% sugar.

At  $20^{\circ}\text{C}$ , if  $C < 65$  wt% sugar: syrup

At  $20^{\circ}\text{C}$ , if  $C > 65$  wt% sugar:  
syrup + sugar



# Components and Phases

- **Components:**

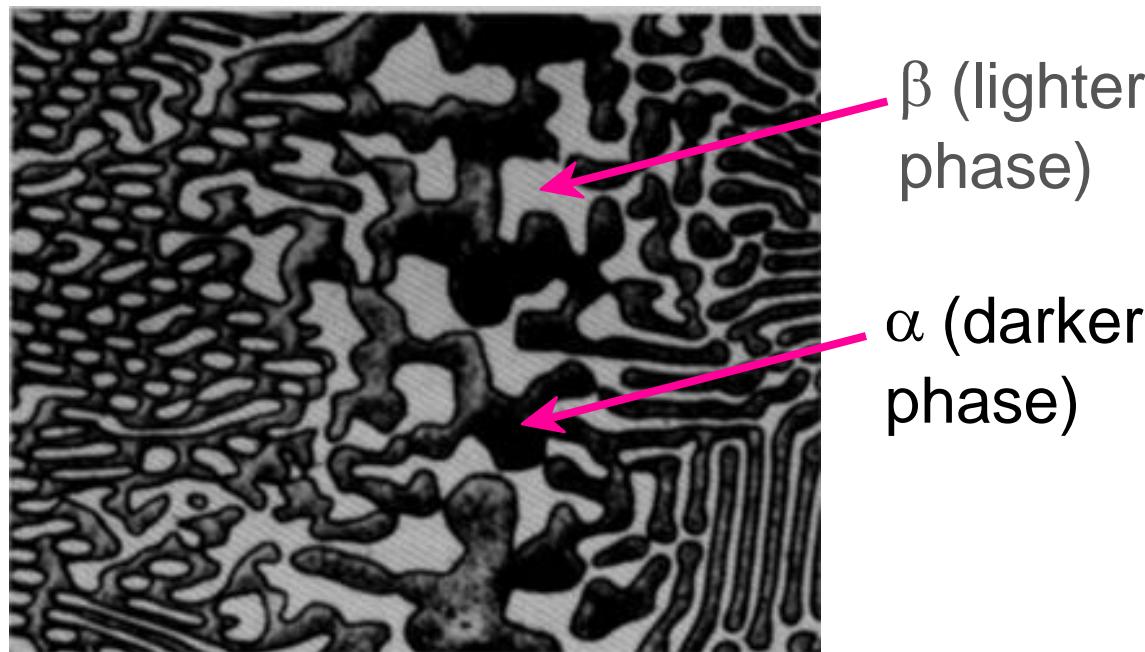
The elements or compounds which are present in the alloy  
(e.g., Al and Cu)

- **Phases:**

The physically and chemically distinct material regions  
that form (e.g.,  $\alpha$  and  $\beta$ ).

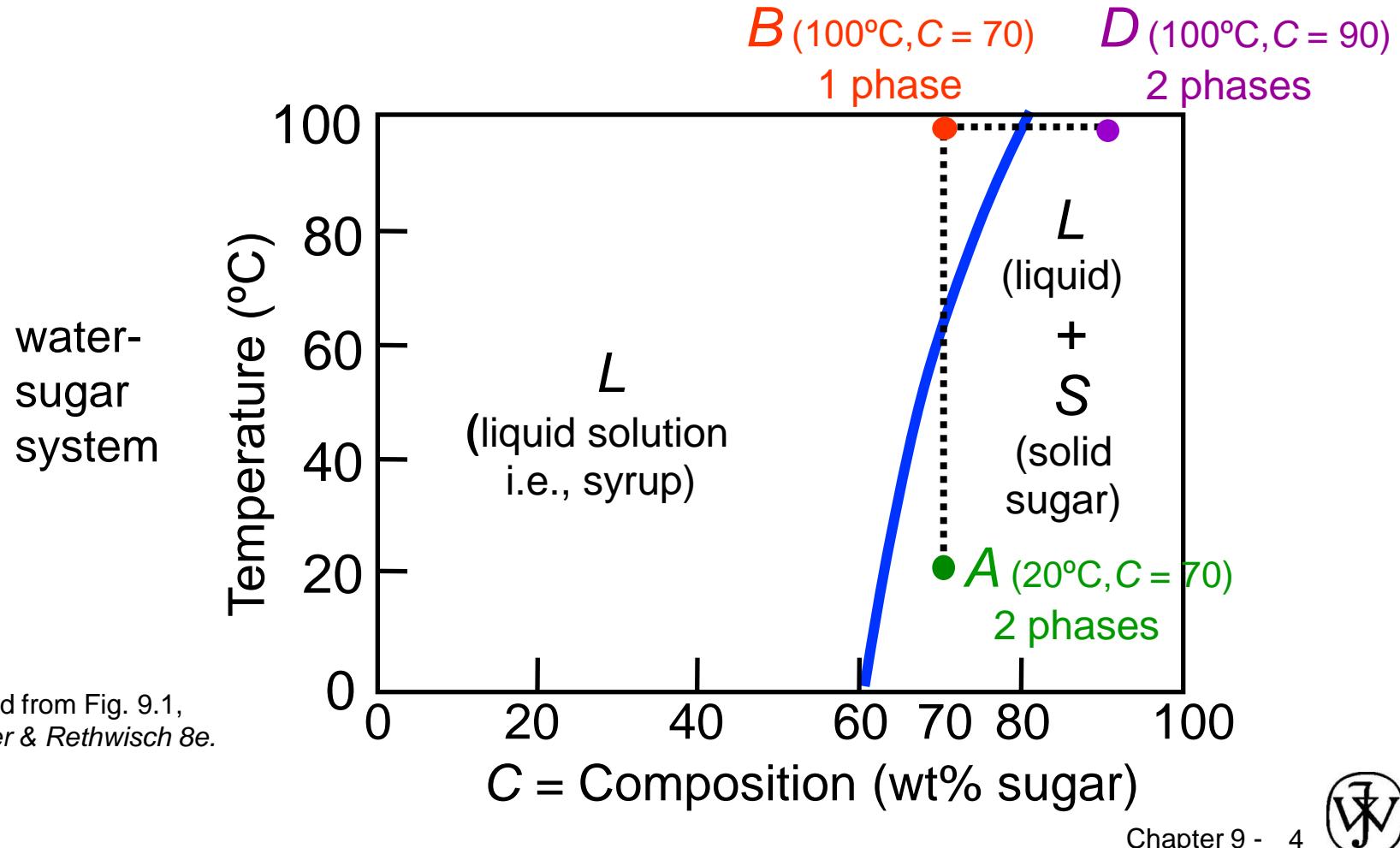
Aluminum-  
Copper  
Alloy

Adapted from chapter-opening photograph,  
Chapter 9, Callister,  
*Materials Science &*  
*Engineering: An*  
*Introduction*, 3e.



# Effect of Temperature & Composition

- Altering  $T$  can change # of phases: path  $A$  to  $B$ .
- Altering  $C$  can change # of phases: path  $B$  to  $D$ .



# Criteria for Solid Solubility

Simple system (e.g., Ni-Cu solution)

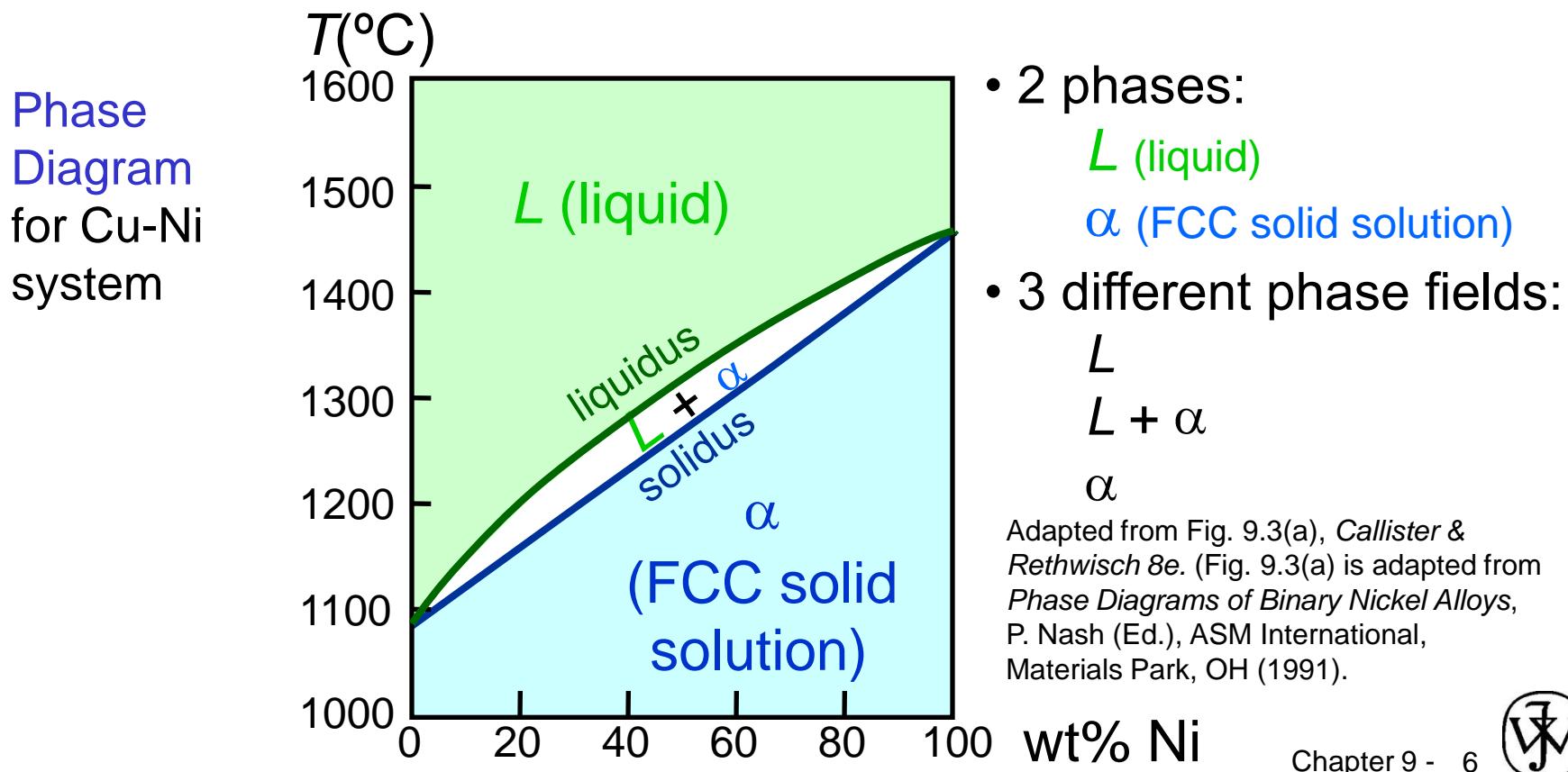
	Crystal Structure	electroneg	$r$ (nm)
Ni	FCC	1.9	0.1246
Cu	FCC	1.8	0.1278

- Both have the same crystal structure (FCC) and have similar electronegativities and atomic radii ([W. Hume – Rothery rules](#)) suggesting high mutual solubility.
- Ni and Cu are totally soluble in one another for all proportions.



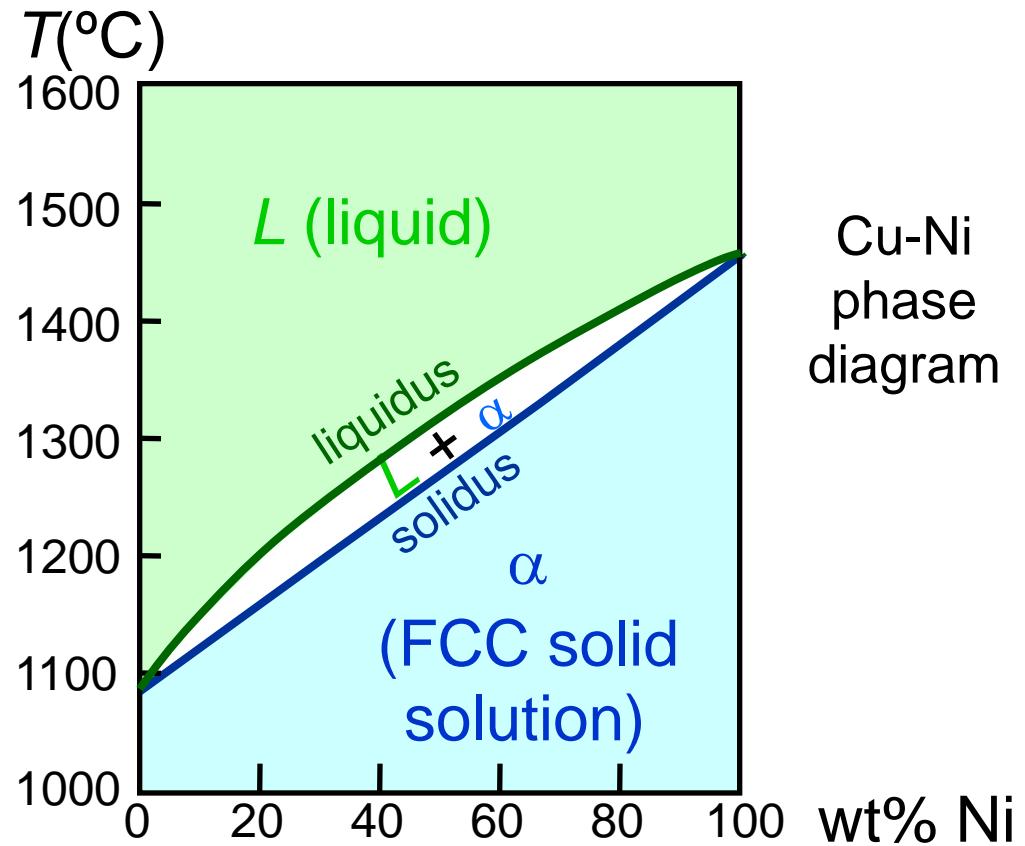
# Phase Diagrams

- Indicate phases as a function of  $T$ ,  $C$ , and  $P$ .
- For this course:
  - binary systems: just 2 components.
  - independent variables:  $T$  and  $C$  ( $P = 1 \text{ atm}$  is almost always used).



# Isomorphous Binary Phase Diagram

- Phase diagram: Cu-Ni system.
- System is:
  - **binary**  
*i.e.*, 2 components: Cu and Ni.
  - **isomorphous**  
*i.e.*, complete solubility of one component in another;  $\alpha$  phase field extends from 0 to 100 wt% Ni.



Cu-Ni  
phase  
diagram

Adapted from Fig. 9.3(a), Callister & Rethwisch 8e. (Fig. 9.3(a) is adapted from Phase Diagrams of Binary Nickel Alloys, P. Nash (Ed.), ASM International, Materials Park, OH (1991).



# Phase Diagrams: Determination of phase(s) present

- Rule 1: If we know  $T$  and  $C_O$ , then we know:  
-- which phase(s) is (are) present.

- Examples:

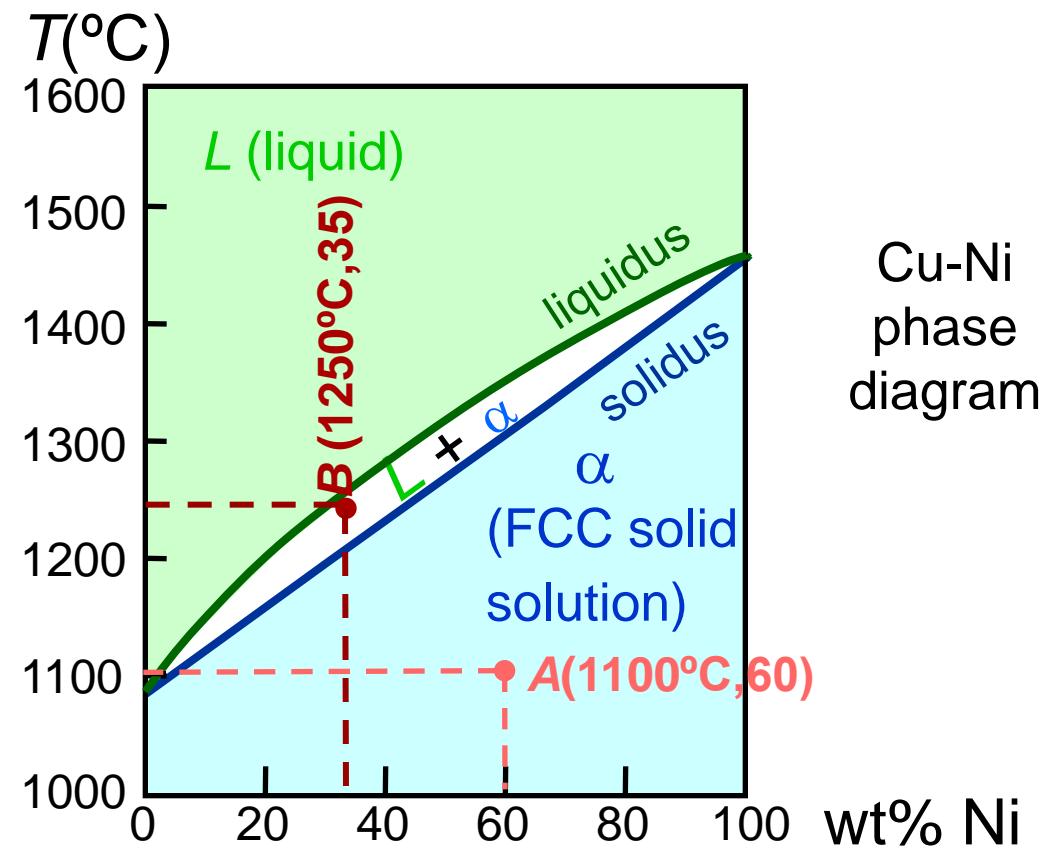
*A*(1100°C, 60 wt% Ni):

1 phase:  $\alpha$

*B*(1250°C, 35 wt% Ni):

2 phases:  $L + \alpha$

Adapted from Fig. 9.3(a), Callister & Rethwisch 8e. (Fig. 9.3(a) is adapted from Phase Diagrams of Binary Nickel Alloys, P. Nash (Ed.), ASM International, Materials Park, OH (1991).



# Phase Diagrams: Determination of phase compositions

- Rule 2: If we know  $T$  and  $C_0$ , then we can determine:
  - the composition of each phase.
- Examples:

Consider  $C_0 = 35$  wt% Ni

At  $T_A = 1320^\circ\text{C}$ :

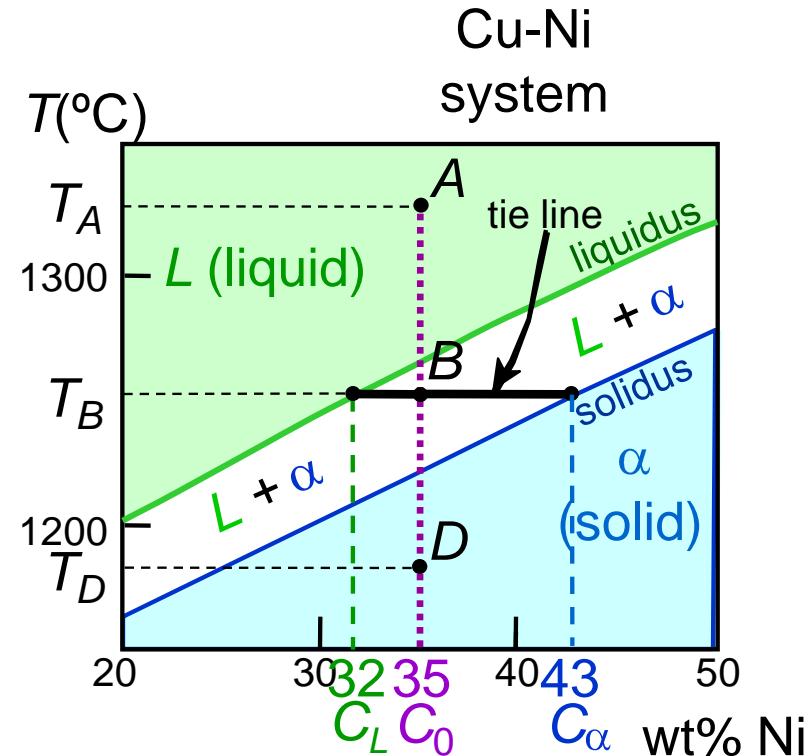
Only Liquid ( $L$ ) present  
 $C_L = C_0$  ( $= 35$  wt% Ni)

At  $T_D = 1190^\circ\text{C}$ :

Only Solid ( $\alpha$ ) present  
 $C_\alpha = C_0$  ( $= 35$  wt% Ni)

At  $T_B = 1250^\circ\text{C}$ :

Both  $\alpha$  and  $L$  present  
 $C_L = C_{\text{liquidus}}$  ( $= 32$  wt% Ni)  
 $C_\alpha = C_{\text{solidus}}$  ( $= 43$  wt% Ni)



Adapted from Fig. 9.3(a), Callister & Rethwisch 8e. (Fig. 9.3(a) is adapted from Phase Diagrams of Binary Nickel Alloys, P. Nash (Ed.), ASM International, Materials Park, OH (1991).



# Phase Diagrams: Determination of phase weight fractions

- Rule 3: If we know  $T$  and  $C_0$ , then can determine:
  - the weight fraction of each phase.
- Examples:

Consider  $C_0 = 35$  wt% Ni

At  $T_A$ : Only Liquid ( $L$ ) present

$$W_L = 1.00, W_\alpha = 0$$

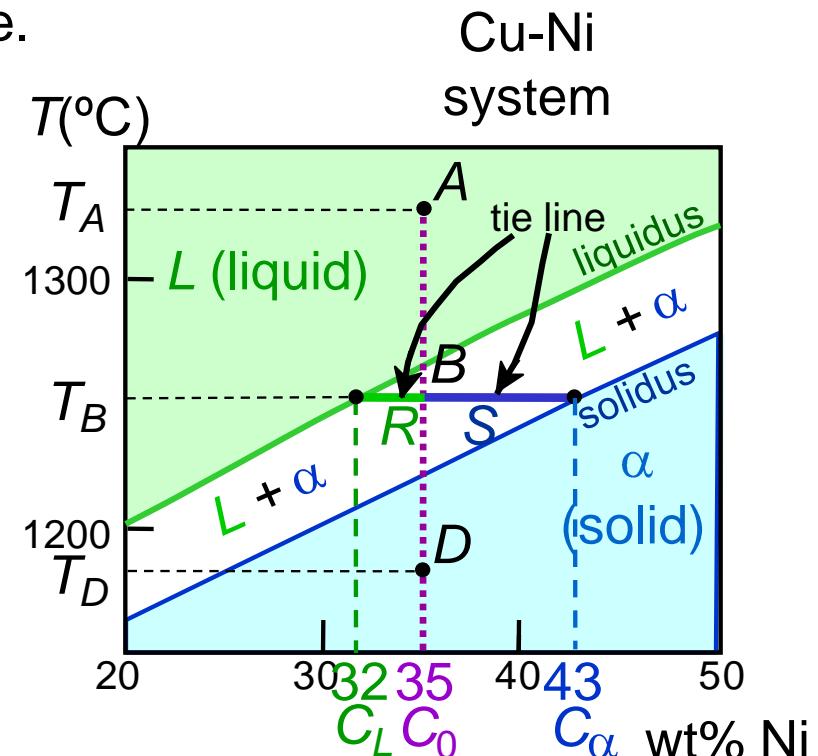
At  $T_D$ : Only Solid ( $\alpha$ ) present

$$W_L = 0, W_\alpha = 1.00$$

At  $T_B$ : Both  $\alpha$  and  $L$  present

$$W_L = \frac{S}{R+S} = \frac{43 - 35}{43 - 32} = 0.73$$

$$W_\alpha = \frac{R}{R+S} = 0.27$$



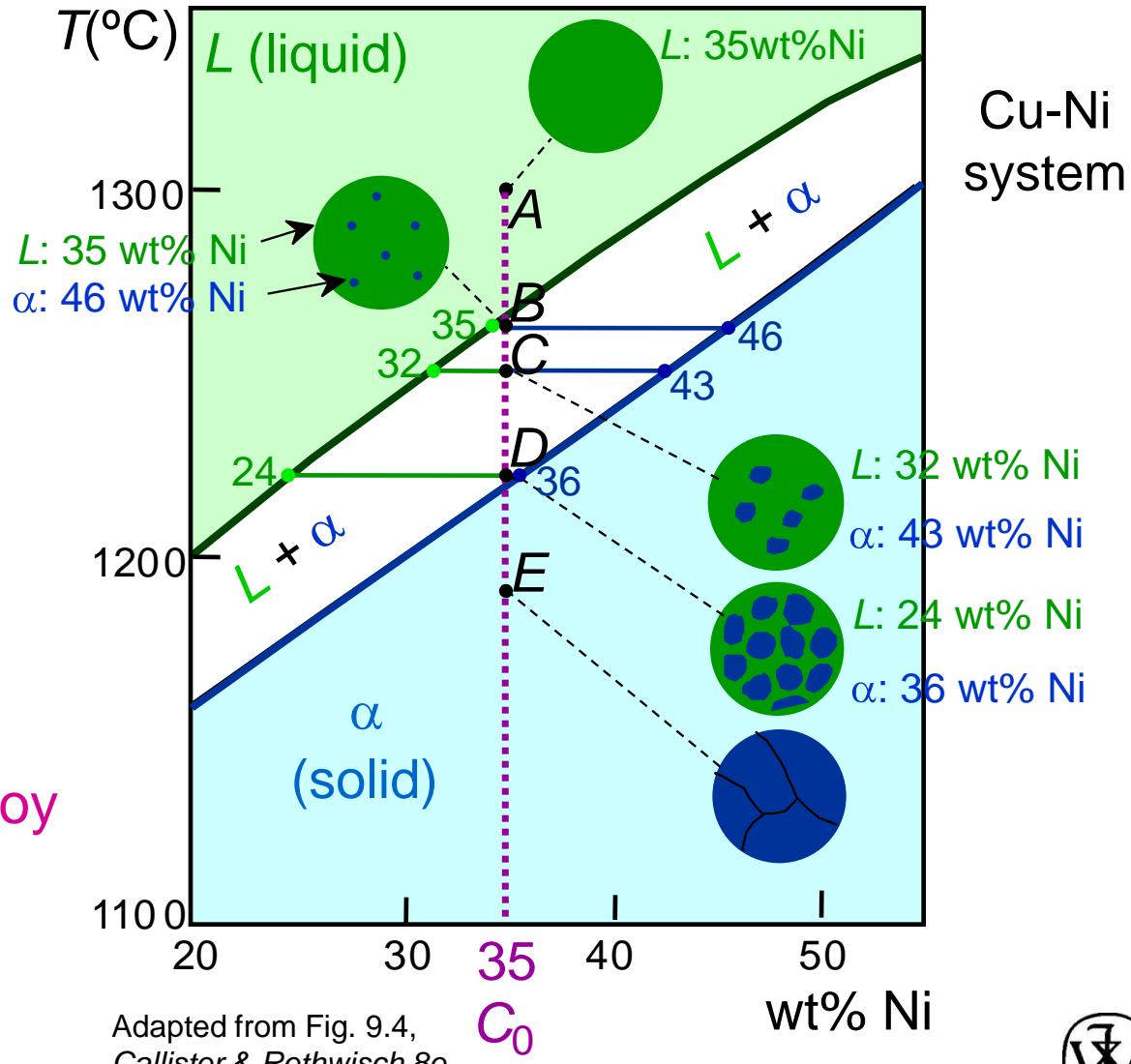
*Lever Rule*

# Development of Microstructure

## Ex: Cooling of a Cu-Ni Alloy

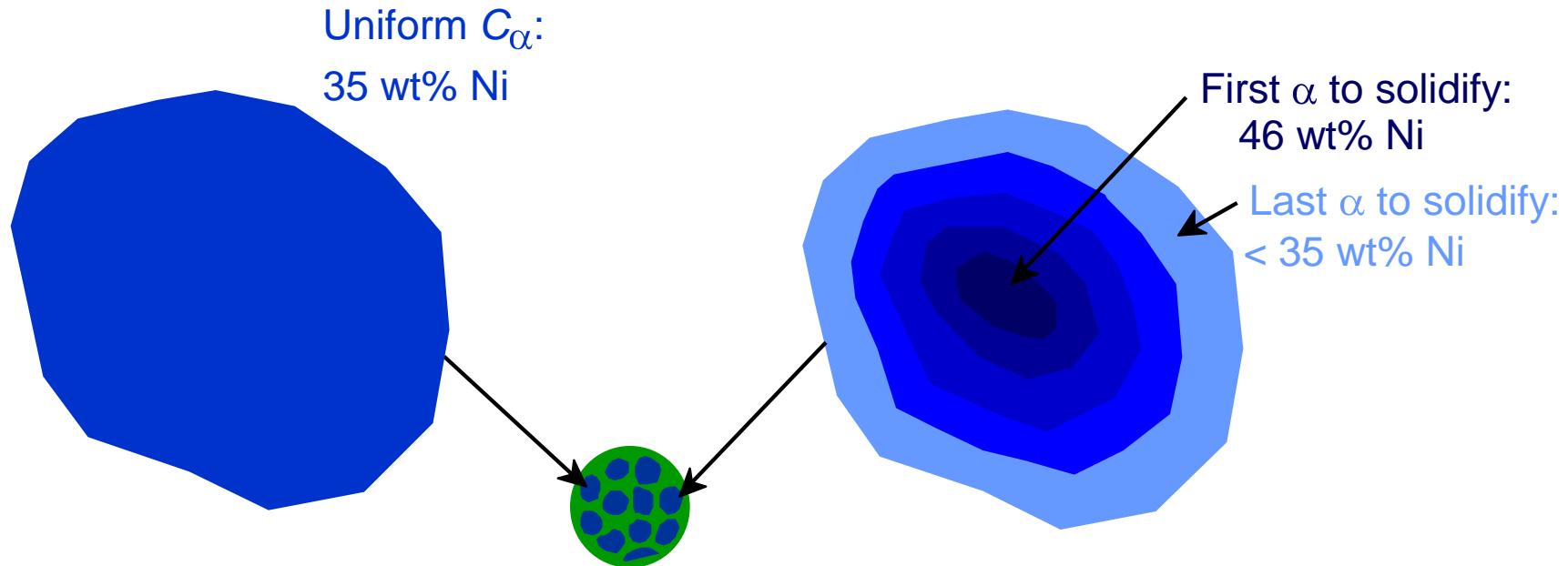
- Phase diagram: Cu-Ni system.

- Consider microstructural changes that accompany the cooling of a  $C_0 = 35$  wt% Ni alloy



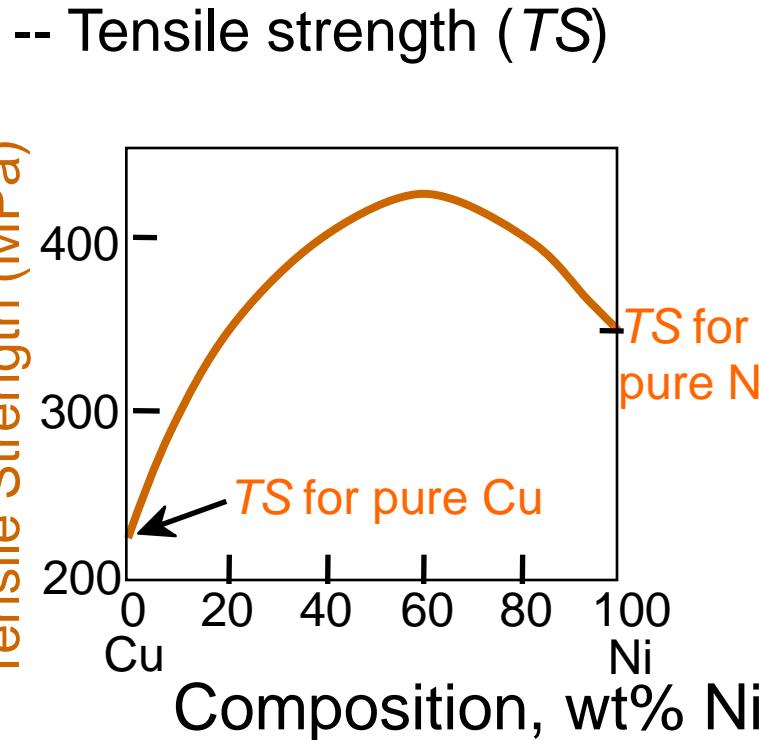
# Cored vs Equilibrium Structures

- $C_\alpha$  changes as we solidify.
- Cu-Ni case: First  $\alpha$  to solidify has  $C_\alpha = 46$  wt% Ni.  
Last  $\alpha$  to solidify has  $C_\alpha = 35$  wt% Ni.
- Slow rate of cooling:  
Equilibrium structure
- Fast rate of cooling:  
Cored structure



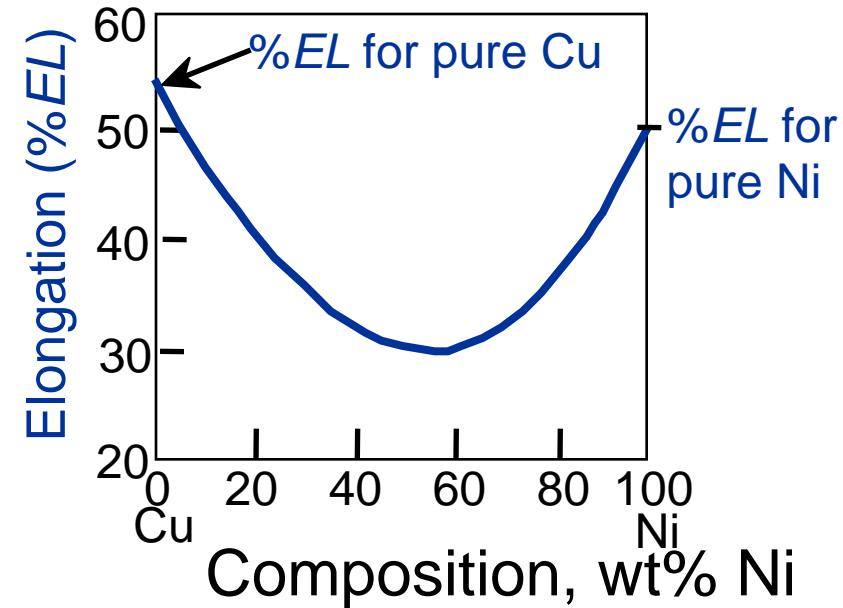
# Mechanical Properties: Cu-Ni System

- Effect of solid solution strengthening on:



Adapted from Fig. 9.6(a),  
Callister & Rethwisch 8e.

- Ductility (%EL)



Adapted from Fig. 9.6(b),  
Callister & Rethwisch 8e.

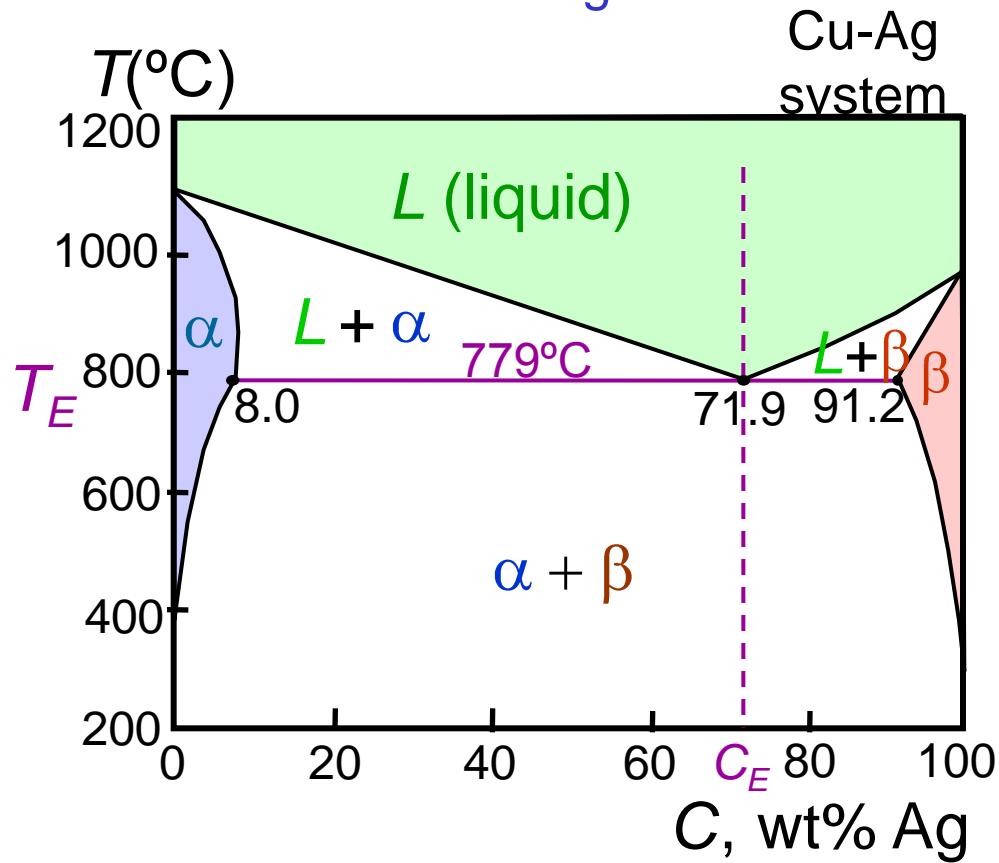
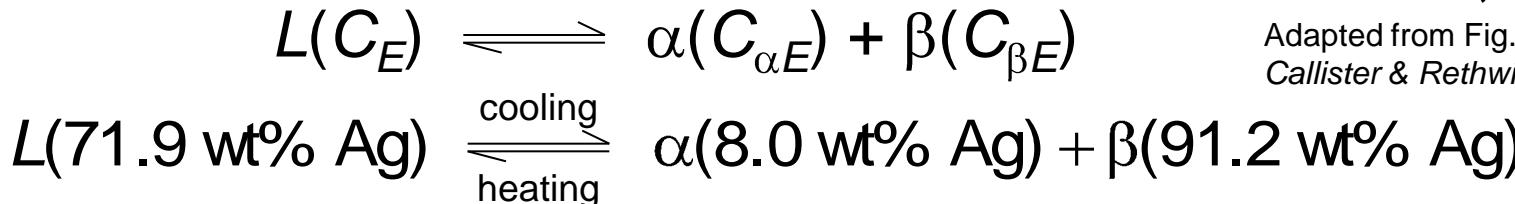
# Binary-Eutectic Systems

2 components

has a special composition  
with a min. melting  $T$ .

Ex.: Cu-Ag system

- 3 single phase regions ( $L$ ,  $\alpha$ ,  $\beta$ )
- Limited solubility:
  - $\alpha$ : mostly Cu
  - $\beta$ : mostly Ag
- $T_E$ : No liquid below  $T_E$
- $C_E$ : Composition at temperature  $T_E$
- **Eutectic reaction**



Adapted from Fig. 9.7,  
Callister & Rethwisch 8e.

# EX 1: Pb-Sn Eutectic System

- For a 40 wt% Sn-60 wt% Pb alloy at 150°C, determine:
  - the phases present

**Answer:**  $\alpha + \beta$

- the phase compositions

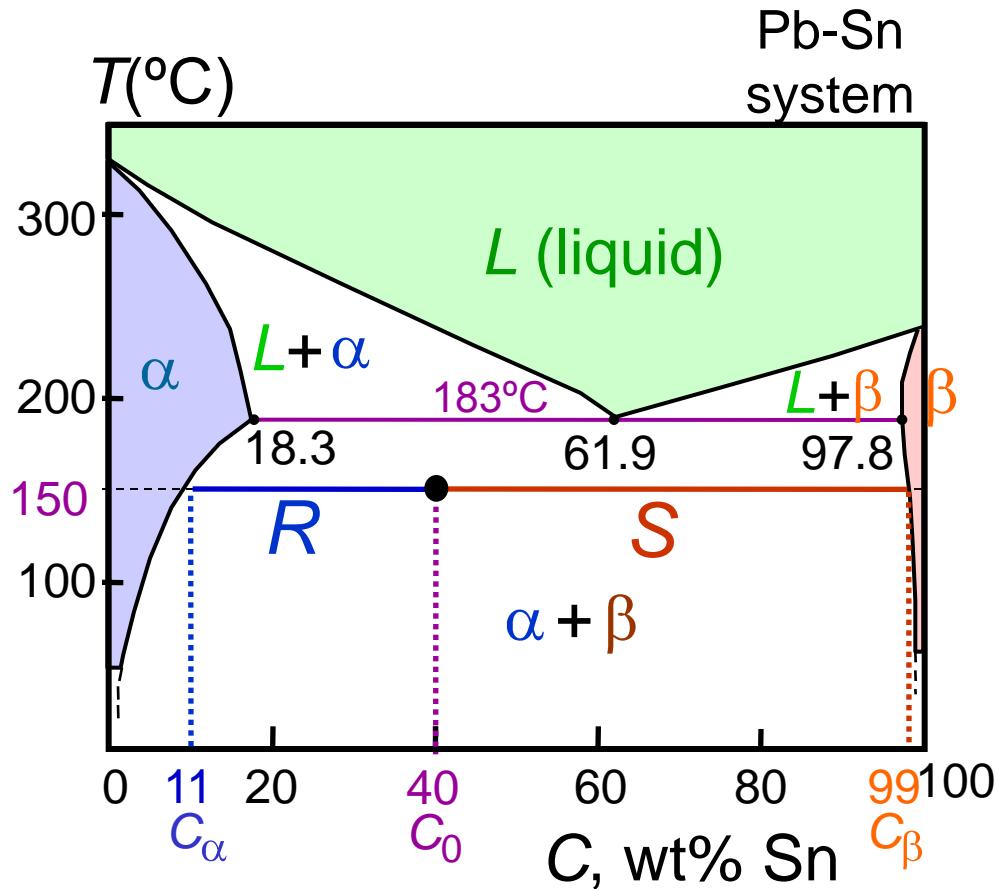
**Answer:**  $C_{\alpha} = 11 \text{ wt\% Sn}$   
 $C_{\beta} = 99 \text{ wt\% Sn}$

- the relative amount of each phase

**Answer:**

$$W_{\alpha} = \frac{S}{R+S} = \frac{C_{\beta} - C_0}{C_{\beta} - C_{\alpha}} \\ = \frac{99 - 40}{99 - 11} = \frac{59}{88} = 0.67$$

$$W_{\beta} = \frac{R}{R+S} = \frac{C_0 - C_{\alpha}}{C_{\beta} - C_{\alpha}} \\ = \frac{40 - 11}{99 - 11} = \frac{29}{88} = 0.33$$



Adapted from Fig. 9.8,  
*Callister & Rethwisch 8e.*



# EX 2: Pb-Sn Eutectic System

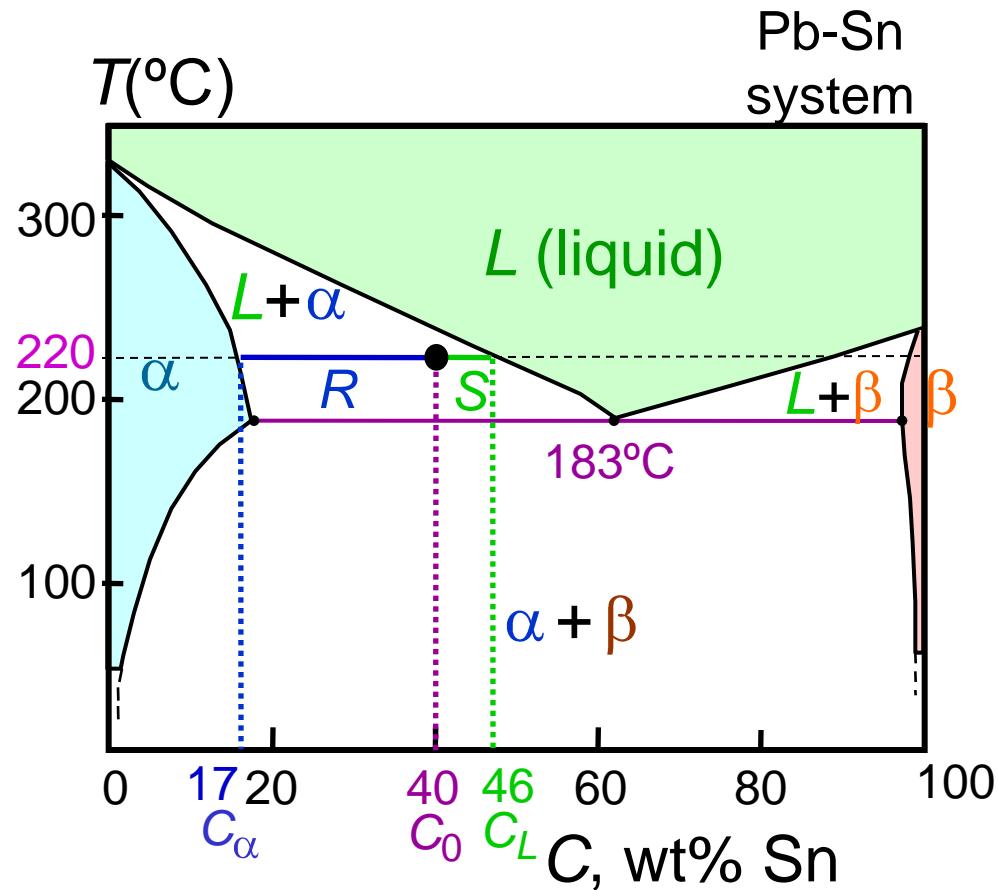
- For a 40 wt% Sn-60 wt% Pb alloy at 220°C, determine:
    - the phases present:
- Answer:**  $\alpha + L$
- the phase compositions
- Answer:**  $C_{\alpha} = 17 \text{ wt\% Sn}$   
 $C_L = 46 \text{ wt\% Sn}$
- the relative amount of each phase

**Answer:**

$$W_{\alpha} = \frac{C_L - C_0}{C_L - C_{\alpha}} = \frac{46 - 40}{46 - 17}$$

$$= \frac{6}{29} = 0.21$$

$$W_L = \frac{C_0 - C_{\alpha}}{C_L - C_{\alpha}} = \frac{23}{29} = 0.79$$

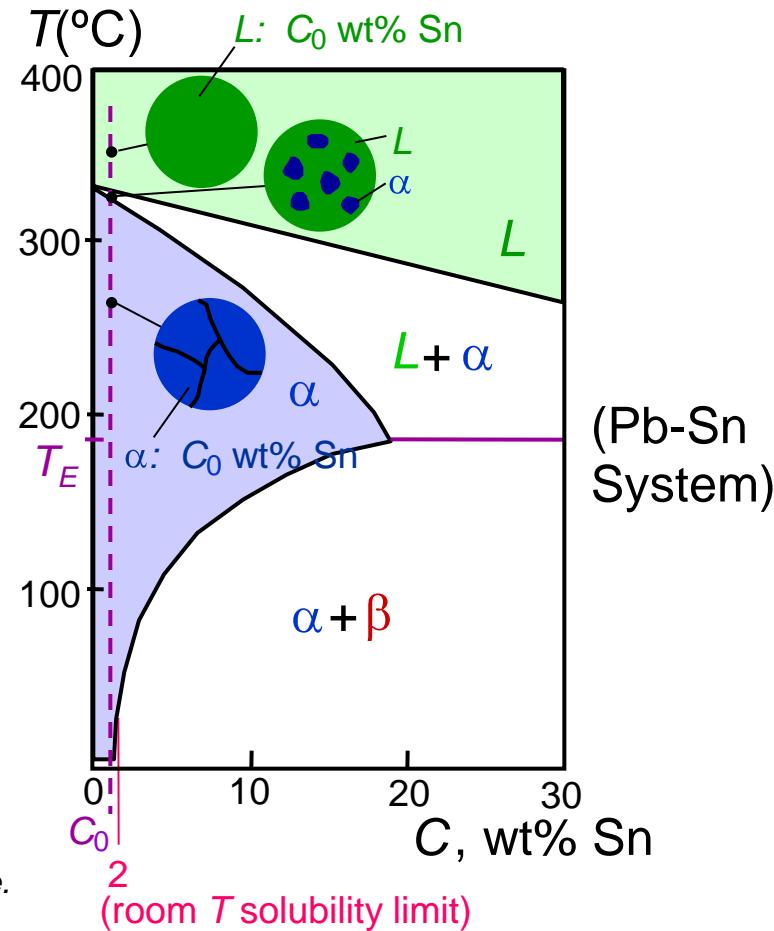


Adapted from Fig. 9.8,  
*Callister & Rethwisch 8e.*



# Microstructural Developments in Eutectic Systems I

- For alloys for which  $C_0 < 2 \text{ wt\% Sn}$
- Result: at room temperature -- polycrystalline with grains of  $\alpha$  phase having composition  $C_0$

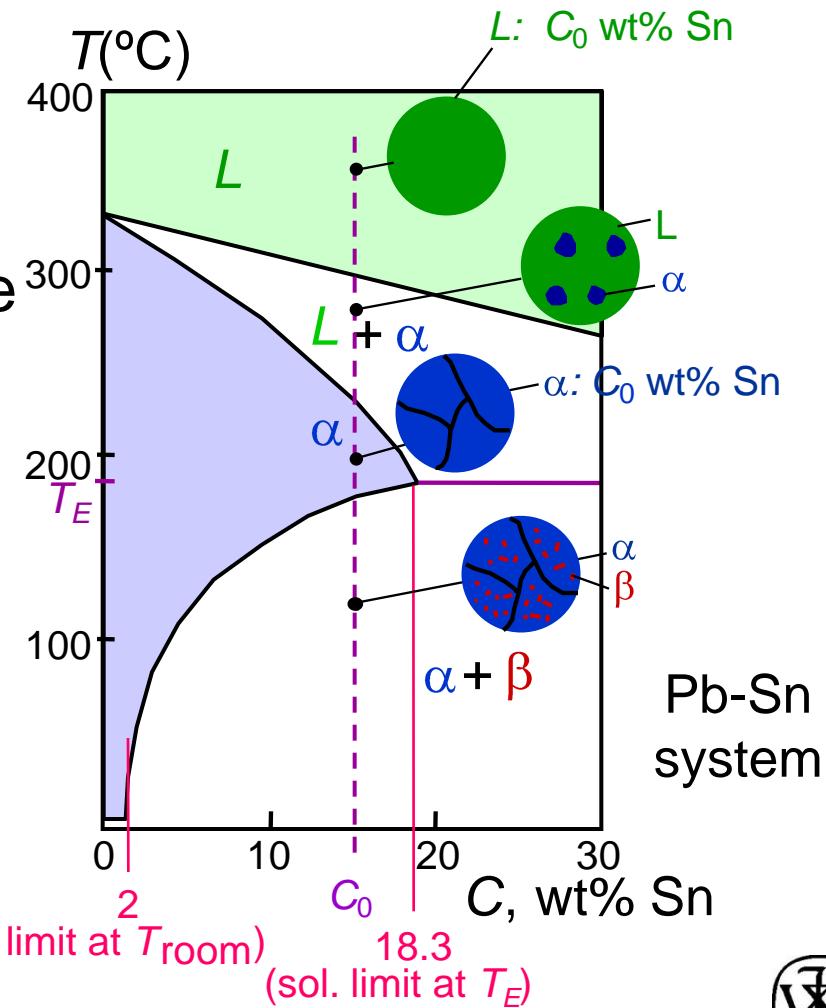


Adapted from Fig. 9.11,  
Callister & Rethwisch 8e.



# Microstructural Developments in Eutectic Systems II

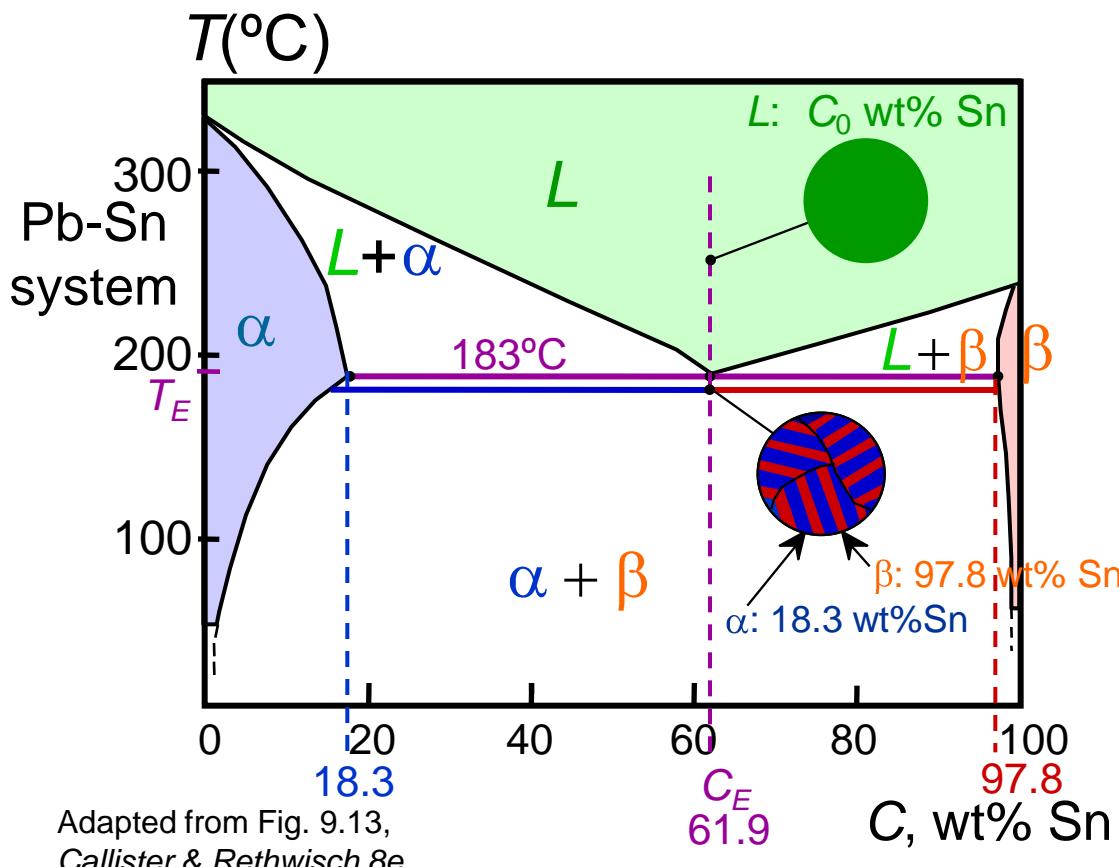
- For alloys for which  $2 \text{ wt\% Sn} < C_0 < 18.3 \text{ wt\% Sn}$
- Result:
  - at temperatures in  $\alpha + \beta$  range -- polycrystalline with  $\alpha$  grains and small  $\beta$ -phase particles



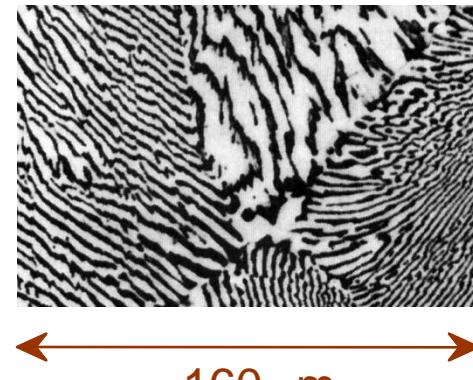
Adapted from Fig. 9.12,  
Callister & Rethwisch 8e.

# Microstructural Developments in Eutectic Systems III

- For alloy of composition  $C_0 = C_E$
- Result: Eutectic microstructure (lamellar structure)
  - alternating layers (lamellae) of  $\alpha$  and  $\beta$  phases.

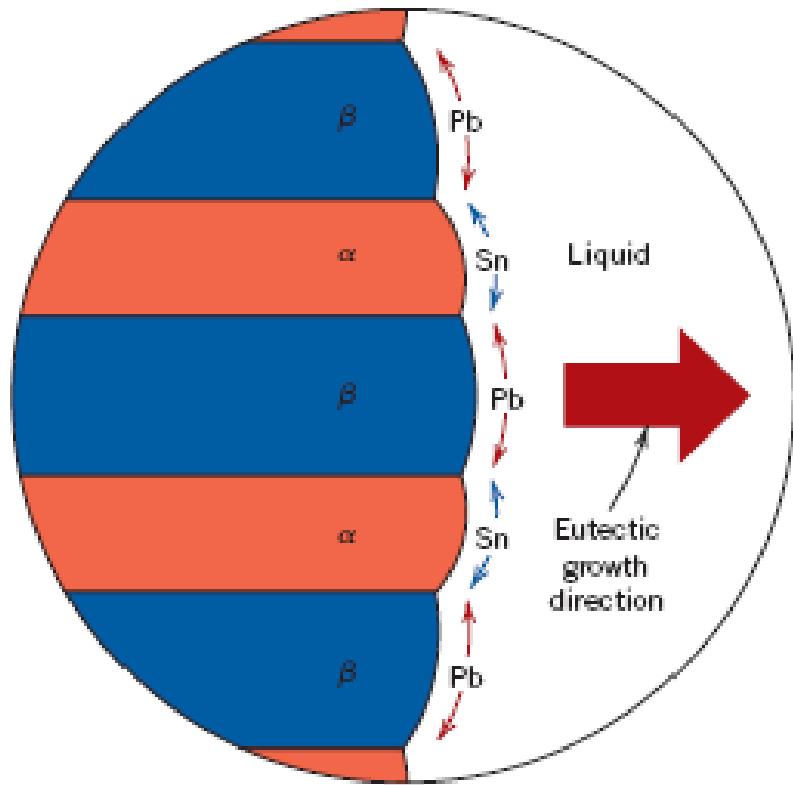


Micrograph of Pb-Sn eutectic microstructure

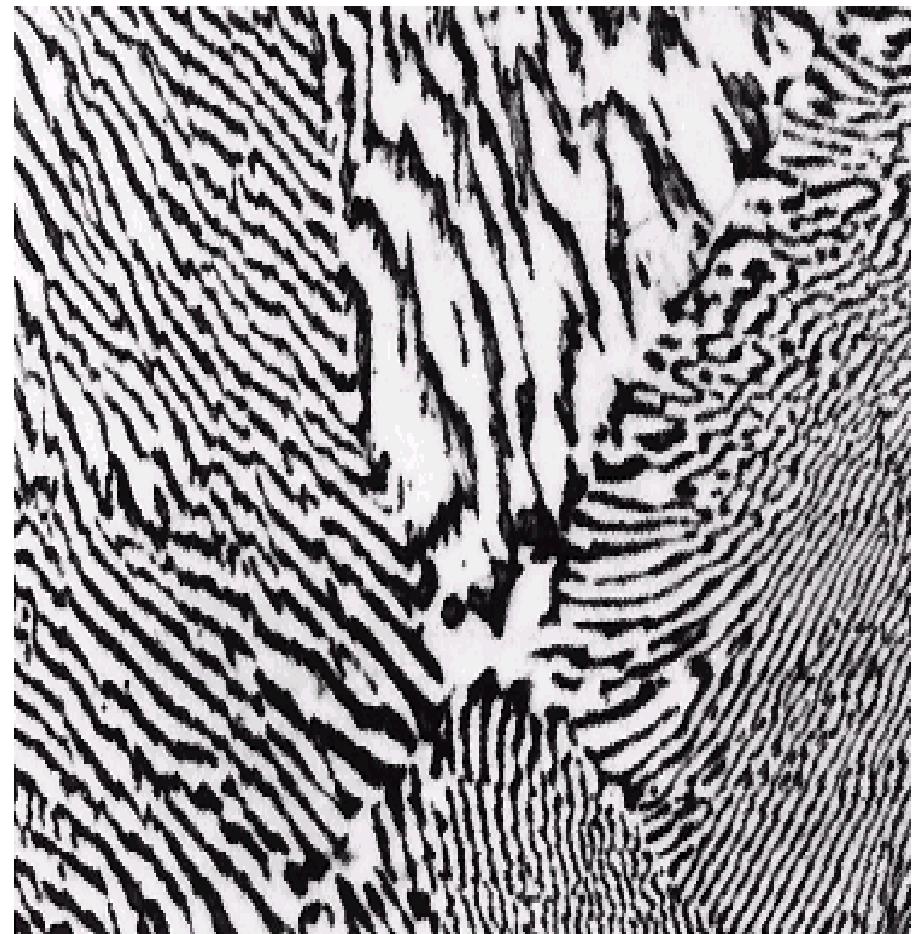


Adapted from Fig. 9.14,  
Callister & Rethwisch 8e.

# Lamellar Eutectic Structure

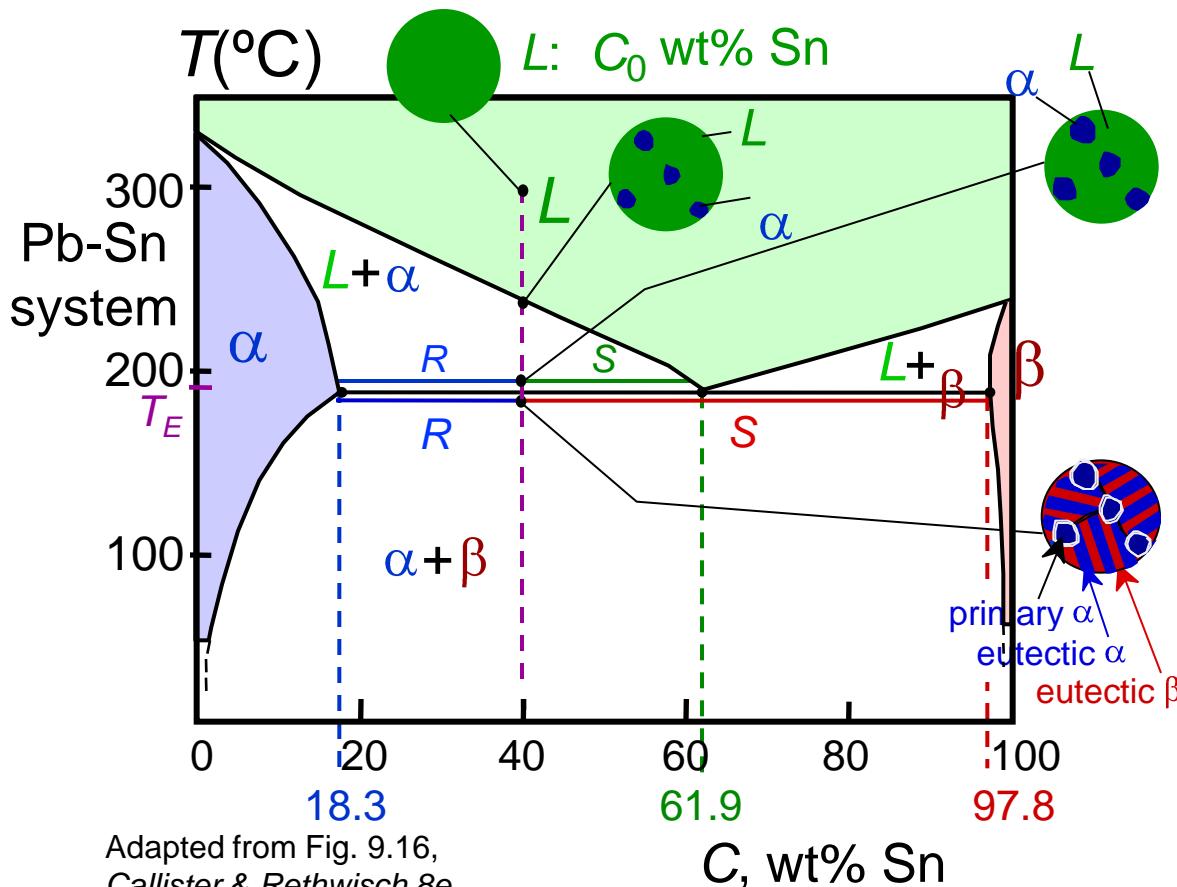


Adapted from Figs. 9.14 & 9.15, Callister  
& Rethwisch 8e.



# Microstructural Developments in Eutectic Systems IV

- For alloys for which  $18.3 \text{ wt\% Sn} < C_0 < 61.9 \text{ wt\% Sn}$
- Result:  $\alpha$  phase particles and a eutectic microconstituent



Adapted from Fig. 9.16,  
Callister & Rethwisch 8e.

- Just above  $T_E$ :
 
$$C_\alpha = 18.3 \text{ wt\% Sn}$$

$$C_L = 61.9 \text{ wt\% Sn}$$

$$W_\alpha = \frac{S}{R+S} = 0.50$$

$$W_L = (1-W_\alpha) = 0.50$$
- Just below  $T_E$ :
 
$$C_\alpha = 18.3 \text{ wt\% Sn}$$

$$C_\beta = 97.8 \text{ wt\% Sn}$$

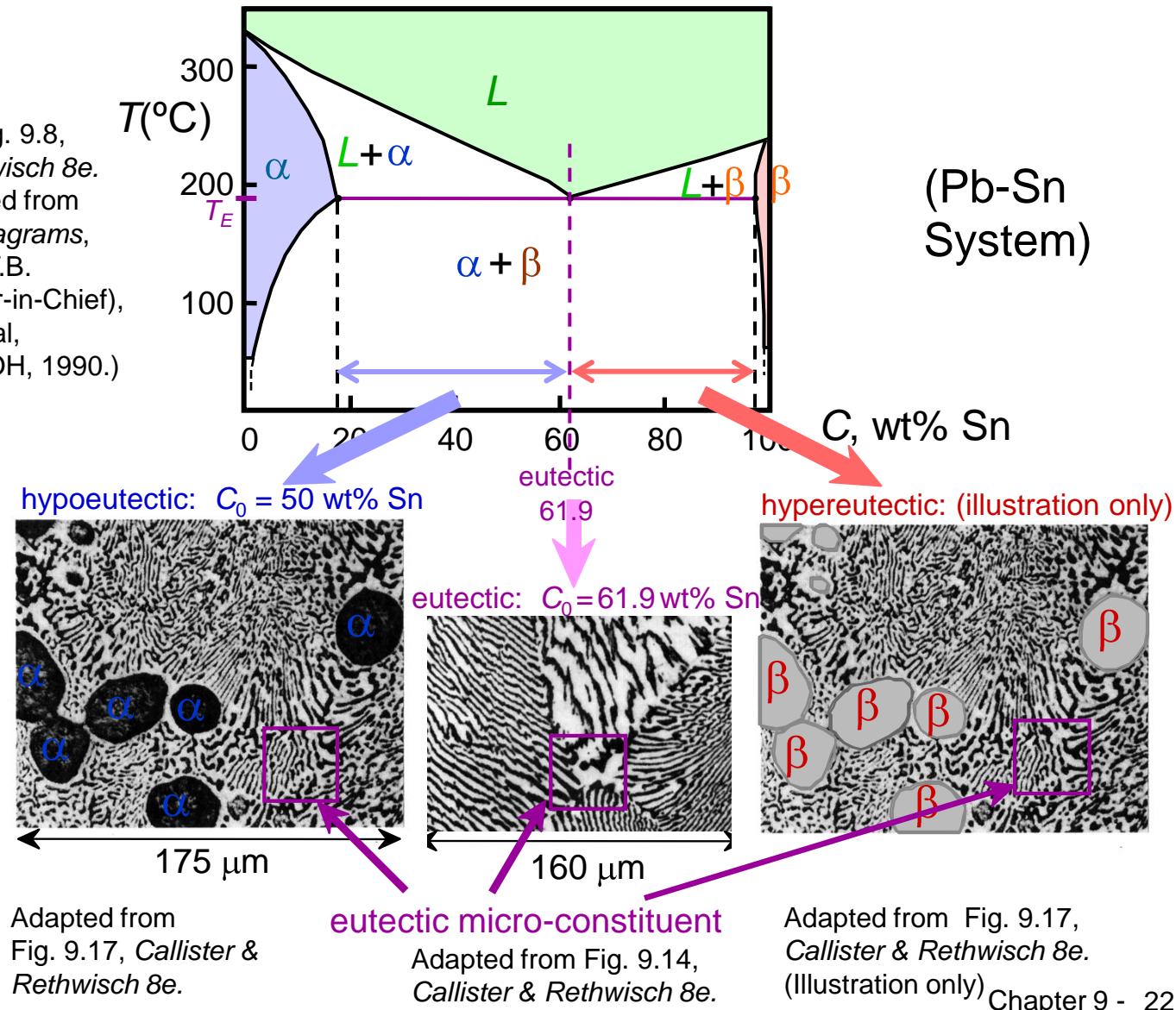
$$W_\alpha = \frac{S}{R+S} = 0.73$$

$$W_\beta = 0.27$$

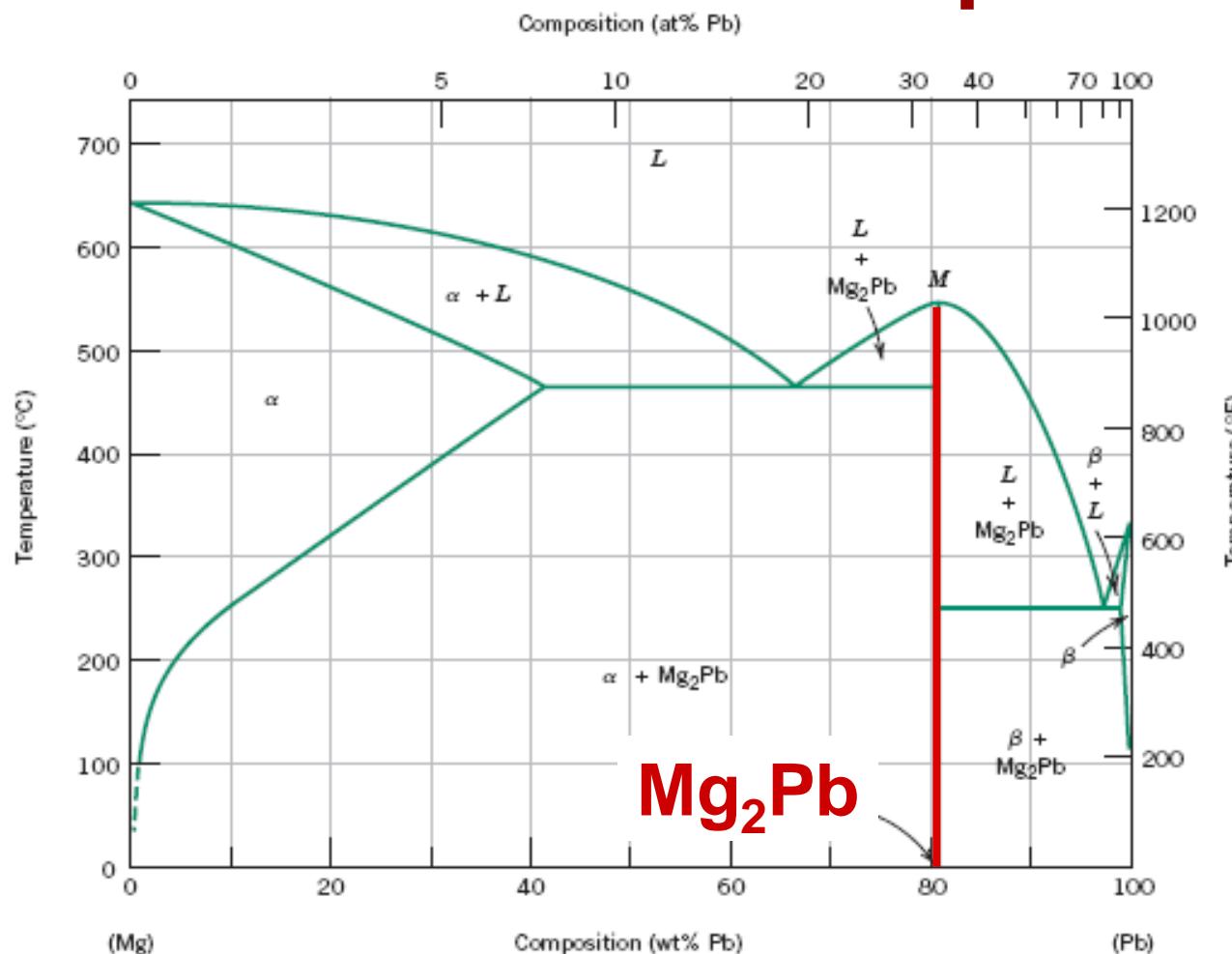


# Hypoeutectic & Hypereutectic

Adapted from Fig. 9.8,  
*Callister & Rethwisch 8e.*  
 (Fig. 10.8 adapted from  
*Binary Phase Diagrams*,  
 2nd ed., Vol. 3, T.B.  
 Massalski (Editor-in-Chief),  
 ASM International,  
 Materials Park, OH, 1990.)



# Intermetallic Compounds

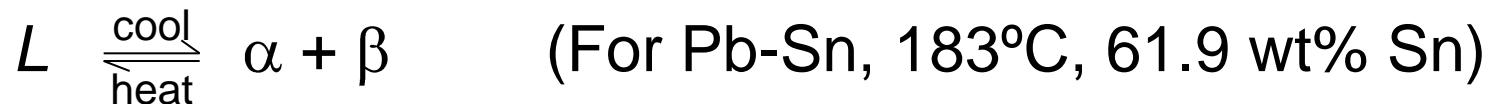


Adapted from  
Fig. 9.20, Callister &  
Rethwisch 8e.

Note: intermetallic compound exists as a line on the diagram - not an area - because of stoichiometry (i.e. composition of a compound is a fixed value).

# Eutectic, Eutectoid, & Peritectic

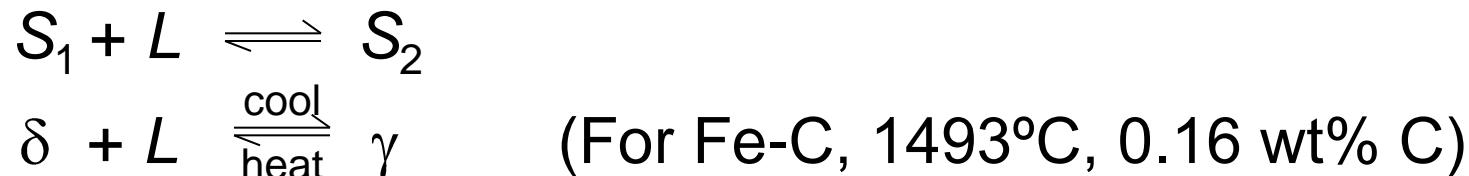
- **Eutectic** - liquid transforms to two solid phases



- **Eutectoid** – one solid phase transforms to two other solid phases

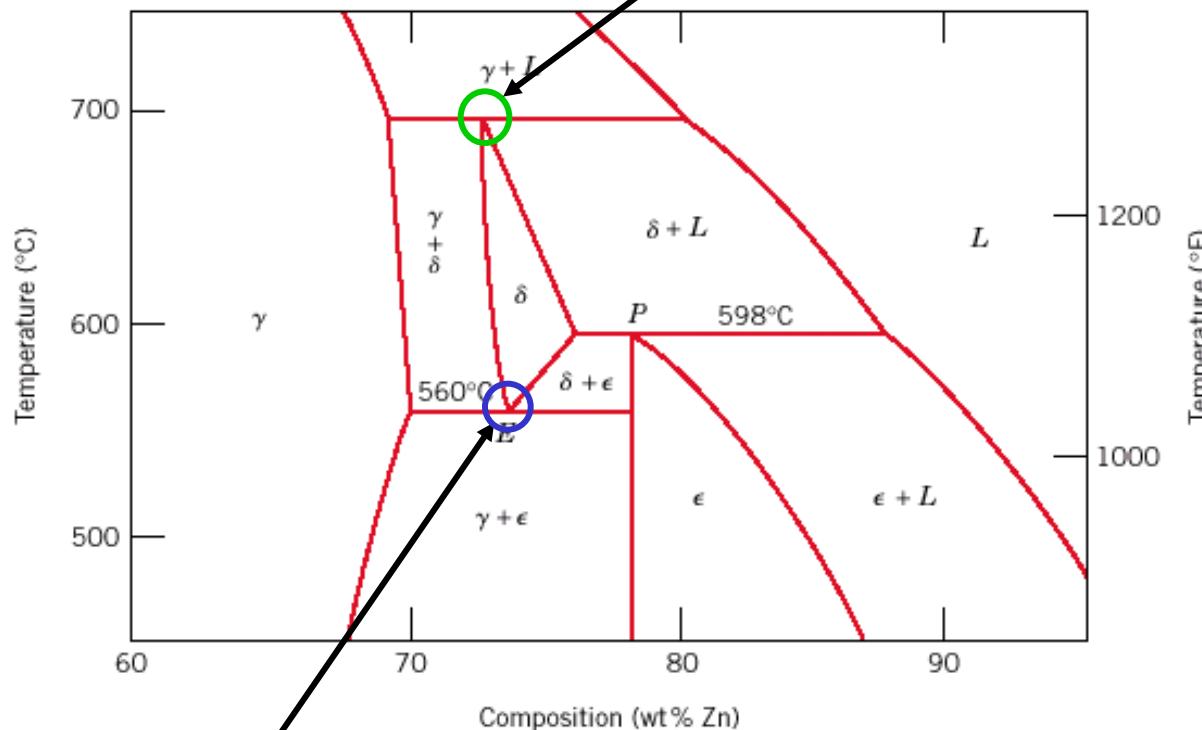


- **Peritectic** - liquid and one solid phase transform to a second solid phase



# Eutectoid & Peritectic Cu-Zn Phase diagram

Peritectic transformation  $\gamma + L \rightleftharpoons \delta$



Eutectoid transformation  $\delta \rightleftharpoons \gamma + \epsilon$

Adapted from Fig. 9.21,  
Callister & Rethwisch 8e.



# Iron-Carbon (Fe-C) Phase Diagram

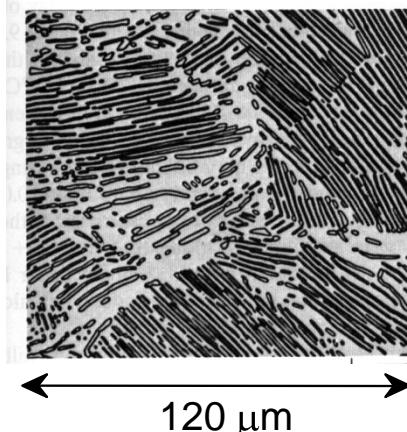
- 2 important points

- Eutectic (A):

$$L \Rightarrow \gamma + \text{Fe}_3\text{C}$$

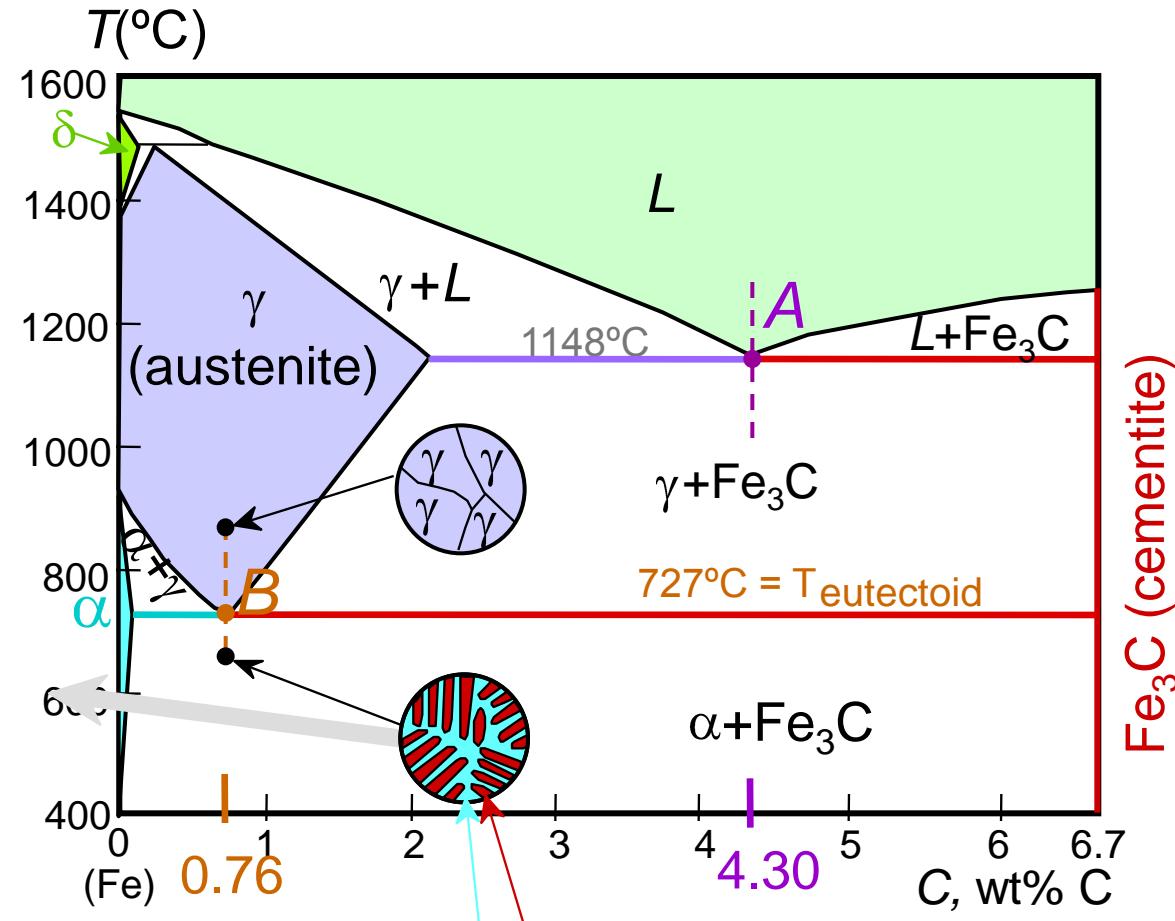
- Eutectoid (B):

$$\gamma \Rightarrow \alpha + \text{Fe}_3\text{C}$$



Result: Pearlite =  
alternating layers of  
 $\alpha$  and  $\text{Fe}_3\text{C}$  phases

(Adapted from Fig. 9.27,  
*Callister & Rethwisch 8e.*)

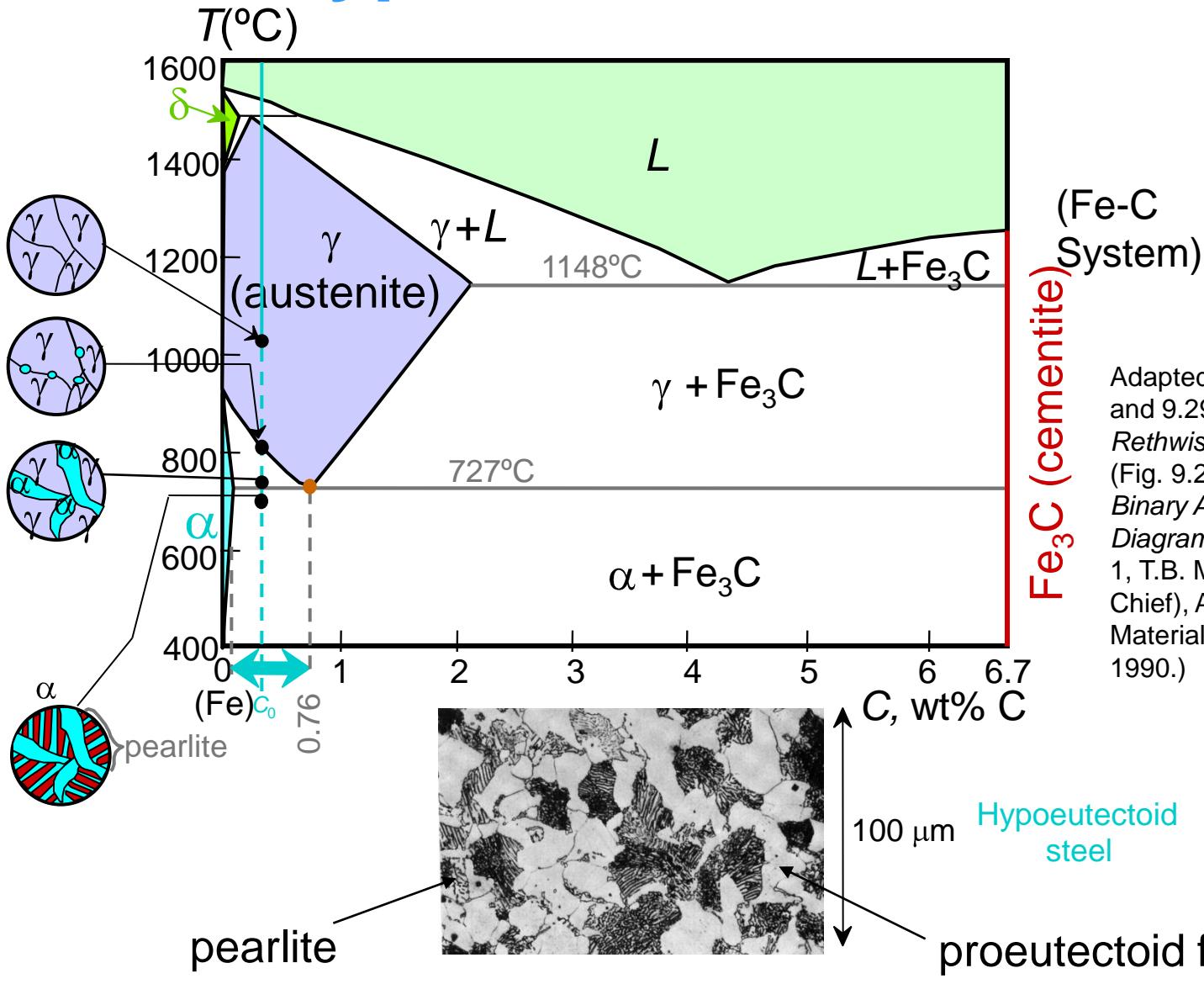


Adapted from Fig. 9.24,  
*Callister & Rethwisch 8e.*

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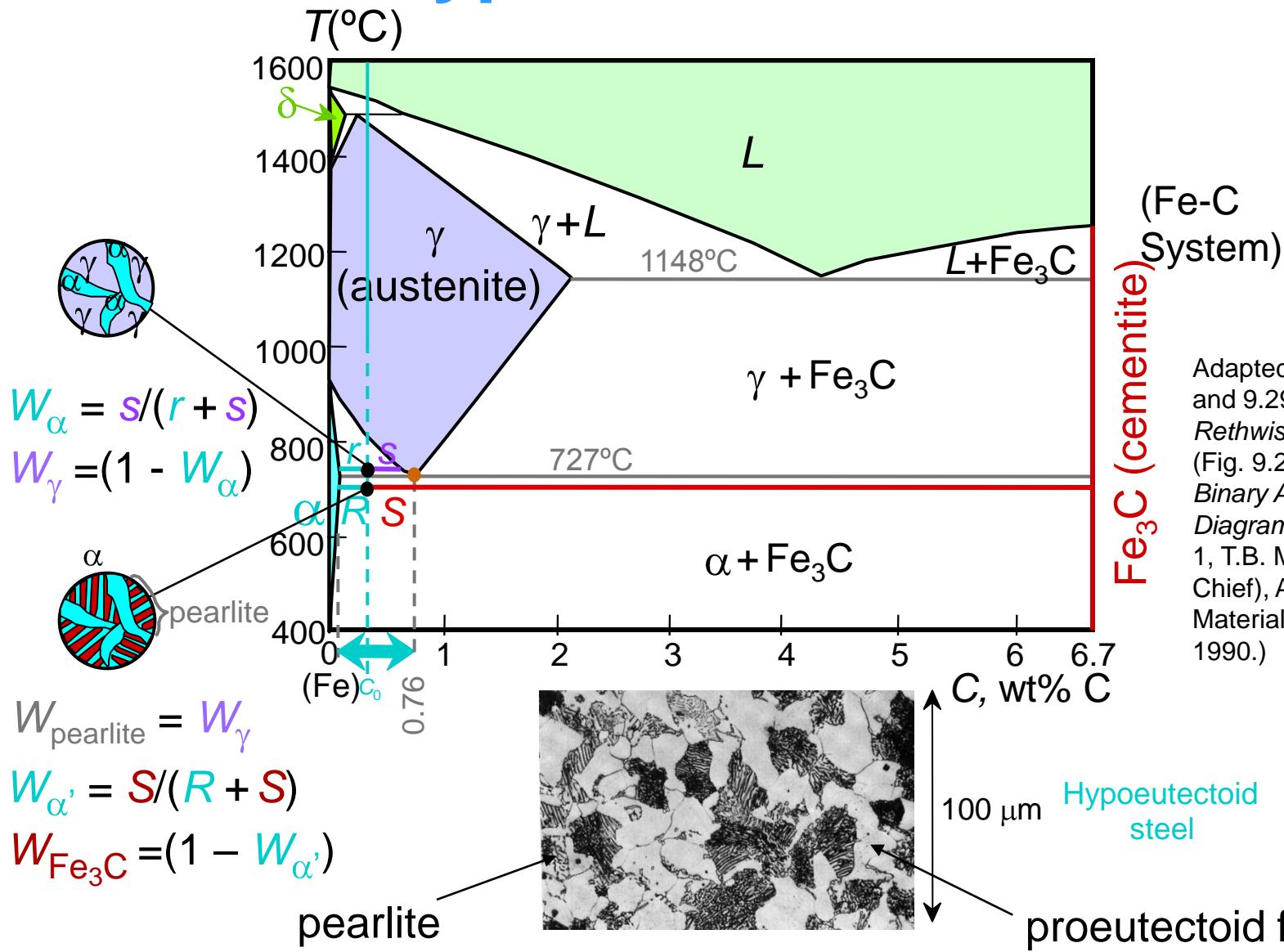


# Hypoeutectoid Steel



Adapted from Figs. 9.24 and 9.29, Callister & Rethwisch 8e.  
 (Fig. 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd ed., Vol. 1, T.B. Massalski (Ed.-in-Chief), ASM International, Materials Park, OH, 1990.)

# Hypoeutectoid Steel



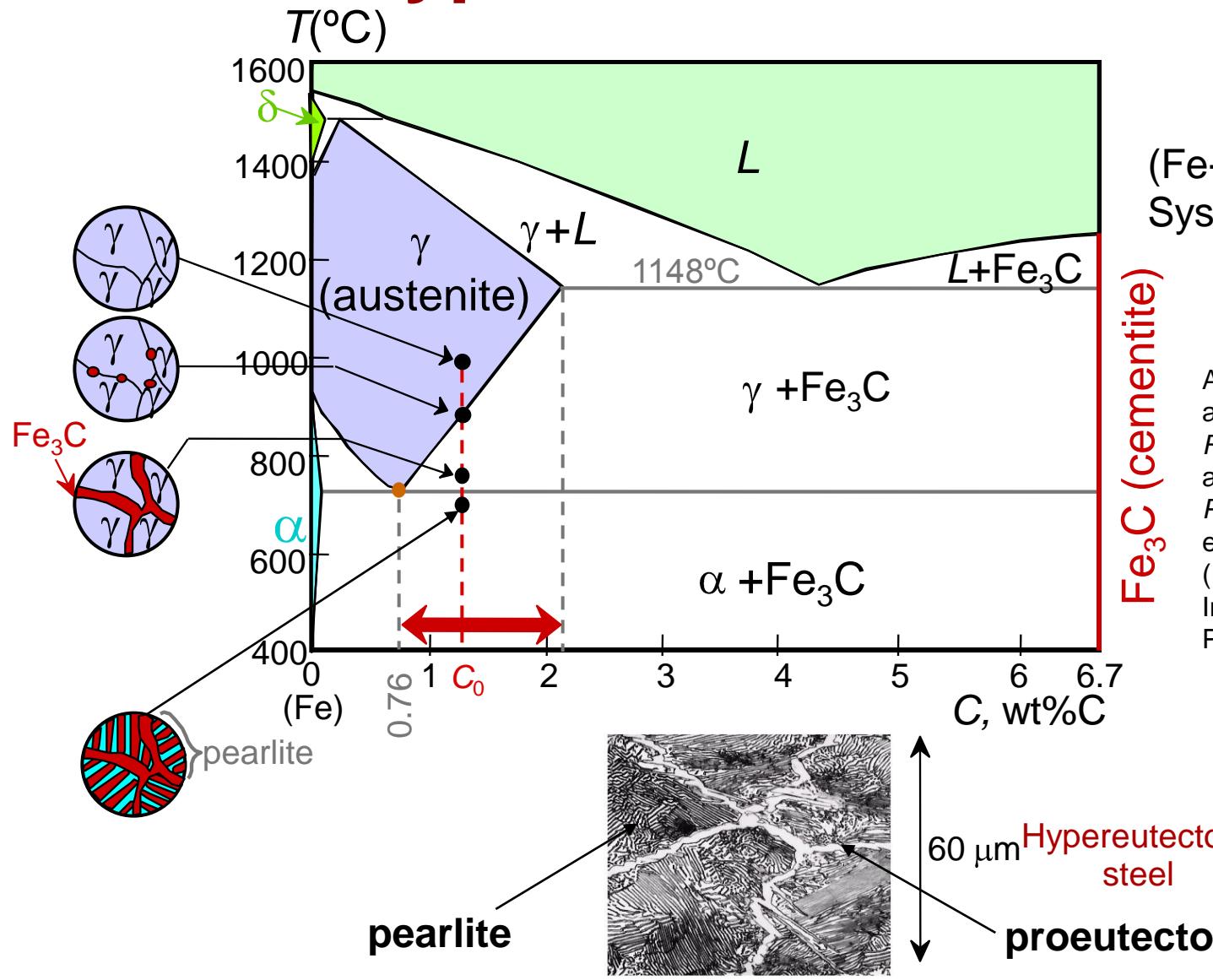
Adapted from Figs. 9.24 and 9.29, Callister & Rethwisch 8e.  
 (Fig. 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd ed., Vol. 1, T.B. Massalski (Ed.-in-Chief), ASM International, Materials Park, OH, 1990.)

Adapted from Fig. 9.30, Callister & Rethwisch 8e.

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# Hypereutectoid Steel



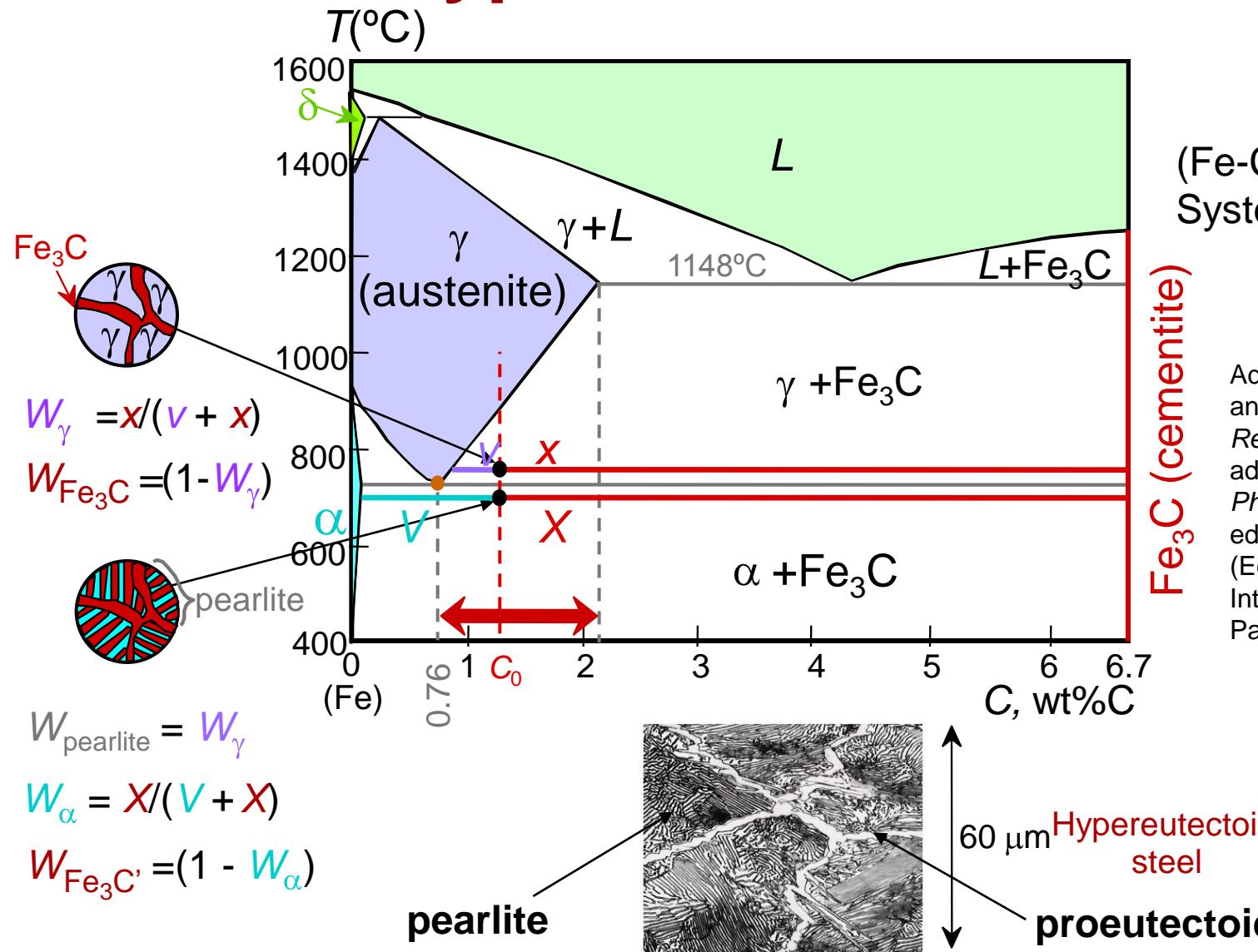
Adapted from Figs. 9.24 and 9.32, Callister & Rethwisch 8e. (Fig. 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd ed., Vol. 1, T.B. Massalski (Ed.-in-Chief), ASM International, Materials Park, OH, 1990.)

Adapted from Fig. 9.33, Callister & Rethwisch 8e.

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# Hypereutectoid Steel



Adapted from Figs. 9.24 and 9.32, Callister & Rethwisch 8e. (Fig. 9.24 adapted from *Binary Alloy Phase Diagrams*, 2nd ed., Vol. 1, T.B. Massalski (Ed.-in-Chief), ASM International, Materials Park, OH, 1990.)

Adapted from Fig. 9.33, Callister & Rethwisch 8e.

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# Example Problem

For a 99.6 wt% Fe-0.40 wt% C steel at a temperature just below the eutectoid, determine the following:

- a) The compositions of  $\text{Fe}_3\text{C}$  and ferrite ( $\alpha$ ).
- b) The amount of cementite (in grams) that forms in 100 g of steel.
- c) The amounts of pearlite and proeutectoid ferrite ( $\alpha$ ) in the 100 g.

# Solution to Example Problem

a) Using the *RS* tie line just below the eutectoid

$$C_{\alpha} = 0.022 \text{ wt% C}$$

$$C_{Fe_3C} = 6.70 \text{ wt% C}$$

b) Using the lever rule with the tie line shown

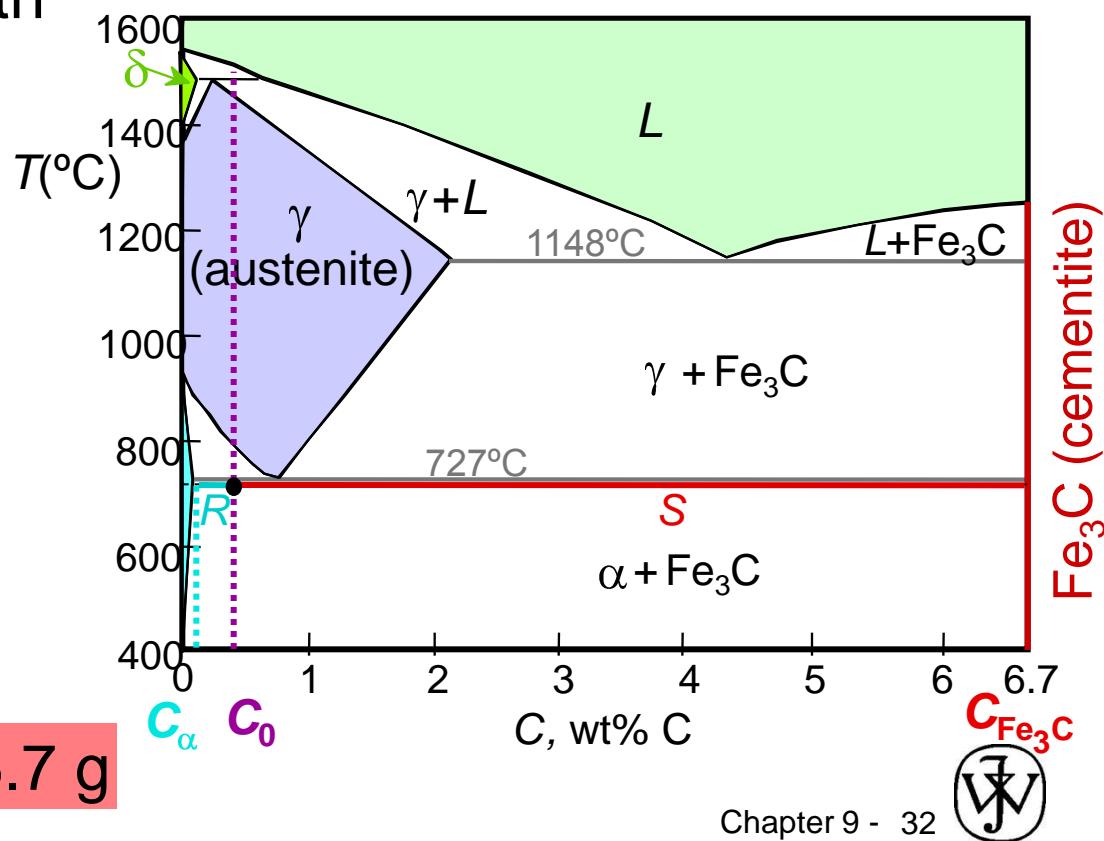
$$W_{Fe_3C} = \frac{R}{R+S} = \frac{C_0 - C_{\alpha}}{C_{Fe_3C} - C_{\alpha}}$$

$$= \frac{0.40 - 0.022}{6.70 - 0.022} = 0.057$$

Amount of  $Fe_3C$  in 100 g

$$= (100 \text{ g}) W_{Fe_3C}$$

$$= (100 \text{ g})(0.057) = 5.7 \text{ g}$$



# Solution to Example Problem (cont.)

- c) Using the  $VX$  tie line just above the eutectoid and realizing that

$$C_0 = 0.40 \text{ wt\% C}$$

$$C_\alpha = 0.022 \text{ wt\% C}$$

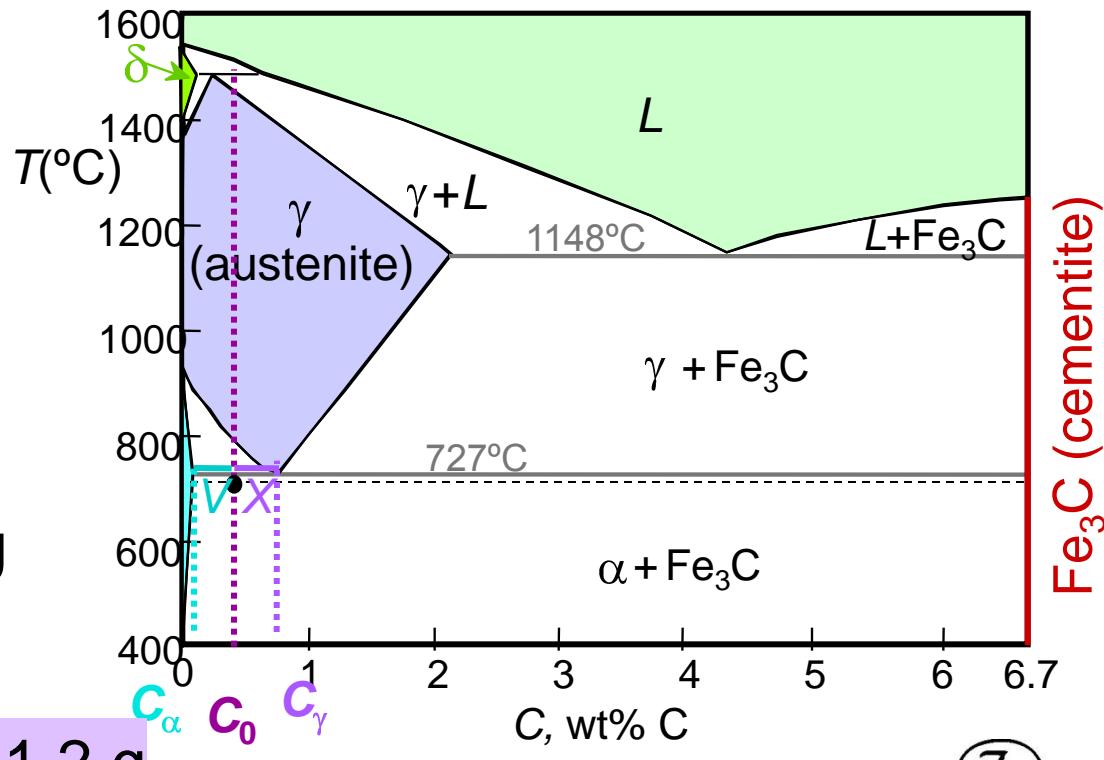
$$C_{\text{pearlite}} = C_\gamma = 0.76 \text{ wt\% C}$$

$$\begin{aligned} W_{\text{pearlite}} &= \frac{V}{V+X} = \frac{C_0 - C_\alpha}{C_\gamma - C_\alpha} \\ &= \frac{0.40 - 0.022}{0.76 - 0.022} = 0.512 \end{aligned}$$

Amount of pearlite in 100 g

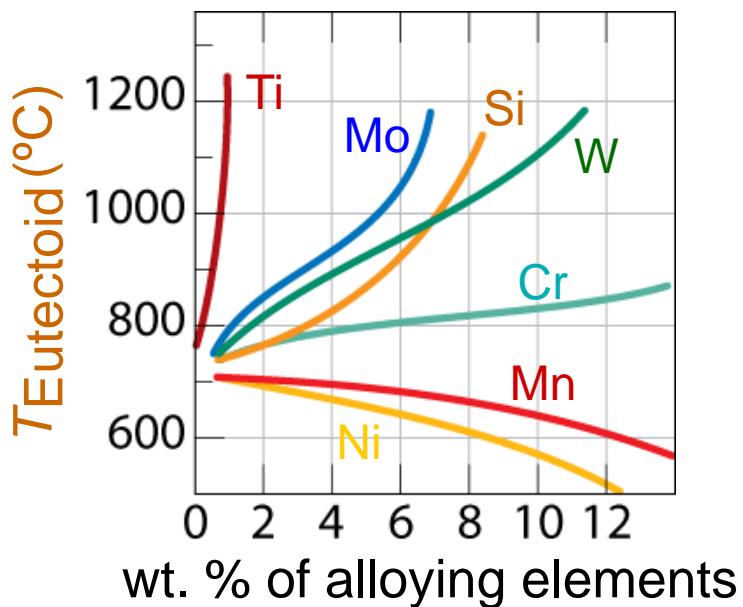
$$= (100 \text{ g}) W_{\text{pearlite}}$$

$$= (100 \text{ g})(0.512) = 51.2 \text{ g}$$



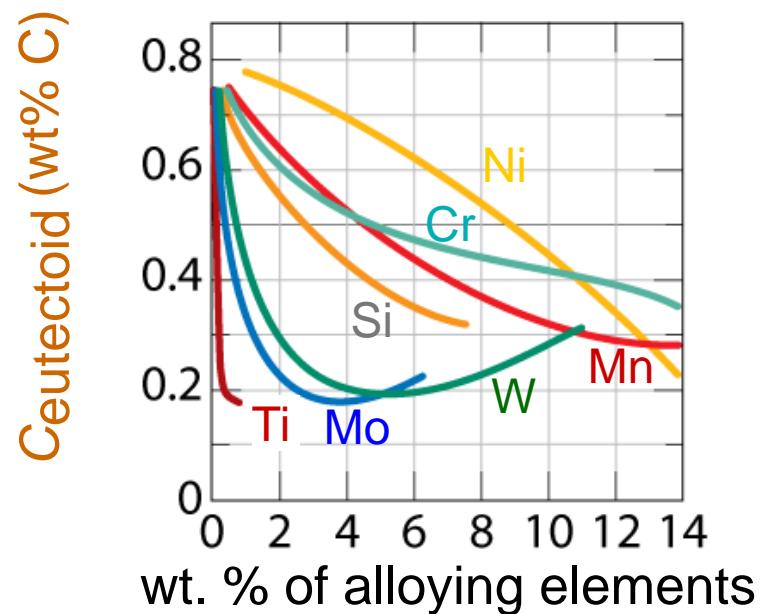
# Alloying with Other Elements

- $T_{\text{eutectoid}}$  changes:



Adapted from Fig. 9.34, Callister & Rethwisch 8e.  
(Fig. 9.34 from Edgar C. Bain, *Functions of the Alloying Elements in Steel*, American Society for Metals, 1939, p. 127.)

- $C_{\text{eutectoid}}$  changes:



Adapted from Fig. 9.35, Callister & Rethwisch 8e.  
(Fig. 9.35 from Edgar C. Bain, *Functions of the Alloying Elements in Steel*, American Society for Metals, 1939, p. 127.)



# Summary

- Phase diagrams are useful tools to determine:
  - the number and types of phases present,
  - the composition of each phase,
  - and the weight fraction of each phasegiven the temperature and composition of the system.
- The microstructure of an alloy depends on
  - its composition, and
  - whether or not cooling rate allows for maintenance of equilibrium.
- Important phase diagram phase transformations include eutectic, eutectoid, and peritectic.